

Imaging findings in fetal diaphragmatic abnormalities

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Abstract Imaging plays a key role in the detection of a diaphragmatic pathology in utero. US is the screening method, but MRI is increasingly performed. Congenital diaphragmatic hernia is by far the most often diagnosed diaphragmatic pathology, but unilateral or bilateral eventration or paralysis can also be identified. Extralobar pulmonary sequestration can be located in the diaphragm and, exceptionally, diaphragmatic tumors or secondary infiltration of the diaphragm from tumors originating from an adjacent organ have been observed in utero. Congenital abnormalities of the diaphragm impair normal lung development. Prenatal imaging provides a detailed anatomical evaluation of the fetus and allows volumetric lung measurements. The comparison of these data with those from normal fetuses at the same gestational age provides information about the severity of pulmonary hypoplasia and improves predictions about the fetus's outcome. This information can help doctors and families to make decisions about management during pregnancy and after birth. We describe a wide spectrum of congenital pathologies of the diaphragm and analyze their embryological basis. Moreover, we describe their prenatal imaging findings with emphasis on MR studies, discuss their differential diagnosis and evaluate the limits of imaging methods in predicting postnatal outcome.

Keywords Congenital abnormality · Diaphragm · Fetus · Magnetic resonance imaging · Prenatal diagnosis · Ultrasound

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Introduction

The rate of prenatal diagnosis of diaphragmatic pathologies has increased considerably in recent years because of the generalization and technical improvements of US pregnancy screening examinations. More recently, complementary MRI studies have been included in the standard evaluation of these pathologies. A congenital diaphragmatic hernia is by far the most commonly reported anomaly and the main indication for thoracic prenatal MRI [1, 2], but other anomalies of the diaphragm are also detected in utero. Congenital diaphragmatic eventration results from a focal thinning of the diaphragm, secondary to a defective development of its musculature [3]. Unilateral or bilateral diaphragmatic paralysis can also be detected during pregnancy. Extralobar pulmonary sequestration is a congenital lung lesion consisting of non-functional lung tissue with separate pleural investment and systemic vascular supply. The most frequent location is the left costophrenic angle but it can be observed in the abdomen or enclosed within the diaphragm [4]. Exceptionally, primary diaphragmatic tumors and infiltration of the diaphragm by tumors originating from an adjacent organ have been observed in utero [5-7].

Extensive diaphragmatic hernias end eventrations are associated with high rates of neonatal morbidity and mortality because there is almost always associated impaired development of the fetal lungs and there are frequently other associated anomalies. Prenatal imaging helps to identify these anomalies, which are present in approximately 40% of fetuses with diaphragmatic pathology [8] and contribute to their final prognosis. Moreover, multiple syndromes include diaphragmatic defects as part of their pathology (Tables 1 and 2) [9–11].

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	syndromes presenting with congential diaphragmatic pathology [7]		
Beckwith-Wiedemann	Craniofacial dysmorphism, omphalocele, umbilical hernia or diastasis recti, gigantism or hemihypertrophia, visceromegaly, embryonal tumors, posterior eventration of the diaphragm		
Berkenstadt	Congenital diaphragmatic hernia, pulmonary agenesis, microphthalmia		
Bieber	Congenital diaphragmatic hernia, pulmonary hypoplasia/agenesis and hydrocephalus in siblings		
Brachmann-de Lange	Micro-brachycephaly; limb anomalies; hirsutism; cryptorchidism; marked mental, motor and social retardation; congenital diaphragmatic hernia		
Cantrell pentalogy	Diaphragmatic defect, omphalocele, sternal cleft, ectopia cordis and congenital heart disease		
Dennis–Drash	Gonadal dysgenesis, progressive glomerular disease, nephroblastoma, chromosomal anomalies, congenital diaphragmatic hernia		
Donnai-Barrow	Congenital diaphragmatic hernia, deafness, absent corpus callosum, hearing loss, hypertelorism and myopia		
Downing	Congenital diaphragmatic hernia and tracheal agenesis		
Ehlers-Danlos	Joint hypersensibility, abnormal stretchability of the skin, fragility of blood vessels, congenital diaphragmatic hernia		
Fryns	Diaphragmatic defects, lung hypoplasia, cardiovascular anomalies, genitourinary and digestive system anomalies, cerebral anomalies, cleft/lift palate, hypoplastic fingers or toenails, coarse facial features		
Gershoni-Baruch	Congenital diaphragmatic hernia, omphalocele, radial ray defects and hepatic cysts		
Lurie	Congenital diaphragmatic hernia, agenesis of corpus callosum, hydrocephaly and hydrops fetalis		
Maaswinkel-Mooij	Congenital diaphragmatic hernia , pseudohermaphroditism, hypoplastic left heart, lung aplasia, horseshoe kidney		
Mathieu	Congenital diaphragmatic hernia, fused vertebrae and unusual facies		
Oner	Diaphragm agenesis, radial aplasia, preauricular tags		
PAGOD	Acronym of Pulmonary tract or artery hypoplasia, AGonadism, Omphalocele and Diaphragmatic defect		
Pallister-Killian	Tetrasomy 12p, craniofacial dysmorphism, mental and motor retardation, congenital diaphragmatic hernia , limb anomalies		
Personage-Turner	Bilateral diaphragmatic paralysis/paradoxical motion		
Toriello	Diaphragmatic defects, sex reversal, cardiac and pulmonary defects		
Werdnig-Hoffmann	Hypotonia, expressionless face, weakness, diminished reflexes, diaphragmatic paralysis		

