

BASIC SCIENCE

Suppression of Sleep Spindle Rhythmogenesis in Mice with Deletion of Ca_v3.2 and Ca_v3.3 T-type Ca²⁺ Channels

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Study Objectives: Low-threshold voltage-gated T-type Ca²⁺ channels (T-channels or Ca_v3 channels) sustain oscillatory discharges of thalamocortical (TC) and *nucleus Reticularis thalami* (nRt) cells. The Ca_v3.3 subtype dominates nRt rhythmic bursting and mediates a substantial fraction of spindle power in the NREM sleep EEG. Ca_v3.2 channels are also found in nRt, but whether these contribute to nRt-dependent spindle generation is unexplored. We investigated thalamic rhythmogenesis in mice lacking this subtype in isolation (Ca_v3.2KO mice) or in concomitance with Ca_v3.3 deletion (Ca_v3.double-knockout (DKO) mice).

Methods: We examined discharge characteristics of thalamic cells and intrathalamic evoked synaptic transmission in brain slices from wild-type, Ca_v3.2KO and Ca_v3.DKO mice through patch-clamp recordings. The sleep profile of freely behaving Ca_v3.2KO and Ca_v3.DKO mice was assessed by polysomnographic recordings.

Results: Ca_v3.2 channel deficiency left nRt discharge properties largely unaltered, but additional deletion of Ca_v3.3 channels fully abolished low-threshold whole-cell Ca²⁺ currents and bursting, and suppressed burst-mediated inhibitory responses in TC cells. Ca_v3.DKO mice had more fragmented sleep, with shorter NREM sleep episodes and more frequent microarousals. The NREM sleep EEG power spectrum displayed a relative suppression of the σ frequency band (10–15 Hz), which was accompanied by an increase in the δ band (1–4 Hz).

Conclusions: Consistent with previous findings, Ca_v3.3 channels dominate nRt rhythmogenesis, but the lack of Ca_v3.2 channels further aggravates neuronal, synaptic, and EEG deficits. Therefore, Ca_v3.2 channels can boost intrathalamic synaptic transmission, and might play a modulatory role adjusting the relative presence of NREM sleep EEG rhythms.

Keywords: sleep spindles, sleep architecture, nucleus Reticularis thalami

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Significance

Sleep spindles are recurrent brain electrical oscillations typical for non-rapid-eye-movement sleep that protect the sleeping brain from external disturbance, sustain memory reinforcement in neuronal circuits and appear to be altered in several psychiatric disorders. We succeeded in suppressing sleep spindles in mice by genetically deleting the two low-voltage-gated Ca²⁺ channels responsible for rhythmic electrical discharges of cells in the *nucleus Reticularis thalami*, also known as the thalamic spindle pacemaker. Our findings help dissecting the genetic make-up of sleep rhythmogenesis and offer a mouse model to examine the pathophysiological consequences of disrupting sleep spindles.

INTRODUCTION

Low voltage-gated T-type Ca²⁺ channels (T-channels) enable neurons to produce low-threshold discharges that are essential for the generation of sleep rhythms, but that occur also in motor control and olfaction.^{1–6} Furthermore, T-channels may lead to aberrant bursting in neurons exposed to abnormal electrical activity.^{1,7,8} T-channels are encoded by three genes, *CACNA1g*, *CACNA1h* and *CACNA1i* that give rise to the subtypes Ca_v3.1, Ca_v3.2, and Ca_v3.3, respectively, characterized by different biophysical properties and expression patterns.^{9,10} T-channels are most abundant in thalamus, where they exhibit regional specificity: whereas Ca_v3.1 channel mRNA is restricted to excitatory thalamocortical (TC) cells, e.g., in the ventrobasal nucleus (VB), mRNA for both Ca_v3.2 and Ca_v3.3 channels is present in the *nucleus Reticularis thalami* (nRt),^{10,11} a shell of GABAergic cells modulating the information flow in the thalamocortical system.¹²

Genetic manipulations of Ca_v3 channels have yielded substantial insight into the mechanisms of oscillatory activity of neuronal cells. However, in contrast to the well-established role of T-channels in single-cell burst discharge, how these channels contribute to network rhythmic activity has only partially progressed since the generation of knock-out (KO) animals. Furthermore, in at least some cases, the relation between the cellular effects of T-channel subtype deletion and the purported role of burst discharges in EEG rhythms remains obscure. For example, Ca_v3.1 channels are clearly responsible for

low-threshold bursting in TC cells. However, both increases and decreases in the δ power (1–4 Hz) of the NREM sleep EEG were observed in animals lacking Ca_v3.1 channels.^{13,14} Therefore, a long-standing tenet on the TC cell clock-like burst discharges as basis for the EEG δ rhythm^{15,16} could not yet be confirmed based on Ca_v3 channel genetics.

We have previously shown that the Ca_v3.3 subtype is the major source of low-threshold Ca²⁺ spikes in nRt cell dendrites.¹⁷ In Ca_v3.3KO mice, nRt repetitive burst discharges were strongly reduced, leading to an impaired inhibitory drive onto TC cells. Furthermore, consistent with the previously recognized implication of the nRt in sleep spindle pacemaking, EEG power in the σ frequency range (10–15 Hz) was weakened at transitions between NREM and REM sleep in Ca_v3.3KO animals. There were no other major changes in EEG frequency bands, which indicated a Ca_v3.3-specific decrease in sleep spindle rhythmogenesis.¹⁷

Although Ca_v3.3-deficiency led to a reduction in sleep spindles, a substantial portion of power increase remained present in the σ frequency band at NREM sleep exit, suggesting that other cellular mechanisms contributing to these thalamocortical rhythms exist. A major candidate is the Ca_v3.2-current that has been identified in nRt cells,¹⁸ and that appears to be the target of several modulatory extracellular and intracellular signaling molecules.¹⁹ To date, Ca_v3.2 channels are implicated in peripheral nociception and neuropathic pain, and might be involved in

specific forms of thalamic processing, e.g., relay of nociceptive inputs.^{20–22} In addition, the expression of $Ca_v3.2$ channels can be modified in pathological conditions, e.g., in animal models of epilepsy.⁷ Whether and how $Ca_v3.2$ channels contribute to thalamic sleep rhythmogenesis has yet not been ascertained.

Here, we examined the consequences of silencing Ca_v3 -mediated nRt rhythmogenesis on the EEG profile of mice harboring a deletion of $Ca_v3.2$ and $Ca_v3.3$ genes (Ca_v3 .DKO). Whereas a lack of the $Ca_v3.2$ subtype alone in $Ca_v3.2$ KO mice did not cause major alterations to nRt cellular properties, Ca_v3 .DKO mice showed a fully abolished nRt low-threshold spiking and strongly impaired intrathalamic GABAergic transmission. In freely behaving $Ca_v3.2$ KO mice, the EEG power spectrum during NREM sleep was indistinguishable from that of wild-type animals. By contrast, in Ca_v3 .DKO mice, relative EEG power in the σ frequency range during NREM sleep was suppressed and accompanied by an increase in the δ frequency range. Although Ca_v3 .DKO mice spent globally more time in NREM sleep during the light phase, NREM sleep episodes were of shorter duration compared to wild-type animals. Thus, silencing low-threshold bursts in nRt neurons not only affects spindle generation, but also alters slow wave rhythmogenesis, likely due to deficient inhibitory drive onto TC cells.

METHODS

Animal Handling and Genotyping

All procedures were approved by the Veterinary Office of the Canton de Vaud. C57Bl/6J (wild-type), homozygous $Ca_v3.2$ KO and homozygous Ca_v3 .DKO mice were maintained under a 12:12h light/dark schedule (Zeitgeber time ZT 0: 7AM for animals used for electrophysiological recordings; 9AM for polysomnographic recordings). Homozygous $Ca_v3.2$ KO mice were obtained from heterozygous breeders ($Ca_v3.2$ het) from the *Cacna1h*-KO mouse line,²³ originally generated on a mixed C57Bl/6J-129S3 background that was backcrossed into the C57Bl/6J background for 4 generations and has since been backcrossed into the C57Bl/6J background for over 10 years. Homozygous Ca_v3 .DKO mice were obtained by crossing the $Ca_v3.2$ KO and the C57Bl/6J-*Cacnali*-KO ($Ca_v3.3$ KO) mouse line.¹⁷ First, $Ca_v3.2$ het/ $Ca_v3.3$ het mice were generated from $Ca_v3.2$ het and homozygous $Ca_v3.3$ KO mice. Second, $Ca_v3.2$ het/ $Ca_v3.3$ het were interbred, and the obtained $Ca_v3.2$ het/ $Ca_v3.3$ KO mice were selected as final breeders for the generation of Ca_v3 .DKO mice. The percentage of homozygous Ca_v3 .DKO mice at the time of genotyping (P8–12) was below Mendelian expectation (< 10%), suggesting high perinatal mortality. The genotype was determined by PCR using the following primers (5'–3') for the *CACNA1h* gene, following a protocol provided by Heinz Beck's laboratory at the University of Bonn: CACNA1hF: ATTCAAGGGCTTCCACAGGTA; CACNA1hR: CATCTCAGGGCCTCTGGACCAC; CACNA1hNeo: GCTAAAGC-GCATGCTCCAGACTG, yielding products of 480 bp for wild-type and 330 bp for $Ca_v3.2$ KO mice. For the *CACNALi* gene, primers were: CACNA1iF: CTGCTGTGGTACCCTCCTGTC; CACNA1iR: GACAGGGTACCTGCTGCATG; EN-2SA-3R: GGGTTCGTGTCCTACAACAC, yielding products of 900 bp for wild-type and 545 bp for $Ca_v3.3$ KO mice.¹⁷

