Preventive chemotherapy in one year reduces by over 80% the number of individuals with soil-transmitted helminthiases causing morbidity: results from meta-analysis

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The morbidity due to *Ascaris lumbricoides* and *Trichuris trichiura* is caused by infections of moderate and heavy intensity while hookworm infections of all intensities are recognized to cause morbidity. This study aims to evaluate the effect of repeated rounds of preventive chemotherapy on the proportion of soil-transmitted helminth (STH) infections causing morbidity. We identified studies from 17 countries, reporting changes in the proportion of STH infection causing morbidity between baseline and follow-up. In the studies identified, the average proportion of individuals with STH infections of moderate and heavy intensity was of 14% at baseline and was on average reduced to 2% by the intervention (i.e., 85% reduction). There was an average reduction of 73% after the first year of treatment, which reached almost 80% after 5 years and over 95% in 10 years of deworming interventions. The reduction in hookworm prevalence was 57% after 12 months reaching 78% after 5 years. We consider the results presented in this study especially useful for decision makers as it demonstrates the effectiveness of preventive chemotherapy in reducing STH prevalence and morbidity. We encourage the implementation of deworming programs to achieve the goal, set by WHO for 2020, to eliminate STH morbidity in children.

Keywords: Deworming, Effectiveness, Morbidity, Preventive chemotherapy, Soil-transmitted helminthiasis

Background

Soil-transmitted helminth (STH) infections are among the most widespread parasitic infections worldwide¹ and are classified as neglected tropical diseases. It is estimated that *Ascaris lumbricoides, Trichuris trichiura* and hookworms (*Ancylostoma duodenale* and *Necator americanus*) affect 819 million, 464 million, and 439 million people, respectively, among the poorest and most deprived populations.²

STH infections are most common in areas where sanitation is poor. Infections occur with the ingestion of parasite eggs (*Ascaris lumbricoides, T. trichiura and Ancylostoma duodenale*) from soil contaminated with human faeces or, in the case of hookworm, by penetration of the skin by larvae in the soil.³

The higher the number of worms infecting an individual, the more severe is the morbidity caused by the infection.¹ The number of worms infecting an individual can be appraised by counting the number of parasite eggs present in a gram of faeces (epg). WHO established epg thresholds for each STH parasite allowing the classification of STH infections within three

categories: light, moderate and heavy intensity based on the use of Kato-Katz laboratory technique. $^{1}\,$

STH morbidity consists in malabsorption of micronutrients and blood loss resulting in impaired growth, delayed cognitive development and anaemia, among others.¹ STH in a small percentage of infected individuals cause mortality,⁴ this number, however, is significant in light of the very high distribution of the infection in tropical and subtropical countries.²

For Ascaris lumbricoides and T. trichiura, infections of moderate and heavy intensity (MHI) are considered the main cause of morbidity while for hookworm any intensity of infection is considered to cause morbidity.⁵ Global morbidity and mortality due to STH expressed in disability-adjusted life years (DALYs) infections has been estimated at: 1.2–10.5 million DALYs for Ascaris lumbricoides, 1.8–22.2 million DALYs for T. trichiura, and 1.6–6.4 million DALYs for hookworm.⁶

Children and women of childbearing age suffer from the greatest burden of disease related to STH infection because of their particular need for micronutrients. WHO recommends to

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control STH morbidity through periodic anthelminthic treatment of at risk populations living in endemic areas. This approach is referred to as preventive chemotherapy (PC) and targets preschool-age children, school-age children and women of childbearing age.^{6,7} Treatment – a single-dose of albendazole (400 mg) or mebendazole (500 mg) – is recommended once a year when the prevalence of any STH infection among schoolage children is at least 20% and twice a year when it exceeds 50%.¹

The global coverage with PC of at least 75% of school-age children at risk of morbidity from STH and schistosomiasis is the confirm or correct goal set by WHO for 2020. In 2015, over 55% of the children in need of treatment have been reached with PC programmes.⁸ Significant improvements in sanitation and in health education would be required for the elimination of STH infections. Without these changes in environmental and behavioural factors, dewormed individuals living in highly contaminated environment, are likely to be re-infected within a few months of being treated. PC is therefore recommended to be repeated periodically to maintain low epg and avoid morbidity.