Prenatal imaging methods also enable quantitative measurements of the size and volume of the lungs and evaluation of the lung vasculature. All these data help the medical team provide the best information for families and the predictions about both the immediate neonatal outcome and the risks of sequellae. Finally, they help in decisions relating to pregnancy management, optimal delivery timing and type, and immediate therapy after birth.

We review congenital pathologies of the diaphragm and analyze their embryological causes. We also describe the prenatal imaging findings of a wide spectrum of pathologies and discuss their differential diagnoses, with an emphasis on MRI.

Table 2Congenitalmalformations most commonlyassociated with congenitaldiaphragmatic hernia andcongenitaldiaphragmaticeventration [9–11]

Associated anomalies	Estimated frequency		
Cardiovascular	10–35%	Tetralogy of Fallot, VSD, ASD, coarctation	
Gastrointestinal	10%	Esophageal atresia, tracheoesophageal fistula, bowel atresia	
Limb anomalies	10%	Polydactyly, syndactyly, reduction defects	
Urogenital	10%	Horseshoe kidney, crossed-fused ectopia, lower urinary tract dysfunction, cryptorchidism	
Chromosomal	10%	Trisomies 13, 18, 21 and 22, tetrasomies 12p, 8q22 translocation, 18 p syndrome, deletion 3	
CNS	5–10%	Spina bifida, holoprosencephaly, neural tube defects, hydrocephalus.	
Pulmonary	<5%	Extralobar sequestration, pleural effusion, pulmonary hypertension.	

ASD atrial septal defect, CNS central nervous system, VSD ventricular septal defect

Finally, we describe the most commonly used measurements and indexes for evaluating fetal lung hypoplasia and pulmonary hypertension and discuss the limits of prenatal imaging for predicting the postnatal outcome.

Embryology of the diaphragm

An appropriate knowledge of embryology is crucial for understanding the congenital pathology of the diaphragm and its consequences on the lung development. However, the embryology of the diaphragm still remains controversial and poorly understood.

Diaphragmatic defects have traditionally been considered to result from the failure of the different parts of the diaphragm to fuse properly. The diaphragm forms between the 4th and 12th weeks of gestation from four components: the transverse septum, the pleuro-peritoneal membranes, the dorsal mesentery of the esophagus, and the lateral body walls (Fig. 1) [12, 13]. At the 4th week, the embryo presents a single, horseshoe-shaped body cavity. By the 6th week, the anteriorly located transverse septum becomes the central tendon of the diaphragm. The septum grows dorsally and begins to form a semicircular shelf that separates the heart from the liver. Simultaneously, the posterolateral pleuro-peritoneal membranes extend progressively ventrally and fuse with the transverse septum and the dorsal mesentery of the esophagus. At the 7th week, the transverse septum fuses with the muscular body walls laterally, completing the membranous diaphragm and finishing division between thorax and abdomen [12-14]. Impairments occurring at this time would be at the origin of the congenital diaphragmatic hernia (Fig. 1).



Fig. 1 Embryological origin of the diaphragm: (1) horizontal septum transversum (*orange*), (2) pleuroperitoneal membranes (*blue*), (3) body wall muscular fibers (*violet*), (4) dorsal mesentery of the esophagus (*green*)

At 8–10 weeks of gestation, muscular fibers arising from the cervical myotomes grow in the lateral body wall and incorporate the intercostal muscles within the membranous diaphragm [12, 14, 15]. The internal layer of the body wall then forms the muscle of the peripheral diaphragm. Postero-lateral remnants of the pleuro-peritoneal membranes form a fibrous trigone in each hemidiaphragm that constitute most of its muscular part [16–18], whereas the median part of the muscular diaphragm forms from the dorsal mesentery of the esophagus. Impairments in this period would cause a complete or partial absence of the muscular diaphragm as observed in congenital diaphragmatic eventration.

The development of the fetal lungs is synchronous with that of the diaphragm, which explains the commonly associated pulmonary hypoplasia observed in fetuses with diaphragmatic pathology. The lungs begin their development as a ventral outpouching of the primitive foregut at the 3rd week of gestation. They progressively increase in size between the 9th and 12th weeks, while the pleural cavities extend into the lateral body walls, forming the costo-diaphragmatic recesses and helping to establish the final dome-shaped configuration of the diaphragm [12]. Lung sequestrations developed at this time can end up embedded into the leaflets of the diaphragm.