Electrophysiological Recordings and Analyses

Acute horizontal brain slices (300 μ m-thick) were prepared at ZT 5–6 from 3–4 week-old animals of either sex, as previously described.^{17,24} In the recording chamber, slices were constantly superfused with oxygenated artificial CSF (ACSF) at 30–32°C containing (in mM): 125 NaCl, 25 NaHCO₃, 2.5 KCl, 1.25 NaH₂PO₄, 1.2 MgCl₂, 2 CaCl₂, 25 glucose, 1.7 L(+)-ascorbic acid. Visually identified nRt and TC neurons were whole-cell patched with borosilicate glass pipettes (TW150F-4, WPI). For recordings of passive membrane properties and spike discharges, pipettes (3–5 M Ω) were filled with an intracellular solution containing (in mM): 140 KMeSO₄, 10 KCl, 10 HEPES, 0.1 EGTA, 4 Mg-ATP, 0.2 Na-GTP, 10 phosphocreatine (290–300 mOsm, pH 7.25). A liquid junction potential of –10 mV was taken into account. For T-current isolation, patch pipettes (2–3 M Ω) were filled with the following solution (in mM): 135 tetramethylammonium hydroxide (TMA-OH), 40 HEPES, 10 EGTA, 2 MgCl₂, 4 Mg-ATP, titrated to pH 7.2 with 100 mM hydrofluoric acid (HF). The extracellular solution was supplemented with 1 μ M tetrodotoxin. A liquid junction potential of –2 mV was corrected for. T-current density and activation curve were estimated as previously described.¹⁷ For IPSC recordings in TC cells, pipettes (3–4 M Ω) were filled with (in mM): 127 CsGluconate, 10 HEPES, 2 BAPTA, 6 MgCl₂, 2 Mg-ATP, 0.2 Na-GTP, 10 phosphocreatine, 2.5 QX-314 (290–300 mOsm, pH 7.25). In TC neurons voltage-clamped at –30 mV, postsynaptic responses were evoked by monopolar stimulation in the internal capsule with an ACSF-filled glass electrode, in the absence of glutamatergic blockers. IPSC charge transfer was calculated as the integral of the current trace during 1s from response onset. For EPSP recordings in nRt cells, pipettes were filled with the KMeSO₄-based solution described above, and ACSF was supplemented with 0.1 mM picrotoxin and 0.01 mM glycine. Current-clamp recordings were conducted with automatic bridge-balance of pipette resistance. Glutamatergic afferents were electrically stimulated in the internal capsule with a paired-pulse protocol (50 ms interval). Inputs of cortical origin were selected based on the short-term facilitation of the postsynaptic responses,²⁵ as assessed by the ratio of the initial slopes of voltage responses to 2nd and 1st stimuli. The probability of eliciting a low-threshold spike was estimated by the presence of a burst discharge in the 2nd response (as opposed to tonic firing or lack of discharge). At least 8 paired-pulse responses were evoked in nRt cells held at –80 mV by current injections.

Series resistance (R_s) was monitored throughout recordings by brief voltage pulses, and data were rejected for R_s changes > 25%. Data were acquired through a Digidata1320 digitizer. Signals were amplified through a Multiclamp700B amplifier (Molecular Devices), sampled at 20 kHz and filtered at 10 kHz using Clampex10 (Molecular Devices). Clampfit10 (Molecular Devices) and Igor Pro 6 (WaveMetrics) were used for data analysis.

Chemicals

All standard salts and chemicals were purchased from SigmaAldrich, except the following: KMeSO₄ (ICN Biomedicals); L(+)-ascorbic acid (VWR Prolabo); Tetrodotoxin, (Latoxan); QX-314-Cl (Alomone Labs), picrotoxin (Abcam).

Polysomnographic Recordings and Analyses

Electroencephalographic (EEG/ECOG) and electromyographic (EMG) recordings were performed in male $Ca_v3.2KO$ mice, $Ca_v3.DKO$ mice and wild-type mice chronically implanted with electrodes for differential fronto-parietal EEG and nuchal muscle EMG, as previously described.^{17,26} EEG electrodes were 2 gold-plated screws (1.1 mm diameter) implanted on the right hemisphere ~1.5 mm frontal to the bregma and ~1.5 mm frontal to the lambda, respectively, both at ~1.5 mm from the central fissure. Four further screws were inserted on the 2 hemispheres at symmetrical positions to stabilize the pin connector. EMG electrodes were gold wires with a diameter of 0.2 mm. The whole implant was fixed to the skull with a first layer of resin cement (RelyX, 3-M, or G-CEM, GC America Inc.) and then covered with Paladur (Heraeus Kulzer). At the time of surgery, animals were 6–9 weeks old. $Ca_v3.DKO$ mice had slightly lower body weights (wild-type: 22.3 ± 0.9 g, $n = 8$ vs. $Ca_v3.2KO$: 21.8 ± 0.7 g, $n = 8$, and $Ca_v3.DKO$: 19.3 ± 0.9 g, $n = 8$; $P = 0.058$, one-way ANOVA). During one week of post-surgery recovery, mice received paracetamol (2 mg/mL) in drinking water. Animals were allowed one additional week to habituate once electrode implants were connected to the tethering cables. Polysomnographic acquisitions were performed in 48 h-long sessions with groups of 4 animals. The analog EEG and EMG signals were first amplified (gain 2000 x), and then high-pass filtered at 0.7 Hz and 10 Hz, respectively. Data were digitized at 2 kHz and down-sampled to 200 Hz through Somnologica 3.3.1 software (Embla System). Vigilance states were visually scored as wakefulness, NREM or REM sleep based on EEG/EMG signals according to well-established criteria.²⁶ Power spectra were determined from 48-h long recordings using discrete-Fourier transformation between 0.75 to 90 Hz (0.25 Hz bins) for consecutive 4-s epochs. For spectral analysis, a given epoch was rejected when an adjacent epoch was scored to a different vigilance state or contained movement artifacts. On average, $74\% \pm 5\%$ of the total waking time, $93\% \pm 1\%$ of the total NREM sleep time and $75\% \pm 2\%$ of the total REM sleep time were included in the spectral analysis of wild-type animals. The corresponding values for $Ca_v3.2KO$ mice are $82\% \pm 6\%$, $94\% \pm 1\%$, $84\% \pm 1\%$, and, for $Ca_v3.DKO$ mice, $74\% \pm 7\%$, $91\% \pm 1\%$ and $76\% \pm 4\%$. Mean power spectra were calculated as the average of all artifact-free 4-s epochs of the corresponding vigilance state during both light and dark phase. Sigma power at NREM-to-REM sleep transition was determined according to previously described procedures,^{3,26} with % σ power expressed relative to the mean values at min 0.5–1.5 after the transition to REM sleep. Microarousals were defined as waking episodes of ≤ 16 s duration preceded and followed by at ≥ 5 and ≥ 4 NREM sleep episodes, respectively. EEG analyses were performed with customized semi-automated routines written in Matlab v8.5 R2015a (The Mathworks).