In 1984 Iritani [19] described the posthepatic mesenchymal plate, a structure that appears dorsal to the liver, ventral to the pleuroperitoneal canal and caudal to the transverse septum at early fetal life. He suggested that anomalous development of the posthepatic mesenchymal plate would lead to an impaired fusion between the plate itself and the pleuroperitoneal folds, causing a congenital diaphragmatic defect. Based on this theory, Mayer et al. [20] showed that in normal rats the ingrowth of the posthepatic mesenchymal plate and the approximation of the adjacent organs leads to progressive closure of the pleuroperitoneal canal, completing the formation of the diaphragm at day 16; in nitrogen-exposed rats, however, the posthepatic mesenchymal plate appears smaller and malformed, causing an abnormally high position of the ipsilateral diaphragm and leaving parts of the liver undercovered. The remaining opened pleuroperitoneal canal would incorporate the defect, which would cause the diaphragmatic hernia; the intrathoracic space would therefore be reduced and the lung development secondarily impaired.

Abnormalities of the diaphragm

Congenital diaphragmatic hernia and eventration

Congenital diaphragmatic hernia is by far the most common prenatally detected thoracic pathology and the most common diaphragmatic anomaly reported in the literature. It occurs in <1 to <5 in 10,000 live births [1, 2] but prevalence and incidence vary depending on whether stillborn babies are included

in estimates [10, 21]. The number of fetuses with congenital diaphragmatic hernia in early pregnancy loss is not really known, and in many cases of prenatal diagnosis pregnancy is terminated [8, 10]. Most hernias are first suspected at US pregnancy screening exams at the 18th to 22nd weeks of gestation, but they have been identified as early as the first trimester of pregnancy [22]. They are associated with high rates of morbidity and mortality, mainly from pulmonary hypoplasia caused by the chronic compression of the developing lungs by the herniated abdominal organs. Mortality rates vary based on differences in reporting procedures, but most series show 30-40% mortality in isolated diaphragmatic hernias, and higher rates in the presence of associated anomalies [23] (Table 1). Other poor-outcome prognostic criteria include thoracic liver herniation, non-visualization of the lung ipsilateral to the hernia and imaging findings suggesting severe lung hypoplasia [24-26].

Congenital diaphragmatic hernias have traditionally been classified as posterolateral or Bochdalek, anterior or Morgagni, and central or hiatal hernia (Fig. 2). A new classification has been recently proposed by the Texas Children's Fetal Center; it differentiates intrapleural from mediastinal hernias, but it is not extensively used [10]. The Bochdalek hernia — intrapleural according to the Texas Children's classification --- represents 70-90% of all diaphragmatic hernias in live births. It is on the left in 80-90% of cases [11, 13]. It results from a defect in the diaphragm caused by a malformation of the pleuro-peritoneal folds or from a failure of fusion between the pleuro-peritoneal folds and the transverse septum with the intercostal muscles [10, 11, 15]. The anterior Morgagni hernia represents 12-15% of diaphragmatic defects in infancy and is caused by a defect in the fusion of the transverse septum to the lateral body wall, with the herniated organs passing between the sternal and the costal heads of the diaphragm (Fig. 2) [8, 10, 11]. Central hernias represent only 2-5% of all congenital hernias in infants, and in this type the abdominal organs pass



Fig. 2 Anatomical location of the most important diaphragmatic hernias: (1) anterior Morgagni hernia, (2) posterior Bochdalek hernia, (3) central hiatal hernia

through the esophageal hiatus [21] (Fig. 2) [10, 11]. Both Morgagni and central hernias are considered mediastinal, according to the Texas Children's classification.

As mentioned, the real incidence of congenital diaphragmatic hernia is difficult to establish because the published data refer almost exclusively to live births. Left hernias account for about 85% of all congenital diaphragmatic hernias in live births [1, 8, 10]. In general, they are easier to identify at imaging and have a better prognosis than right hernias. The most frequently detected left hernia is the Bochdalek hernia, which is often associated with a displacement of the stomach, the small bowel or the spleen into the thorax (Fig. 3). Thoracic herniation of the left hepatic lobe is observed in extensive defects, usually associated with a posteriorly displaced herniated stomach. In these cases, a distortion of the hepatic or the umbilical veins in the herniated liver might be observed at imaging. Extensive hernias cause a right cardio–mediastinal shift and severe bilateral lung hypoplasia [10].

Right-side hernias represent 12–15% of all congenital diaphragmatic defects in live births [8, 10]. Their prenatal detection rate is lower than that of left hernias because the liver and the right lung parenchyma present a similar echogenicity and are difficult to differentiate from each other at US screening exams. In right hernias the liver is almost invariably partly intrathoracically located and an abnormal position of the gallbladder and of the hepatic vasculature might be observed at imaging. Variable amounts of bowel displaced into the thorax are often observed. The stomach usually remains in the abdomen, although it often shows a distortion of its normal position. Once more, extensive hernias usually cause a left posterior cardiomediastinal shift and a severe unilateral or bilateral lung hyploplasia (Fig. 4).

Central hiatal hernias represent only 2–5% of all congenital diaphragmatic hernias [23]. The stomach is the most often herniated organ, passing through the esophageal hiatus (Fig. 5), whereas the liver usually remains in the abdomen. This type of hernia rarely produces significant pulmonary hypoplasia and is rarely diagnosed in utero.

Congenital diaphragmatic eventration is uncommon, accounting for about 5% of all diaphragmatic defects [3]. It is caused by a focal defective musculature of the diaphragm, with absence or impaired development of the diaphragmatic muscle fibers in the involved region [12, 15]. The absence of the muscle leads to a focal thinning of the diaphragm [11], which forms a pocket-like outpouching, allowing the displacement of the abdominal organs into the thorax. The most often observed location is the antero-medial portion of the right hemidiaphragm, usually associated with a partial elevation of the right liver lobe [18]. However, a whole hemidiaphragm or even both hemidiaphragms may be involved [12, 14] (Figs. 6 and 7).

A partial elevation of a hemidiaphragm with a normal position of the uninvolved part should suggest the diagnosis, but the thinned membrane is not always detected. Indeed,



Fig. 3 Left congenital diaphragmatic hernia in a male fetus. **a**, **b** Coronal T2-W MR images obtained at 31 weeks of gestation show the mediastinal shift, with the heart (H) located in the right hemithorax. The stomach (S), most of the small bowel and part of the colon are intrathoracic. The liver is

not herniated and the left lung is not identified. c Chest radiograph obtained at day 1 after birth confirms the left intrathoracic-located air-filled intestine causing mediastinal shift. Note the abnormal course of the gastric tube



Fig. 4 Right congenital diaphragmatic hernia in a male fetus. **a**, **b** Coronal (**a**) and right sagittal (**b**) T2-W MR images obtained at 31 weeks of gestation demonstrate the extensive right diaphragmatic hernia. A significant amount of the small bowel and colon, and the right hepatic lobe (RL, *arrow* in **b**) are intrathoracically located, whereas the stomach (*S*) remains intra-abdominal. The meconium-filled colon (*arrows* in **a**) is clearly identifiable, appearing hypointense on T2-

W images. There is significant mediastinal shift and severe bilateral lung hypoplasia. **c** Anteroposterior chest and abdomen radiograph obtained a few minutes after birth shows the right intrathoracically located air-filled intestines and confirms the normal position of the stomach. The boy died at 2 days of age from severe respiratory insufficiency and pulmonary hypertension

Fig. 5 Central hiatal hernia in a baby girl. a Anteroposterior chest radiograph in a 4-day-old girl who presented with alimentary difficulties and vomiting. The gastric tube shows the position of the stomach, herniated into the central thorax through the esophageal hiatus. b, c Anteroposterior (**b**) and lateral (**c**) upper gastrointestinal opacification images demonstrate a gastric volvulus with downward-pointing pylorus and duodenum (arrows). The esophagus is short, and significant gastro-esophageal reflux is observed (b). Pathology had not been detected in utero



extensive eventrations are extremely difficult to differentiate from congenital hernias at prenatal imaging [13, 15]. Right eventrations can produce non-immune hydrops fetalis, probably from the compression of the superior and inferior vena cava, with chronic impairment of the venous return and secondary low-output cardiac failure [14] (Fig. 7). Congenital eventrations have the same consequences on the development of the fetal lungs as congenital hernias, but in general they present a better prognosis.

Prenatal diagnosis of congenital diaphragmatic defects

A congenital diaphragmatic defect is most often first detected with pregnancy US screening exams performed between the 18th and 22nd weeks of pregnancy. The normal diaphragm is observed as a fine, regular and hypoechogenic dome-shaped structure separating the thorax from the abdomen. The sensitivity for prenatal detection of diaphragmatic pathology at US depends mainly on the experience of the examiner but also on the gestational age, the side of the lesion and the severity of the pathology, the presence or absence of displacement of the abdominal organs into the thorax and the presence or absence of associated anomalies (Table 1). The prenatal detection rate of right defects is lower than that of the left because the liver and the lung parenchyma present a similar echogenicity at US exams, whereas the fluid-filled herniated stomach, small bowel and colon in left hernias are easier to distinguish. As already described, extensive hernias cause cardio–mediastinal shift and bilateral lung hypoplasia [10].