Statistical Analyses

Data are presented as mean \pm SEM, with “n” indicating the number of cells for *in vitro* recordings, and number of animals for EEG datasets. The use of parametric or nonparametric statistical tests was based on the normal distribution of the

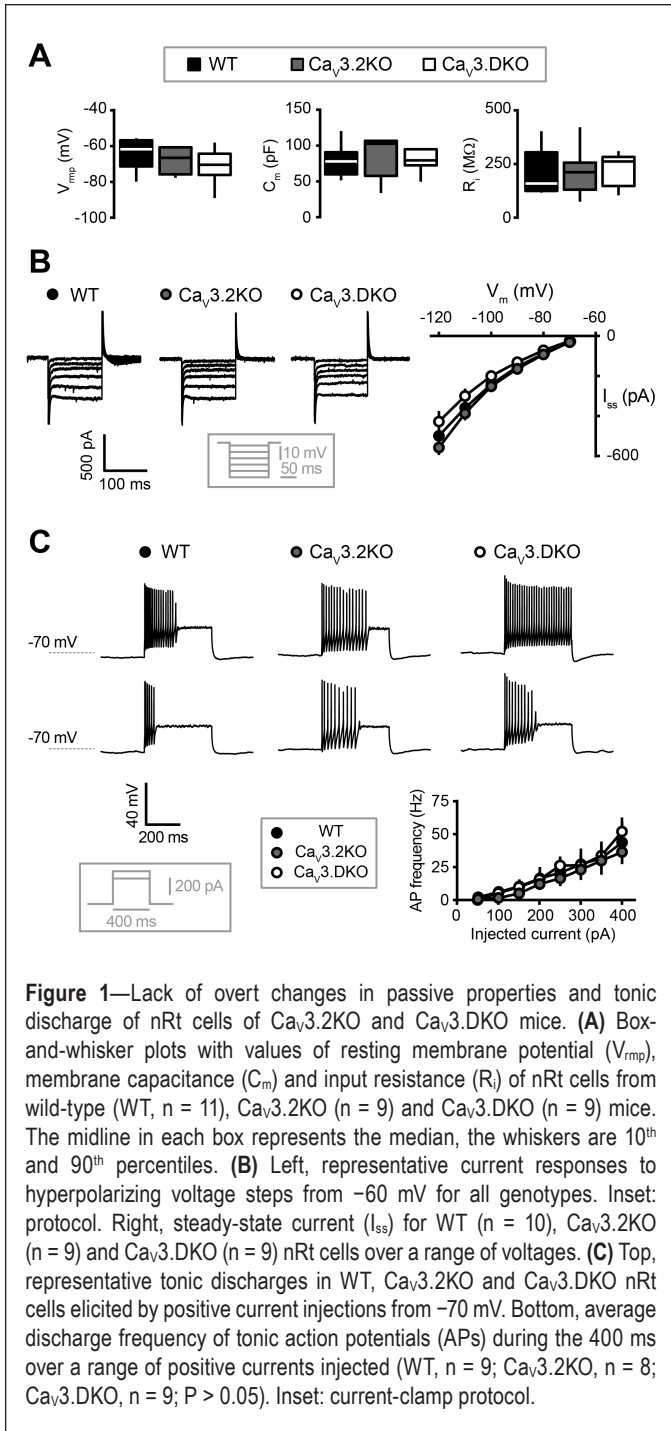
data. One-way ANOVA and repeated measures ANOVA were performed in JMP.10 (SAS Institute Inc.), followed by post hoc Student *t*-test, with significance accepted for $\alpha < 0.05$. Greenhouse-Geisser (G-G) correction was applied to account for violation of sphericity (Mauchly test), where necessary. Cumulative distributions of bouts of behavioral states were compared with the Kolmogorov-Smirnov test in Matlab, with a significance level of 0.01.

RESULTS

Abolishment of nRt Low-Threshold Burst Discharges in $Ca_v3.DKO$ Mice

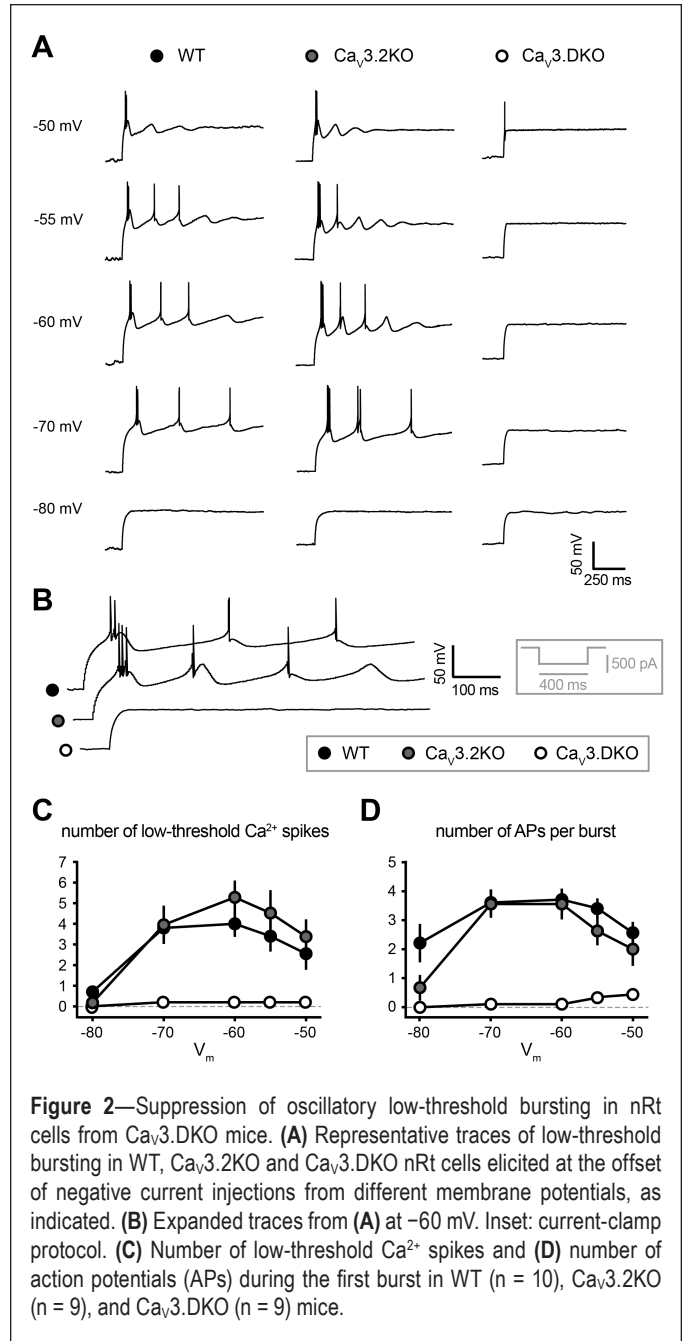
In acute brain slices from wild-type, $Ca_v3.2KO$ and $Ca_v3.DKO$ mice, we compared basic electrophysiological parameters of nRt neurons by means of whole-cell patch-clamp recordings (Figure 1). No overt difference between genotypes was present for values of resting membrane potential (V_{mp} : wild-type, -64.6 ± 2.6 mV; $Ca_v3.2KO$, -67.9 ± 2.6 mV; $Ca_v3.DKO$, -70.9 ± 3.1 mV), cell capacitance (C_m : wild-type, 78.7 ± 7 pF; $Ca_v3.2KO$, 85.9 ± 10 pF; $Ca_v3.DKO$, 80.0 ± 5 pF), and input resistance (R_i : wild-type, 204.8 ± 31.4 M Ω ; $Ca_v3.2KO$, 207.4 ± 34.2 M Ω ; $Ca_v3.DKO$, 227.5 ± 24.9 M Ω) (wild-type, $n = 11$ cells; $Ca_v3.2KO$, $n = 9$; $Ca_v3.DKO$, $n = 9$; for all parameters $P > 0.05$, one-way ANOVA; Figure 1A). Current responses to hyperpolarizing voltage steps, which include activation of inwardly rectifying and HCN channels, were also comparable (Figure 1B). Moreover, tonic firing elicited by depolarizing current injections (400 ms) from a holding potential of -70 mV displayed similar input-output relationships (wild-type, $n = 9$; $Ca_v3.2KO$, $n = 8$; $Ca_v3.DKO$, $n = 9$; Figure 1C). We noticed a tendency of $Ca_v3.DKO$ neurons to sustain prolonged tonic firing, whereas wild-type and $Ca_v3.2KO$ often displayed strong accommodation after the first 200 ms of depolarization. We quantified spiking frequency during the second half of the 400 ms-long depolarization, and found a consistent tendency for an increase in $Ca_v3.DKO$ neuron firing rate (e.g. 400 pA step: wild-type, 26.7 ± 17.8 Hz; $Ca_v3.2KO$, 26.8 ± 10.1 Hz; $Ca_v3.DKO$, 43.9 ± 11.7 Hz; $P > 0.05$). This is consistent with a previous study reporting on increased propensity of $Ca_v3.DKO$ nRt cells to generate tonic firing during prolonged step depolarization (> 1 s).²⁷

We next examined low-threshold spiking generated at the offset of brief hyperpolarizing current steps (Figure 2). Wild-type cells ($n = 10$) displayed rhythmic low-threshold Ca^{2+} spikes accompanied by bursts of Na^+ action potentials, the number of which varied depending on the initial membrane potential. Typically, bursts discharges were best elicited at V_m values in the range between -70 mV and -55 mV. Interestingly, in nRt cells from $Ca_v3.2KO$ mice, no significant change in repetitive bursting was found ($P > 0.05$; $n = 9$; Figure 2C, 2D). Inter-burst intervals measured for discharges elicited from -70 mV also did not differ between genotypes (interval between initial APs of the first and second burst: wild-type, 168 ± 16 ms, $n = 6$; $Ca_v3.2KO$, 181 ± 14 ms, $n = 8$; $P > 0.05$, unpaired *t*-test). By contrast, $Ca_v3.DKO$ mice displayed a complete lack of oscillatory low-threshold spiking, as previously reported.²⁷ Occasionally, a single action potential appeared in $Ca_v3.DKO$ cells at



the offset of hyperpolarizing steps applied from more depolarized membrane potentials (-50 mV, observed in 4 of 9 cells).

Next, we quantified the contribution of *Ca_v3.2* channels to isolated low-threshold Ca^{2+} currents (T-currents) that were elicited by increasing depolarizing steps applied to nRt cells voltage-clamped at -100 mV (Figure 3). Compared to wild-type cells, currents from *Ca_v3.2KO* cells displayed a slight prolongation of inactivation kinetics ($\tau_{w, decay}$ at -60 mV: wild-type, 58.9 ± 4.5 ms, $n = 9$; *Ca_v3.2KO*, 83.1 ± 10.9 ms, $n = 8$; $P = 0.07$, unpaired *t*-test; Figure 3A), consistent with previous data from younger (2 week-old) *Ca_v3.2KO* mice.¹⁸ Activation kinetics were equivalent (10% to 90% rise time at -60 mV:



wild-type, 6.8 ± 0.6 ms, $n = 9$; *Ca_v3.2KO*, 6.1 ± 0.5 ms, $n = 8$; $P > 0.05$, unpaired *t*-test; Figure 3A). Current density and activation curve were comparable, with no significant modification in the estimated V_{half} (wild-type, -71.1 ± 1.0 mV, $n = 9$; *Ca_v3.2KO*, -69.8 ± 1.7 mV, $n = 8$; $P > 0.05$, unpaired *t*-test; Figure 3B). In *Ca_v3.DKO* mice, no detectable T-currents were generated across the entire range of voltage steps ($n = 9$).

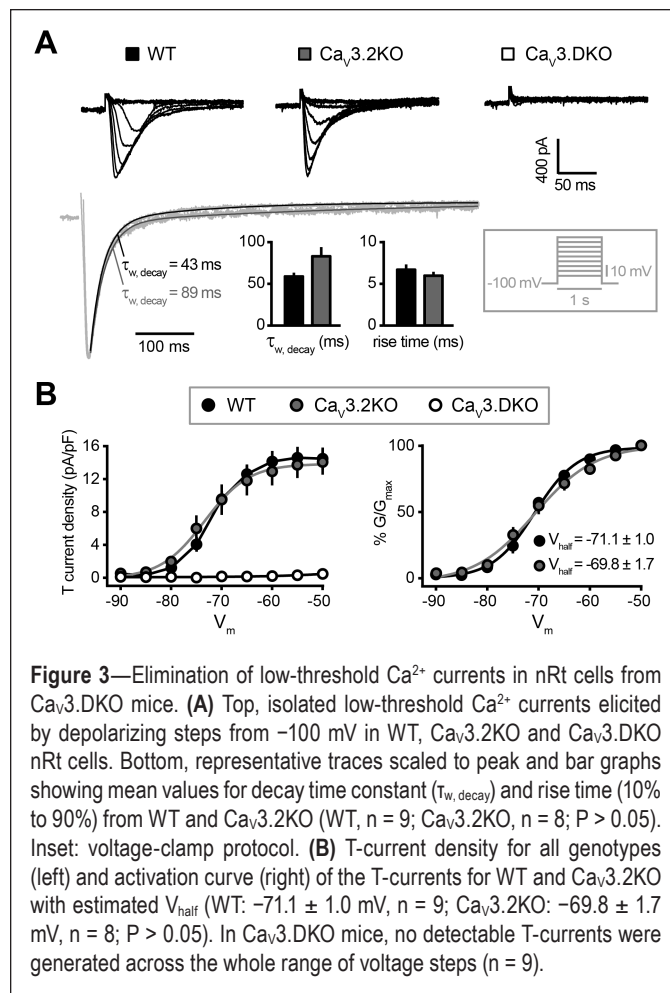
Together, deletion of *Ca_v3.2* and *Ca_v3.3* channels completely abolished T-currents in nRt cells, with a consequent suppression of repetitive oscillatory low-threshold discharges. The absence of the *Ca_v3.2* subtype did not induce overt changes in the single-cell electrophysiological profile, confirming the dominant role of *Ca_v3.3* channels in setting nRt cells responsiveness to somatic voltage fluctuations.¹⁷ However, it is also well known that the electrotonic properties of the thin nRt cell

dendrites hamper proper space-clamp, which prevents the controlled activation of voltage-dependent conductances in distal compartments.²⁸ This could be one reason for which a $Ca_v3.2$ -current component went undetected in our somatic whole-cell recordings.

Impaired Intrathalamic GABAergic Transmission in Ca_v3 .DKO Mice

We next examined the impact of $Ca_v3.2$ and $Ca_v3.3$ channel deletion on synaptic transmission and excitability within intrathalamic networks, which are both relevant for sleep rhythm generation.^{17,29} We first verified whether thalamocortical (TC) cells in the ventrobasal nucleus (VB) continue to generate low-threshold discharges via $Ca_v3.1$ channels^{13,14} when their synaptic partners in the nRt are burst-deficient. TC cells from wild-type, $Ca_v3.2$ KO and Ca_v3 .DKO mice displayed comparable responses to hyperpolarizing current injections, which reliably elicited low-threshold spikes crowned by the same number of action potentials (number of APs in bursts generated by a current injection of -250 pA: wild-type, 2.8 ± 0.4 , $n = 9$; $Ca_v3.2$ KO, 2.5 ± 0.5 , $n = 6$; Ca_v3 .DKO, 2.2 ± 0.4 , $n = 6$; $P > 0.05$, one-way ANOVA; Figure 4A).

Low-threshold bursting of nRt generates phasic inhibitory currents in TC cells comprising a fast and a slow component, mediated by synaptic and non-synaptic GABA_A receptors, respectively.^{29,30} In TC cells held at -30 mV using low Cl^- -based electrodes we evoked IPSCs by electrically stimulating the internal capsule, while leaving excitatory transmission intact, thus permitting the recruitment of nRt cell discharges by glutamatergic inputs. In wild-type mice, evoked responses in TC cells typically consisted of multiphasic “burst IPSCs” (bIPSCs) at stimulation intensities > 100 μ A, with a sequence of fast events riding on a slower current envelope, previously reported to result from presynaptic burst discharge.^{17,29,31} Deletion of $Ca_v3.2$ channels decreased the charge transfer of bIPSCs elicited at higher intensities (250 – 300 μ A: wild-type, 91.0 ± 21.1 pC, $n = 7$; $Ca_v3.2$ KO, 50.7 ± 10.7 pC, $n = 8$; $P < 0.05$ one-way ANOVA; Figure 4B). By contrast, in TC cells from Ca_v3 .DKO, only small monophasic IPSCs lacking the waveform typical for bIPSCs could be evoked, and the charge transfer was strongly diminished (250 – 300 μ A: Ca_v3 .DKO, 13.5 ± 3.6 pC, $n = 7$; $P < 0.01$ compared to wild-type; Figure 4B). Finally, we examined the responsiveness of nRt cells to cortical glutamatergic inputs, which are thought to initiate spindle rhythmogenesis by activating low-threshold conductances in nRt dendrites.^{32,33} In current-clamped nRt cells at -80 mV, we evoked EPSPs of cortical origin by selecting responses that exhibited short-term facilitation upon paired-pulse stimulation in the internal capsule.²⁵ Paired-pulse ratio of the initial slope of voltage responses was comparable between genotypes (wild-type, 2.2 ± 0.2 , $n = 8$; $Ca_v3.2$ KO, 2.4 ± 0.2 , $n = 7$; Ca_v3 .DKO, 2.7 ± 0.4 , $n = 6$; $P > 0.05$ one-way ANOVA; Figure 4C). In wild-type and in $Ca_v3.2$ KO mice, the second stimulus typically elicited a low-threshold burst, with an occurrence of $68\% \pm 8\%$ and $52\% \pm 15\%$, respectively. In Ca_v3 .DKO mice, no bursting was generated ($P < 0.01$ Wilcoxon test, compared to the other genotypes), despite the sufficient depolarization provided by the second stimulus (on average 12.5 ± 1.2 mV),