Fig. 6 Bilateral congenital diaphragmatic eventration in a female fetus. a-c Coronal (a) and sagittal (b, c) T2-W MR images obtained at 29 weeks of gestation identify only the ventral diaphragm (arrow in b). The fetal lungs are not seen and both liver and intestines are partly located in the thorax. Note the significant amount of fluid in either the thorax or abdomen. Suggested prenatal diagnosis was bilateral congenital diaphragmatic hernia. Pregnancy was terminated because of the severe lung hypoplasia and the development of hydrops. d Macroscopic photograph of the fetal specimen reveals an intact but thinned-out diaphragm without diaphragmatic muscle fibers (arrow). establishing the diagnosis of bilateral eventration. Note that the diaphragm is inverted compared to its usual presentation



US exams also provide a dynamic evaluation of the diaphragm and allow comparison of the hemidiaphragms during fetal breathing when performed in advanced pregnancy [22]. In diaphragmatic hernias and eventrations, paradoxical movement of the abdominal contents during fetal breathing has been occasionally reported. It consists of a downward movement of the abdominal organs on the normal side and a rising upward movement of the herniated abdominal contents into the chest during fetal inspiration. Additional color Doppler US exams should be performed in case of suspected diaphragmatic pathology and can identify the abnormal position of the intrahepatic vessels in thoracic herniated liver [22, 27].

If pathology of the diaphragm is suspected at US, MRI is often performed. MRI allows for a better spatial resolution and tissue characterization than US. Moreover, MRI's large field of view and multiplanar possibilities provide complete and detailed documentation of the fetal anatomy, even at lateterm pregnancy and independently of the fetus position. Prenatal MRI might help to clarify the liver position because lung and liver parenchyma have clearly different signal intensities and can be easily distinguished from each other. In herniated liver, the hepatic vessels extending above the level of the diaphragm might be observed as linear structures, appearing as high signal intensity on T2-W images. The digestive organs can also be clearly identified because of the different signal intensities of the fluids filling the organs: the amniotic fluid

Fig. 7 Bilateral congenital diaphragmatic eventration in a male fetus. a Coronal T2-W MR image obtained at 33 weeks of gestation shows the high position of both hemidiaphragms (arrows) and demonstrates severe bilateral lung hypoplasia. b, c Left (b) and right (c) sagittal T2-W MR images show the integrity of both hemidiaphragms. d Anteroposterior chest and abdomen radiograph obtained right after birth confirms the high position of the hemidiaphragms and the reduced bilateral lung volume. The boy died shortly after birth because of respiratory insufficiency. H heart, RL right lung



observed in the stomach and the proximal bowel is hyperintense on T2-W and hypointense on T1-W images, whereas the meconium present in the distal small bowel, colon and rectum is hypointense on T2-W and hyperintense on T1-W images. The standard protocol for MR exams at our institution includes a T1-W volumetric interpolated breath-hold examination with gradient-echo

sequence (repetition time [TR]/echo time [TE] of 3.69/ 1.6 ms, matrix 192×256); a T2-W half-Fourier singleshot turbo spin-echo sequence (TR/TE 999/88 ms, matrix 307×512); and a T2-W steady-state free precession gradient-echo sequence (TR/TE 6.44/3.22 ms, matrix 230×512). All sequences are performed in the three fetal spatial planes.

Prediction of postnatal outcome of congenital diaphragmatic hernias and eventrations in utero

In view of the high mortality associated with diaphragmatic pathology, different approaches based on prenatal imaging have been used to predict the individual postnatal outcome. Predictors can be divided into two main groups: those that rely entirely on measurements of the lung size or volume and those that evaluate the pulmonary vasculature. The most important prenatal predictors are described in Table 3.

At US the most commonly used method for evaluating the severity of lung hypoplasia is the **lung-to-head ratio**, which is the ratio between the lung area contralateral to the hernia obtained at the level of a four-chamber view of the fetal heart in cross-sectional images and the head circumference of the fetus [27, 28]. This value has been shown to be a prognostic factor in fetuses with diaphragmatic hernia: a lung-to-head ratio of >1.6 is associated with a >83% survival rate, 1–1.6 with a 66% survival rate and <1 with a 16% survival rate [29]. The **observed-to-expected lung-to-head ratio** results from comparing the obtained measures in patients with congenital diaphragmatic hernia with the expected mean values in normal fetuses at the same time of gestation, expressed as a percentage [30].

Volumetric lung measurements can be done by either 3-D US or MRI. Echographic pulmonary volumetries are usually performed in the second trimester of gestation and have not shown significant differences from lung-to-head ratio in predicting survival [31]. Volumetric MRI measurements are part of routine prenatal thoracic MRI studies. Axial T2-W sequences covering the fetal thorax in a single acquisition are used for calculation. The area of lung tissue visible on each section is outlined by using free-hand drawing sampling in each slide of the selected sequence, summed separately for each lung field and multiplied by the section thickness to obtain a volume [32]. Measures of the right and left lung volumes are added to obtain the observed total fetal lung volume and are then expressed as a percentage of the mean expected normal values for the time of gestation [8, 33, 34]. Observed-to-expected total fetal lung volume measurements <15–25% present a significantly higher mortality rate, higher rates of extracorporeal membrane oxygenation treatment and higher risk of chronic respiratory problems compared to fetuses with higher percentages [11, 33, 34]. Finally, the exact amount of liver herniation into the thorax can be measured as the herniated liver-to-thoracic volume ratio. The volume of the thorax and that of the herniated liver are measured at MRI using the xyphoid process and the thoracic apex in transverse images as landmarks, and their ratio is calculated. High volumes of herniated liver represent a poor prognostic parameter [30, 35–37].