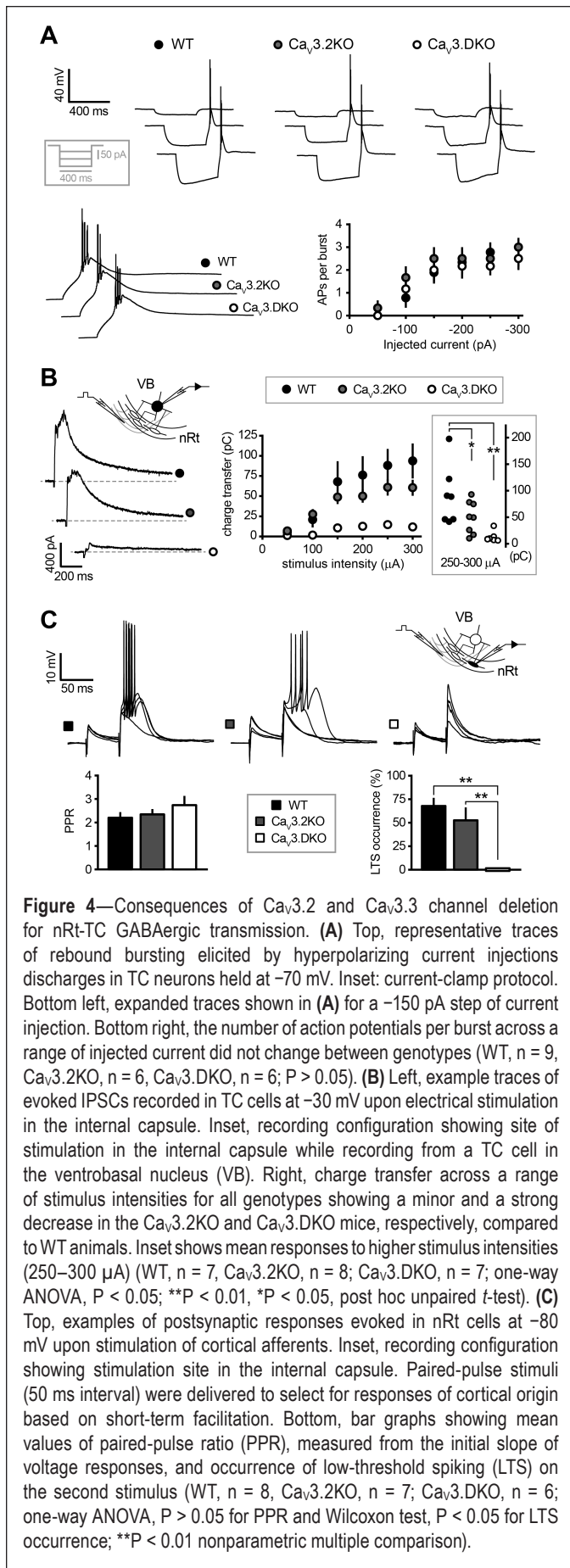


which occasionally elicited a single suprathreshold action potential (in 2 of 6 cells).

Together, these data reveal a pronounced deficit in the intrathalamic GABAergic transmission in Ca_v3 .DKO mice, which is due to an impaired capability of nRt in generating low-threshold discharges. Although the deletion of $Ca_v3.2$ channels did not appear to affect nRt repetitive bursts in response to somatic current injections, $Ca_v3.2$ KO mice displayed a reduction in the charge transfer of bIPSCs. This discrepancy between somatically and synaptically elicited bursting suggests that $Ca_v3.2$ deletion affects the efficacy of excitatory inputs in recruiting nRt dendritic Ca^{2+} conductances.

EEG Sleep Profile of $Ca_v3.2$ KO and Ca_v3 .DKO Mice

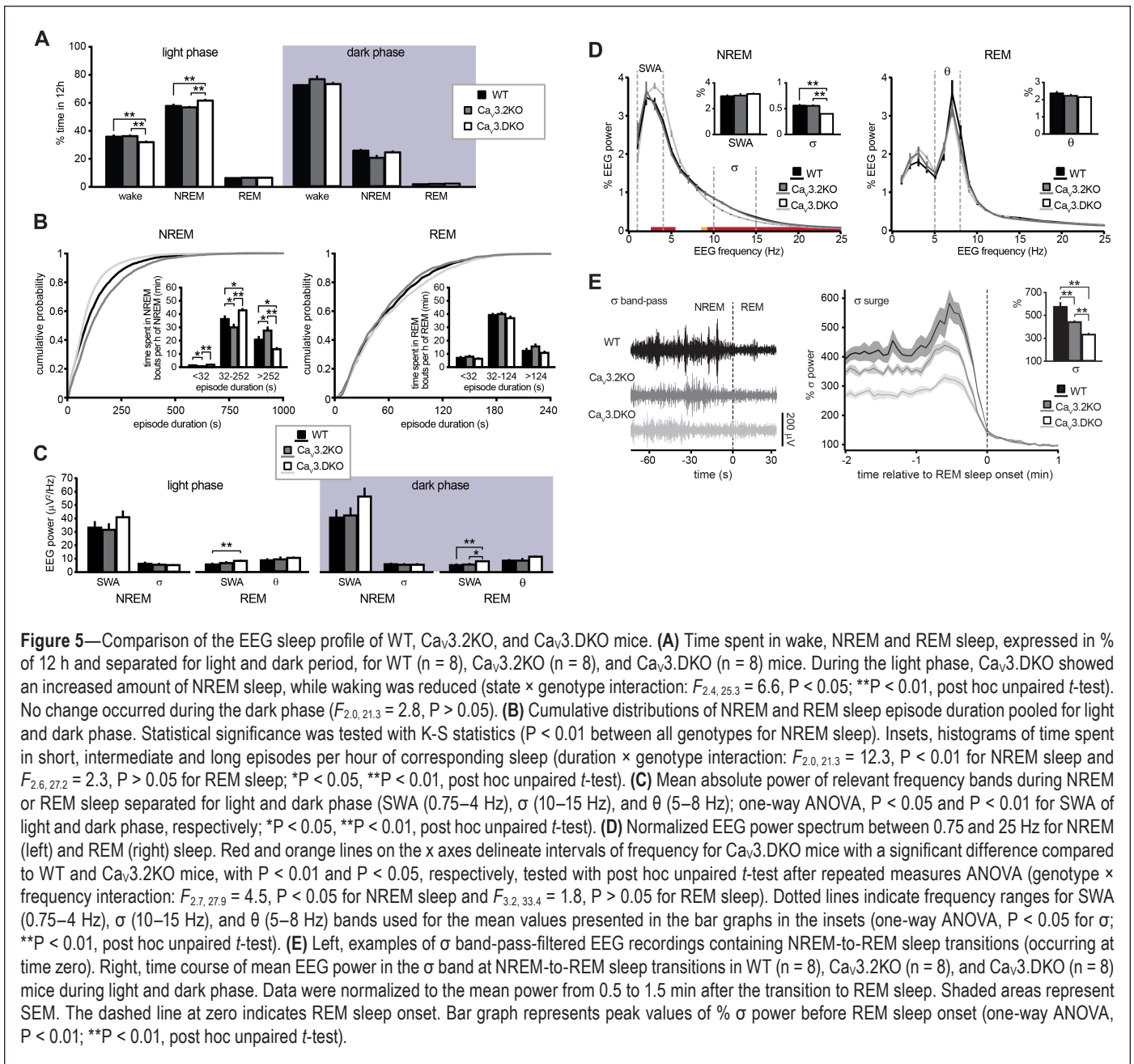
The lack of low-threshold bursting in nRt cells from Ca_v3 .DKO mice predicted a marked deregulation of thalamic sleep rhythmogenesis. In particular, we expected Ca_v3 .DKO mice to exhibit deficits in sleep spindle generation, which depends on nRt bursting and on reverberatory activity within the nRt-TC loop.^{32,34,35} We performed polysomnographic recordings in freely behaving wild-type ($n = 8$), $Ca_v3.2$ KO mice ($n = 8$), and Ca_v3 .DKO mice ($n = 8$) chronically implanted with EEG/EMG electrodes and recorded under undisturbed conditions for 48 h. In the following, we describe sleep architecture and EEG power spectra during NREM sleep, REM sleep, and waking in the three genotypes.



$Ca_v3.2KO$ mice spent similar amounts of time in the different vigilance states as compared to wild-type animals, except for a slight reduction in NREM sleep during the dark phase ($F_{2.0, 21.3} = 2.8$, $P = 0.08$; Figure 5A). During the light phase, $Ca_v3.3DKO$ mice spent, on average, more time in NREM sleep and less time in waking, as compared to wild-type and $Ca_v3.2KO$ mice ($F_{2.4, 25.3} = 6.6$, $P < 0.01$). Inspection of sleep bout length revealed that NREM sleep episodes of long duration (> 252 s) occurred more frequently in $Ca_v3.2KO$ mice, whereas bouts of intermediate duration (32 – 252 s) were predominant in $Ca_v3.3DKO$ mice at the expense of long episodes ($F_{2.0, 21.3} = 12.3$, $P < 0.01$; Figure 5B). By contrast, no alterations were found in episode length and global time spent in REM sleep ($F_{2.6, 27.2} = 2.3$, $P > 0.05$; Figure 5A, 5B) or in the number of transitions from NREM to REM sleep (per hour of NREM sleep: 6.0 ± 0.5 in wild-type vs. 6.7 ± 0.4 in $Ca_v3.2KO$ mice, and 5.8 ± 0.4 in $Ca_v3.3DKO$ mice, $P > 0.05$). The changes in NREM sleep architecture appeared to be counterbalanced by alterations in waking episodes. Compared to wild-type and $Ca_v3.2KO$ mice, $Ca_v3.3DKO$ mice spent more time in brief and intermediate periods of wakefulness (< 32 s, 32 – 124 s, $F_{2.1, 22.5} = 11.6$, $P < 0.01$; Figure 6A), and, interestingly, NREM sleep episodes were more frequently interrupted by microarousals (per hour of NREM sleep: 13.2 ± 1.1 microarousals in wild-type vs. 8.8 ± 0.9 in $Ca_v3.2KO$ mice, and 17.6 ± 0.9 in $Ca_v3.3DKO$ mice, $P < 0.01$ for both KO genotypes compared to wild-type). Thus, although $Ca_v3.3DKO$ mice spent globally more time in NREM sleep episodes, these were of shorter duration, and sleep was generally more disrupted by short awakenings.

Next, we examined EEG power spectra of NREM and REM sleep. Absolute values of NREM sleep EEG power did not significantly differ between genotypes, although $Ca_v3.3DKO$ mice appeared to show an increase in the low-frequency range (slow-wave activity, SWA, 0.75 – 4 Hz) during both light and dark phase (Figure 5C).

To examine the relative contribution of specific spectral frequencies to the global EEG profile, and to account for inter-individual variations in EEG signal amplitude, we compared percentage EEG power values obtained by normalizing each frequency bin to the total power for each behavioral state (Figure 5D). Whereas wild-type and $Ca_v3.2KO$ mice had largely superimposable NREM sleep power spectra, significant differences were visible in $Ca_v3.3DKO$ mice ($F_{2.7, 27.9} = 4.5$, $P < 0.05$). In particular, a marked reduction occurred across the whole σ frequency range (10 – 15 Hz: $0.57\% \pm 0.03\%$ in wild-type vs. $0.56\% \pm 0.03\%$ in $Ca_v3.2KO$ mice, and $0.41\% \pm 0.01\%$ in $Ca_v3.3DKO$ mice; $P < 0.01$, post hoc unpaired t -test). A compromised spindle rhythmogenesis in $Ca_v3.3DKO$ mice was also found when EEG power dynamics were analyzed at transitions between NREM and REM sleep, which are spindle-rich periods.^{17,26} In the NREM sleep epochs preceding REM sleep, σ power typically exhibits a surge, which is accompanied by a decrease in δ power, indicative of reduced sleep depth. $Ca_v3.2KO$ mice displayed a partially reduced σ power surge, which, in $Ca_v3.3DKO$ mice, was further diminished ($570 \pm 43\%$ in wild-type vs. $438 \pm 15\%$ in $Ca_v3.2KO$ mice and $328 \pm 15\%$ in $Ca_v3.3DKO$ mice; $P < 0.01$, Figure 5E) and also preceded by a lower level of basal σ power. In contrast to the changes

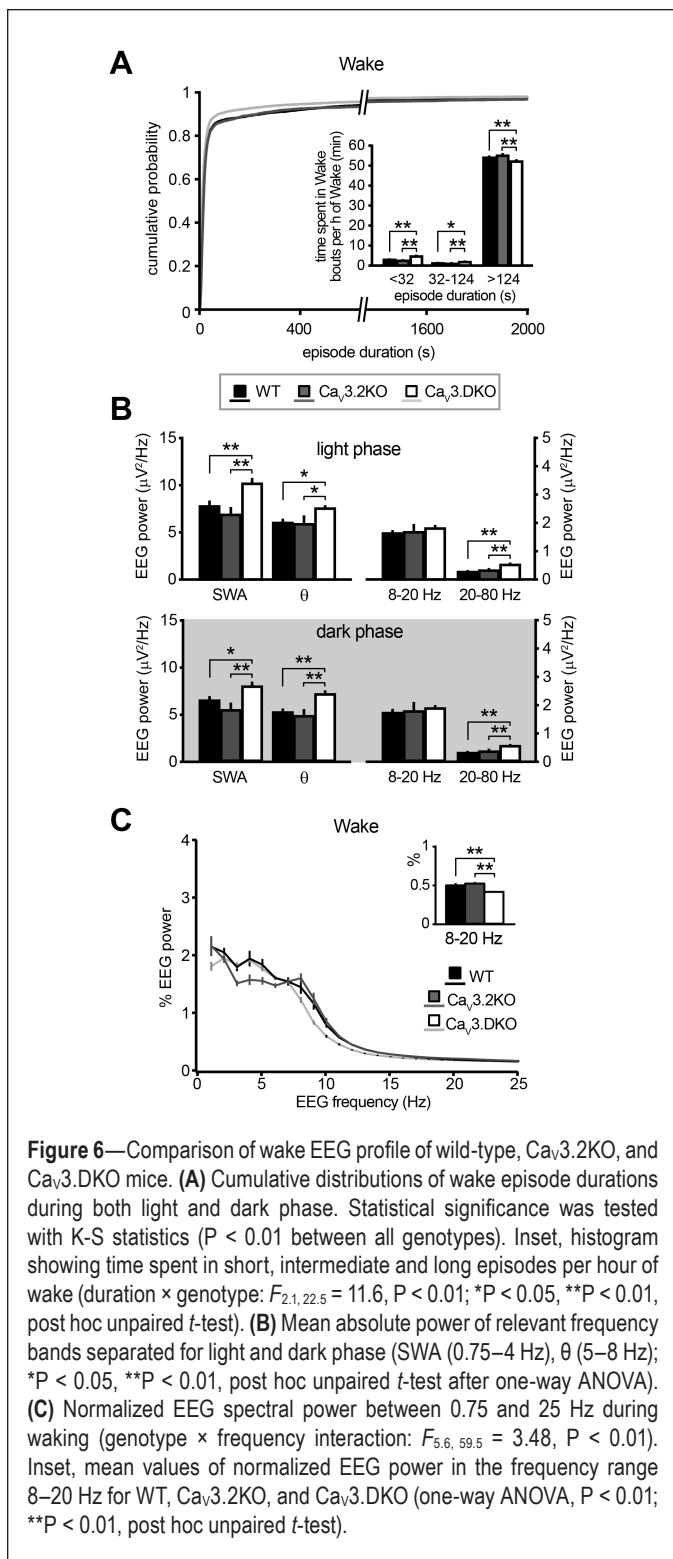


in σ power, relative EEG power in the low-frequency range was globally unaltered (0.75–4 Hz: $3.0\% \pm 0.1\%$ in wild-type vs. $3.0\% \pm 0.2\%$ in $Ca_v3.DKO$ mice and $3.2\% \pm 0.1\%$ in $Ca_v3.2KO$ mice; $P > 0.05$, post hoc unpaired t -test). Interestingly, however, in $Ca_v3.DKO$ mice a significant increase was obtained when the higher range of δ frequencies was considered (2–4 Hz: $3.2 \pm 0.1\%$ in wild-type vs. $3.2 \pm 0.1\%$ in $Ca_v3.2KO$ mice and $3.6 \pm 0.1\%$ in $Ca_v3.DKO$ mice, $P < 0.05$ for $Ca_v3.DKO$ mice compared to wild-type and $Ca_v3.2KO$; post hoc unpaired t -test).