Concerning the fetal lung vasculature, different methods for its prenatal assessment have been described. The **pulsatility index** evaluates changes in the fetal pulmonary arteries following the administration of maternal oxygen. The **McGoon index (MGI)** at US and the **modified McGoon index** at MRI are calculated as the sum of the diameters of the right and left pulmonary arteries obtained at the bifurcation in systole in US studies and in the axial plane at MRI and divided by the diameter of the aorta, measured at the level of the diaphragm [38]. Studies have shown that these methods are useful not only for predicting survival but also the severity of postnatal pulmonary arterial hypertension [37, 38]. Finally, **the prenatal pulmonary hypertension index** is calculated as the left pulmonary artery diameter measured in axial MR images, divided by the length of the vermis of the cerebellum

Table 3The most commonly
used predictors for lung
hypoplasia and pulmonary
hypertension based on prenatal
imaging findings

	Imaging method	Description
Lung-to-head ratio (LHR)	US	Lung area contralateral to the hernia
		Head circumference of the fetus
Observed-to-expected LHR	US	LHR observed \times 100
		LHR expected
Liver-to-thoracic volume ratio	MRI	Herniated liver volume \times 100
		Thoracic volume
Observed-to-expected total fetal	MRI	TFLV observed (right±left lung volume) × 100
lung volume (TFLV)		TFLV expected (right+left lung volume)
McGoon index	US	Right±left pulmonary artery diameter (bifurcation)
		Thoracic aorta diameter (diaphragm level)
Modified McGoon index	MRI	Right±left pulmonary artery diameter (axial plane)
		Thoracic aorta diameter (diaphragm level)
Pulmonary hypertension index	MRI	Left pulmonary artery diameter (axial plan) × 10
		Length of the vermis (midline sagittal)

in a midline sagittal plane and multiplied by 10. This index seems to also be useful for predicting the severity of postnatal pulmonary hypertension [38].

However, in utero imaging does not always detect congenital diaphragmatic defects. The mean reported rates for the detection of hernias and eventrations at prenatal US are >70% but vary from 18% to 87% [16, 39, 40]. Obviously the absence of prenatal diagnosis prevents the medical team from preparing for the immediate postnatal care, but it has been suggested that most undetected cases correspond to smaller, isolated hernias without poor prognostic parameters during pregnancy.

After birth, pulmonary hypoplasia can cause respiratory insufficiency and immediate death. At histology, hypoplastic lungs present impaired, incomplete divisions of the bronchial tree, a reduced alveoli number and a decreased vascular bed compared to the normal lungs [41]. The predictions of neonatal outcome are mainly based on the volumetric measurements of the fetal lung at a specific gestational period, but a recently published study has shown that the severity of pulmonary hypoplasia is dynamic and can worsen in the third trimester, suggesting the utility of performing serial MRI-based volumetric measures [42]. Moreover, in extensive hernias volumetric measurements are often limited to the lung contralateral to the hernia because the ipsilateral lung is often highly compressed and not seen. It has been reported that the lung volume contralateral to the hernia is underestimated by 25% and the ipsilateral lung is not visualized for measurements in nearly 45% of evaluations at 3-D US [27]. Hypoplasia is more marked in the lung ipsilateral to the hernia than in the contralateral one, but in operated patients the ipsilateral lung would expand and contribute to ventilation. However, this functional capacity remains impossible to predict in utero.

The severity of hypoplasia does not always regress later in life. Lung volumes increase significantly with time, but longterm follow-up studies in children with surgical repair of congenital diaphragmatic hernias have shown persistent reduced lung perfusion in the lung ipsilateral to the hernia compared to the contralateral lung [43]. Recently, Balassy et al. [44] assessed development of both lungs in patients with isolated congenital diaphragmatic hernia by using lung/liver signal intensity ratios on T1- and T2-W images. They found that lung/liver signal intensity ratios were significantly higher in both lungs on T1-W and significantly lower on T2-W images compared with normal lungs and concluded that although these changes seem to reflect developmental impairment in both lungs, they provide no additional information in predicting final outcome.