The normalized EEG power spectrum of REM sleep was not significantly altered in $Ca_v3.2KO$ and $Ca_v3.DKO$ mice ($F_{3,2,33.4} = 1.8$, $P > 0.05$; Figure 5D). A slight increase in the higher range of δ frequencies during REM sleep seemed to gradually appear with Ca_v3 single and double deletion (2–4 Hz: $1.70\% \pm 0.11\%$ in wild-type vs. $1.86\% \pm 0.13\%$ in $Ca_v3.2KO$

mice and $1.93\% \pm 0.03\%$ in $Ca_v3.DKO$ mice; $P > 0.05$). A difference in SWA was visible for absolute values of REM sleep EEG power of $Ca_v3.DKO$ mice ($P < 0.01$ compared to wild-type, for both phases; Figure 5C).

Absolute values of waking EEG power of $Ca_v3.DKO$ mice exhibited a general increase across frequency bands during both light and dark phase compared to wild-type and $Ca_v3.2KO$ mice ($P < 0.01$ for both phases; Figure 6B). The relative contribution of the distinct frequency bands to the normalized power spectra was different ($F_{5,6,59.5} = 3.48$, $P < 0.01$), in particular at frequency ranges corresponding to the α (8–12 Hz) and the β (12–20 Hz) band that displayed, on average, a significant reduction (8–12 Hz and 12–20 Hz: $0.89\% \pm 0.05\%$ and $0.29\% \pm 0.01\%$ in wild-type vs. $0.94\% \pm 0.05\%$ and $0.28\% \pm 0.01\%$ in $Ca_v3.2KO$ mice, and $0.70\% \pm 0.02\%$ and $0.25\% \pm 0.01\%$ in $Ca_v3.DKO$ mice, $P < 0.01$ for $Ca_v3.DKO$



mice compared to wild-type and $Ca_v3.2$ KO, post hoc unpaired t -test; Figure 6C).

Altogether, analysis of sleep EEG power indicates distinct contributions of $Ca_v3.2$ and $Ca_v3.3$ channels to thalamocortical rhythmogenesis. $Ca_v3.2$ single deletion did not cause major alterations to the spectral profile of NREM sleep. However, some differences in σ power dynamics were observed at transitions between NREM and REM sleep, i.e., at periods of

enhanced sleep spindle rhythmogenesis. $Ca_v3.2$ and $Ca_v3.3$ double deletion induced a marked decrease in the relative contribution of the σ frequency range that was also evident in the average NREM sleep power spectrum, consistent with a constitutive impairment in sleep spindle rhythmogenesis. Additionally, the EEG power in the δ frequency range was augmented. $Ca_v3.DKO$ mice spent more time in NREM sleep, but the predominance of short NREM sleep episodes together with the increase in microarousals indicates a more fragmented sleep architecture.

DISCUSSION

This work contributes to clarify the roles of Ca^{2+} channels for thalamic oscillations and sleep rhythms. In line with previous results, our data provide further evidence for a dominant role of $Ca_v3.3$ subtype in sustaining nRt low-threshold bursting and in activating the intrathalamic loop that underlies spindle pacemaking. In addition, our findings point at a modulatory function of the $Ca_v3.2$ subtype for nRt excitability, which might be involved in dynamically changing the strength of thalamic oscillations, e.g., by boosting sleep spindle rhythmogenesis at NREM to REM transitions. Based on these observations, we propose that the co-expression of $Ca_v3.2$ and $Ca_v3.3$ subtypes in nRt underlies distinct aspects of this pacemaker structure in rhythmogenesis. In addition, the lack of low-threshold Ca^{2+} currents in $Ca_v3.DKO$ mice excludes a functional contribution of the $Ca_v3.1$ subtype, although molecular analyses have also identified the presence of this isoform in nRt cells.³⁶

Our study was largely based on a comparative analysis of single KOs for $Ca_v3.2$ channels and the DKO lacking both $Ca_v3.2$ and $Ca_v3.3$ channels (Figure 7). We found that removal of $Ca_v3.2$ channels alone did not cause major alterations to nRt discharge, consistent with previous reports indicating a major contribution of $Ca_v3.3$ channels.^{17,18} In particular, low-threshold oscillatory bursting typical for NREM sleep rhythms, such as sleep spindles, was largely preserved in $Ca_v3.2$ KO mice, and the underlying T-currents were comparable with wild-type levels.

We have analyzed cell excitability by applying somatic voltage steps, which might amplify the activation of proximal Ca_v3 channels and underestimate the contribution of distal compartments, especially in the case of the elongated dendrites of nRt neurons.³⁷ Are $Ca_v3.2$ channels preferentially located in distal dendritic branches? T-currents in nucleated patches from younger mice were shown to display higher Ni^{2+} sensitivity and faster inactivation kinetics than whole-cell T-currents, indicating that, at least during development, $Ca_v3.2$ channels are mainly located at proximal sites.²⁸

We have further characterized the capability of Ca_v3 channels to generate nRt discharge when synaptically recruited. Upon stimulation of glutamatergic inputs onto nRt, bIPSCs could still be evoked in TC cells of $Ca_v3.2$ KO mice, although with a reduced charge transfer, whereas this form of GABAergic transmission was impaired by $Ca_v3.3$ ablation.¹⁷ Synaptic recruitment of $Ca_v3.3$ channels is hence obligatory for bIPSC generation, whereas $Ca_v3.2$ channels additionally appear to boost synaptic transmission mediated through burst discharge. A modulatory function for

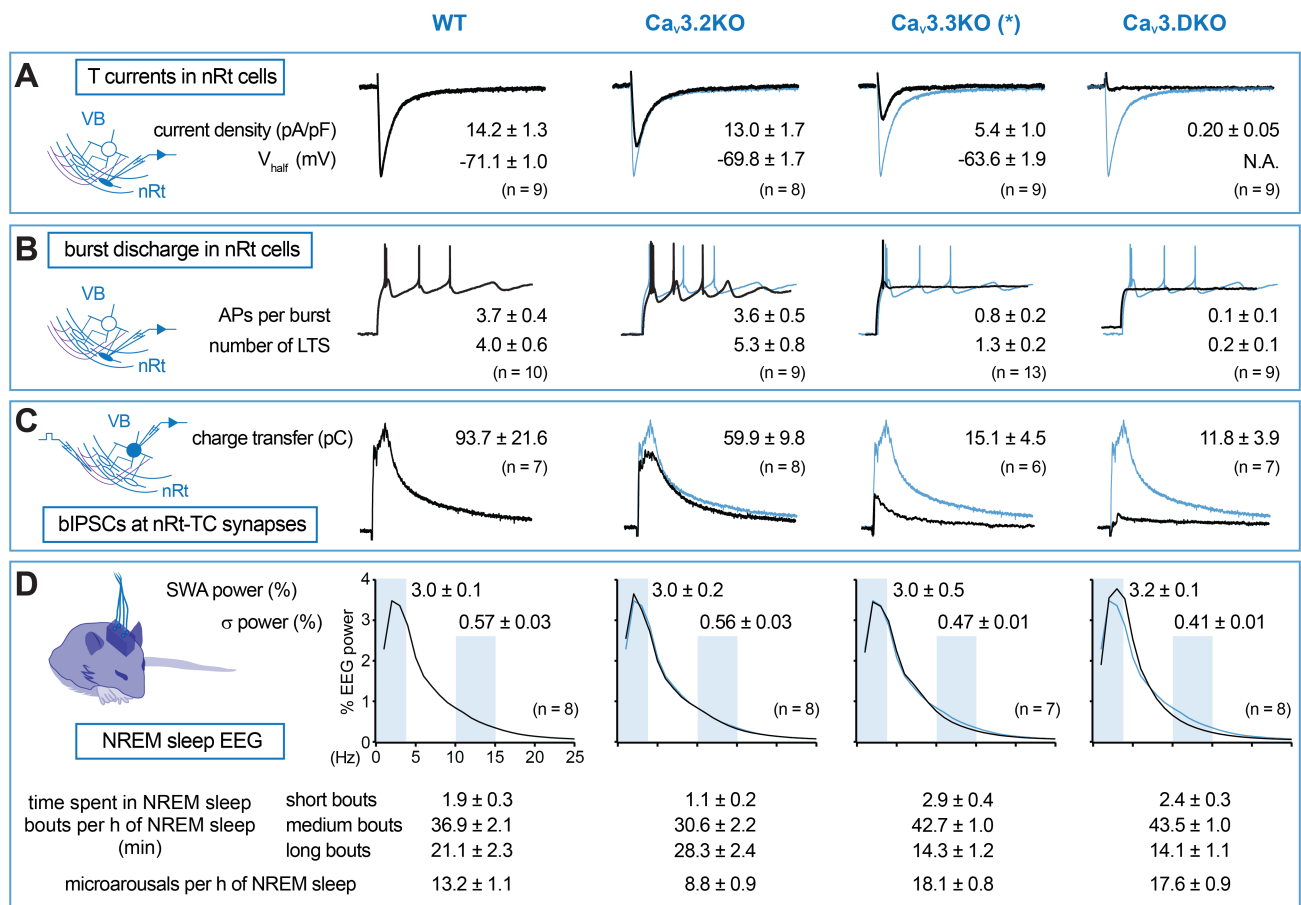


Figure 7—Summary of cellular and sleep data from mice with genetic deletions of Cav3 genes. **(A)** Low-threshold Ca²⁺ currents (T-currents) elicited in nRt cells by somatic depolarization are strongly reduced by Cav3.3 single and Cav3.2xCav3.3 double ablation (Cav3.DKO). Traces show representative T-currents in all genotypes generated by a +40 mV depolarizing step from -100 mV holding potential. Mean values for T-current density (+40 mV depolarization) and for V_{half} (estimated from the whole activation curve) are specified for each genotype. **(B)** The repetitive low-threshold bursting elicited in nRt cells at the offset of somatic current injections is preserved in Cav3.2KO mice, strongly impaired in Cav3.3KO mice, and completely abolished in Cav3.DKO mice. Traces are representative burst discharges in all genotypes, generated by a 400-ms-long hyperpolarization of -500 pA from -60 mV. Mean values for number of action potentials (APs) within the first burst and number of repetitive low-threshold Ca²⁺ spikes (LTS) are reported. **(C)** Cav3 channels differentially contribute to the inhibitory postsynaptic currents elicited in TC cells by burst discharge (bIPSCs) of nRt cells, which is evoked by electric stimulation of glutamatergic afferents. Cav3.2 deletion partially reduces multiphasic currents, which are abolished by additional Cav3.3 ablation. Average values of inhibitory charge transfer (generated by stimuli of 250–300 μA) are reported on top of representative bIPSCs. **(D)** Power spectra of NREM sleep are not altered in Cav3.2KO mice. Cav3.3 deletion causes a selective reduction in the σ frequency range (10–15 Hz), which becomes more pronounced in case of Cav3.2xCav3.3 ablation. Additionally, Cav3.DKO mice exhibit a marked increase in EEG power in the δ frequency range (2–4 Hz). Normalized NREM sleep EEG power spectrum between 0.75 and 25 Hz are shown, with shaded boxes indicating relevant intervals of frequency: SWA (0.75–4 Hz) and σ (10–15 Hz) and average values on the top. Bottom, mean values of time spent in short (< 32 s), intermediate (32–252 s), and long (> 252 s) episodes of NREM sleep and number of microarousals per hour of NREM sleep, indicating that Cav3.3 deletion is sufficient to cause deficits in sleep consolidation. In each panel, the scheme on the left represents the recording configuration, and the blue traces on the right are wild-type data superimposed on the traces from the other genotypes for comparison. (*) Cav3.3KO data are reported from reference¹⁷. The NREM sleep power spectrum is presented here with normalized % values.

Ca_v3.2 channels, as opposed to a constitutive function of the dominating Ca_v3.3 channels, would be consistent with the well-documented sensitivity of the Ca_v3.2 channel to redox agents and to intracellular kinases¹⁹ and might be important for use-dependent regulation of thalamocortical oscillations. An interesting open question is whether distinct Ca_v3 channel subunits are differentially recruited by cortical and thalamic glutamatergic inputs impinging onto nRt dendrites, thus modulating the feed-forward inhibition of TC cells in a subtype-specific manner.

Whereas Ca_v3.3-KO nRt cells showed a residual low-threshold Ca²⁺ spike carried by Ca_v3.2 channels,¹⁷ Cav3.DKO mice displayed a complete lack of dendritic T-currents and rebound oscillatory bursting. This resulted in the abolishment of bIPSCs in TC cells, predicting disturbances in thalamic rhythms.²⁹ The striking effects on nRt excitability and intrathalamic synaptic transmission were indeed reflected by changes in the EEG sleep profile of Cav3.DKO mice. Corroborating our previous studies on the role of Ca_v3.3 channels in σ power, we found again a marked decrease in the σ power

surge when both Ca_v3 channel subtypes are lacking. Additionally, Ca_v3 .DKO mice also showed a reduced σ band in the normalized NREM sleep power spectrum. This suggests a more pronounced impairment in sleep spindle rhythmicity, as compared to Ca_v3 .3KO mice, which is consistent with the full disappearance of bIPSCs in the DKO mice. Comparison of cellular and sleep data from this study and from our previous report on Ca_v3 .3KO mice¹⁷ reveals how the progressive impairment in nRt and intrathalamic excitability caused by $Ca_v3.2$ and $Ca_v3.3$ single and double ablation is accompanied by increasing deficits in NREM sleep power characteristics (Figure 7). Notably, Ca_v3 .3KO mice and Ca_v3 .DKO mice present a similar rearrangement of NREM sleep bouts and a higher incidence of microarousals, as compared to wild-type and $Ca_v3.2$ KO animals, indicating that $Ca_v3.3$ deletion is largely sufficient to deregulate sleep consolidation. Surprisingly, $Ca_v3.2$ KO mice appear to have more consolidated NREM sleep, with bouts of longer duration less frequently interrupted by microarousals. Notably, $Ca_v3.2$ KO mice spent less time in NREM sleep during the dark phase, suggesting a compensatory upregulation of NREM sleep duration during the light phase. The reason for this difference during the active phase is likely to be independent of thalamocortical rhythmicity. $Ca_v3.2$ channels are highly expressed in the basal ganglia circuit, in limbic and in cortical areas.¹⁰ Constitutive deletion of this channel has been reported to generate phenotypes not directly related to thalamocortical rhythmicity, such as elevated anxiety and impaired memory.^{38–40} Interestingly, concomitant deletion of $Ca_v3.3$ channels appears to override the effect of single $Ca_v3.2$ channel deletion on NREM sleep bout distribution. This indicates that the lack of the major T channel subtype for nRt rhythmicity imposes a dominant effect of impaired thalamocortical rhythmicity on sleep architecture.

In Ca_v3 .DKO mice, the EEG-related phenotype was not specific to the σ range, but extended to EEG power between 2–4 Hz, belonging to the δ band, which was augmented. As a result, the balance between intrathalamic networks, providing the basis for sleep spindles, and thalamocortical circuitry, implicated in SWA, was affected more severely in the Ca_v3 .DKO mice. Indeed, it has been previously shown that σ and δ power are negatively correlated during NREM sleep,^{41–43} which was explained by a greater extent of membrane hyperpolarization of TC cells during δ rhythm generation in deep NREM sleep that precluded spindle rhythmicity. This current work now observes such a σ - δ power opposition through genetic means and suggests that the level of nRt excitability through Ca_v3 channels and the resulting bIPSC generation is a decisive factor for the σ/δ ratio apparent in the EEG.

Contrary to what would be expected from studies on pharmacological blockade of GABA_AR-mediated transmission in thalamic nuclei, Ca_v3 .DKO mice did not display aberrant hypersynchronous rhythms, such as the 3 Hz spike-and-wave discharge.^{29,44} The emergence of these pathological oscillations was explained by a disinhibition of thalamic nuclei.^{44,45} Such hyperoscillations did not take place in the Ca_v3 .DKO mice because GABAergic transmission in intrathalamic circuitry was not fully abolished, and some level of inhibition through tonic nRt discharge remained. Nevertheless, the imbalance between

glutamatergic and GABAergic input onto TC cells in Ca_v3 .DKO mice could favor intrinsic rhythmicity of TC neurons in the δ range at the expense of 10 Hz-nRt-TC reverberations.

Despite the augmented contribution of δ waves, sleep was more fragmented in Ca_v3 .DKO mice. These mice spent globally more time in NREM sleep than wild-type animals, but in episodes of shorter duration. The concomitant increase in waking bouts of short duration and the higher occurrence of microarousals support the conclusion that Ca_v3 .DKO mice experienced a more fragmented sleep, which is consistent with the well-established link between spindles and sleep consolidation.³² These data also support the view that manipulation of spindles can alter sleep architecture independently of δ waves, as previously reported in mice with a genetic overexpression of small-conductance type 2 K^+ channels, which improved sleep quality without altering SWA.⁴⁶

Altogether, deletion of the additional source of low-threshold Ca^{2+} spike in nRt cells aggravated the sleep phenotype of Ca_v3 .3KO mice, indicating a role of $Ca_v3.2$ channels in boosting nRt cell excitability and rhythmicity. Thus, the Ca_v3 .DKO mice might represent a valuable model to study the involvement of nRt rhythmicity in pathophysiology, as already reported in the case of absence epilepsy.²⁷ The clinical relevance of Ca_v3 .DKO mice remains a subject of further studies. Intriguingly, the deficits in sleep spindles strongly hint at the presence of a schizophrenic endophenotype.⁴⁷

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