Measurements of lung size and volume are useful to predict the degree of pulmonary hypoplasia but they do not seem to correlate with the severity of postnatal pulmonary arterial hypertension, which is at the origin of most cases of chronic respiratory disability. Recent preliminary studies have shown that both the prenatal calculated modified McGoon index and the pulmonary hypertension index seem to predict the postnatal severity of lung hypertension [45]. However the individual postnatal outcome is multifactorial and depends not only on the degree of pulmonary hypoplasia and hypertension but also on the presence or absence of a syndrome or of associated anomalies, the gestational age and the weight at birth and the individual response to therapy in the first days of life, among others. Therefore prenatal predictions remain extremely difficult.

Overview of therapies

Different pre- and postnatal therapies have been evaluated in patients with diaphragmatic defects. A detailed description of these therapies is outside the scope of this article, but we will briefly mention the most relevant. Fetal surgery with temporary fetal endoscopic tracheal occlusion, also known as FETO, is performed in selected cases, mainly in fetuses with poor prognostic parameters and considered otherwise unviable [1, 46, 47]. Fetuses requiring prenatal intervention are included in a randomized trial in some European countries. Preliminary results are promising, with survivals approaching 50%. These patients have a lower alveolar-arterial oxygen gradient and a greater respiratory compliance than controls [30]. Occasionally ex utero intrapartum treatment, also known as EXIT, is required to reverse the occlusion before delivery [48, 49]. In this procedure, the fetus is partly removed through a hysterectomy in order to establish a safe airway via intubation or tracheotomy while maintaining the placental circulation. However, FETO therapy remains limited to a few highly specialized centers [46, 47] because difficulties with removing the balloon at delivery have led to a centralization of these patients.

After birth, extracorporeal membrane oxygenation (ECMO) can be a useful adjunct in extensive diaphragmatic defects. It consists of the cannulation of both the right carotid artery and the right jugular vein and their connection to a circuit with a membrane gas exchange chamber. It allows both oxygenation and CO_2 disposal without involving the lung [50], but it has risks. The limitations of the technique (required weight >2,000 g, need for heparinization) and the weak evidence of its real benefits have cast doubts about its efficacy and reduced the proportion of patients treated with this method [1, 51].

Finally, postnatal medical therapy is complex and includes immediate intubation, small-volume, high-frequency oscillatory ventilation [52], and intensive medication for treatment of pulmonary hypertension [53]. This therapy must be maintained for an indeterminate period of time before the child is stable for surgical correction of the hernia, which is the main objective for these infants.

Congenital diaphragmatic paralysis

Diaphragmatic paralysis is difficult to recognize in utero and in most cases can only be definitively confirmed after birth. The diaphragm is mainly innervated by the phrenic nerves, with additional involvement of the lower intercostal nerves. The paralysis can be unilateral or bilateral. Bilateral dysfunction is often associated with pathology of the central nervous system, whereas unilateral dysfunction is usually observed in children with cardiac pathology [13]. At prenatal imaging an asymmetry in the position of the hemidiaphragms in a fetus with detected cardiac pathology should suggest the diagnosis (Fig. 8).

Unilateral paralysis is almost impossible to differentiate from extensive congenital diaphragmatic eventration at prenatal exams, but elevation of the diaphragm by paralysis usually involves the entire hemidiaphragm, whereas in most cases of congenital diaphragmatic eventration only a portion of a hemidiaphragm is elevated [12–15]. Coronal US and MRI images might compare the position of the hemidiaphragms and show the elevation of the involved diaphragm. US exams also facilitate the dynamic evaluation of the diaphragm during fetal breathing at late-term pregnancy, but to our knowledge prenatal paradoxical movement of the diaphragm has not been reported related to paralysis.

Intradiaphragmatic extralobar pulmonary sequestrations

Pulmonary sequestration is a congenital lung anomaly [4, 54] consisting of non-functional lung tissue that lacks normal communication with the tracheo-bronchial tree and presents with anomalous vascularity. It is

classified as extra- and intralobar types. Intralobar lesions are located within the lung parenchyma whereas extralobar have a separate pleural covering. Both variants are supplied by the systemic arteries — the descending aorta or one of its major branches — but the venous drainage is via the pulmonary veins for the intralobar type and via the systemic veins for the extralobar.

Today extra- and intralobar sequestrations are often detected in utero (Fig. 9). The most common location of extralobar sequestration is the left costo-phrenic angle, but it might be observed in the abdomen and, exceptionally, embedded within the diaphragm [4, 6, 55, 56]. This last location might be explained by the simultaneous timing of the development of the diaphragm, the pleura and the lungs during early embryonic life. A sequestration formed in this period could remain enclosed between the pleuro-peritoneal folds and the muscular diaphragm [55]. Prenatal imaging of extralobar sequestration usually reveals a well-defined, relatively homogeneous mass, hyperechoic at US and with high signal intensity on T2-W MR images related to the normal lung. The anomalous systemic vascular supply can be identified with both US Doppler and MR imaging to confirm the diagnosis. However, a diaphragmatic localization is extremely rare and is therefore usually not suspected in utero. After birth, coronal reformatted images from contrast-enhanced CT chest scans might show the split hemidiaphragm sign, which consists of the visualization of the two identifiable leaflets of the diaphragmatic muscle encasing the mass, suggesting the diaphragmatic localization [55]. However, it is usually first discovered during surgery, often requiring a double-thoracic and abdominal-surgical approach [54-56].



Fig. 8 Right diaphragmatic paralysis in a male fetus. **a** Coronal T2-W MR image obtained at 33 weeks of gestation shows the high position of the right hemidiaphragm compared to the normal left side. **b** Transverse T2-W image at the thorax basis shows a normal left lung (LL) and a high right hepatic lobe (L). There is no significant mediastinal shift. **c** Postnatal

chest radiograph at 1 day old confirms the high position of the right hemidiaphragm. Sonographically there was reduced movement of the right hemidiaphragm (not shown). Karyotyping showed trisomy 13 and there were several associated anomalies, including complex cardiopathy. The boy died in the neonatal period. *H* heart, *RL* right lung



Fig. 9 Left diaphragmatic extralobar pulmonary sequestration. **a** Transverse Doppler US image obtained at 24 weeks of gestation at the level of the diaphragm shows a hyperechogenic left paramedian lesion (*arrows*) with anomalous vascularization arising from the abdominal aorta (*A*). **b** Coronal T2-W MR image confirms the lung sequester (*S*), which is hyperintense related to the normal right lung (*RL*) and left lung (*LL*), and its anomalous vascular supply (*arrow*). **c**, **d** Neonatal

anteroposterior chest radiograph (\mathbf{c}) and coronal reconstruction of intravenous-contrast-enhanced postnatal chest CT scan obtained at 4 weeks (\mathbf{d}) show the not-ventilated left thoracic basal lesion (*S*) and its systemic vascular supply. A diaphragmatic-located extralobar pulmonary sequestration was identified at surgery and confirmed at pathology (Images courtesy of Guy Sebag and Nadia Belarbi, Robert-Debré Hospital, Paris, France)

Tumoral pathology

Congenital tumors only represent 1.5–2% of all pediatric tumors, with a prevalence of 1:12,500 to 1:27,500 live births. Most of them are first diagnosed after birth, with a small number of cases detected in the last trimester of pregnancy. The diaphragm is exceptionally the primary organ of origin. A review of the literature shows only one case of a primary diaphragmatic rhabdomyosarcoma detected in utero [5]. Some cases of secondary infiltration by tumors originating from an adjacent organ have been reported during the third trimester of gestation, mainly mediastinal teratomas and pleuro–pulmonary blastomas [57].

The pericardium is the anatomical origin for most mediastinal teratomas. They are often associated with pericardial effusion. Pleuro–pulmonary blastoma is a primary mesenchymal tumor that usually appears as a heterogeneous, rapidly growing mass, predominantly cystic and often associated with pleural effusion. We have prenatally detected a secondary tumoral diaphragmatic infiltration at our institution. The tumor was first observed at routine US at the 22nd week of gestation. It was well–defined and solid-appearing and caused pleural effusion. Infiltration of the diaphragm was suspected at prenatal MRI performed at the 24th week because of irregular tumor borders and thickening of the diaphragm in contact with the tumor (Fig. 10). Pregnancy was terminated because of rapid growing of the lesion. A pleuropulmonary blastoma



Fig. 10 Right pleuropulmonary blastoma with secondary infiltration of the diaphragm in a female fetus. **a** The right parasagittal T2-W MR image obtained at 24 weeks of gestation shows the solid tumor (T) at the right hemithorax base with associated pleural effusion. **b** Sagittal US image obtained several days later shows increasing pleural effusion and

with secondary infiltration of the diaphragm was confirmed at pathology.

Conclusion

Congenital pathologies of the diaphragm are increasingly detected as consequence of the extension of prenatal screening imaging US studies and complementary MRI exams. An appropriate knowledge of the embryology of the diaphragm is crucial to understand both its pathology and the consequences on the developing lungs. Congenital diaphragmatic hernia is by far the most commonly observed pathology, but diaphragmatic eventration, paralysis, diaphragmatic located extralobar lung sequestrations and primary or secondary tumoral infiltration of the diaphragm might also be detected in utero. Knowledge of the typical imaging findings of these entities can help radiologists to adequately identify the pathology. Diaphragmatic defects are associated with high postnatal morbidity and mortality. Predictors of postnatal outcome based on prenatal imaging have been developed. Radiologists should know both these indexes and their limits in predicting outcome after birth.

Conflicts of interest None

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development of ascites. Pregnancy was terminated at the 27th week. **c** Macroscopic imaging of the fetal specimen with opened thorax shows the voluminous solid tumor and the enlarged focal diaphragm in contact with the lesion. Infiltration of the diaphragm was confirmed at pathology. *L* liver, *T* tumor

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