This study aims to evaluate the impact of the WHO recommended interventions on the control of STH morbidity by evaluating the ability of PC to reduce the proportion of individuals suffering from STH-related morbidity (MHI infections with *Ascaris lumbricoides* and *T. trichiura* and infections of any intensity with hookworms). We also aimed to evaluate the average reduction obtained in relation to the number of years for which PC interventions had been implemented.

Materials and methods

A literature search was conducted on: (1) PubMed database using the following key words: soil-transmitted helminth(s) (including the individual species names of *Ascaris lumbricoides* or roundworm, *Trichuris trichiura* or whipworm, and *Ancylostoma duodenale, Necator americanus* or hookworm); intensity AND/OR morbidity; PC; deworming; helminth control; neglected tropical disease control; STH control; and (2) unpublished reports, examining documents available in the archives of the Department of Neglected Tropical Diseases of the World Health Organization in Geneva, Switzerland.

Studies were eligible for inclusion if: they were published after year 2000; PC was conducted with albendazole or mebendazole (to any group at risk); at least two rounds of drug administrations were conducted; the Kato-Katz technique was utilized as the diagnostic method to classify the intensity of infection of each parasite; information on STH proportion of MHI (by species) was reported at baseline and at least at one follow-up time point; or information on hookworm prevalence was reported at baseline and at least at one follow-up time point.

For all the studies identified that reported the proportion of MHI for each of the three STH, if the proportion of MHI for one of them was very low at baseline (i.e., less than 3%), the changes in MHI for that parasite were excluded from the calculation. The same approach was applied when evaluating the changes in hookworm prevalence where we excluded baseline prevalence values less than 5%. This was done because at these low values, even minimal variations on proportion of MHI or

prevalence have great impact on the calculation of the mean change (for example a variation of MHI from 1% to 2% corresponds to 100% increase in morbidity) and the sensitivity of the Kato-Katz technique used to diagnosis STH is, in our opinion, insufficient to confidently detect differences in such small changes.⁹

The proportion of STH infections of MHI can be calculated in two ways: as the proportion of MHI on the total number of individuals investigated or as the proportion of MHI on the total number of infected. We consider that for our purposes, the proportion of MHI should be calculated using the entire population investigated as denominator because, if the proportion of MHI is calculated on positive individuals only, even a significant reduction of the number of MHI could result in a proportional increase when the number of infected individuals is also significantly decreased and used as denominator. For this reason, in studies identified in which the proportion of MHI at baseline and followup was calculated on the positive children only,^{10–13} we recalculated the proportion of MHI at baseline and followup using the total number of individuals surveyed as denominator.

From each publication identified we extracted the following information: region and country of the intervention, the drug used, the frequency of treatment, the at risk population targeted by the intervention, the year of the baseline and the year of the follow-up survey, the number of individuals in the baseline and follow-up survey (sample size), the mean age of the individuals surveyed, the prevalence of each STH parasite in the baseline and follow-up survey, the proportion (on the total sample investigated) of MHI at baseline and follow-up survey, the duration of the PC intervention.

From the dataset obtained: we calculated the mean proportion of MHI at baseline and after intervention (for any interval and for any STH) and calculated statistical difference using a paired t-test; for each STH species, we calculated the reduction of the proportion of infection of MHI from baseline at each reported interval; we plotted in a graph the reduction of the proportion of MHI at different times from the beginning of the control programme for 'all the STH' and for *Ascaris lumbricoides* and *T. Trichiura* separately, and added a linear model regression line; hookworm we calculated the reduction in prevalence at each interval reported and we plotted the results, and added a linear model regression line.

Results

We identified 911 articles and screened them by reading the abstract and in case of a possible inclusion we obtained the full article and examined it in detail.

Ten publications¹⁰⁻¹⁹ were identified according the inclusion criteria: reporting MHI information at baseline and after a number of years of PC from eight countries in four WHO regions (Table 1). Some publications^{17,18} reported follow-up measurements at different intervals from a single baseline, while others^{14,15} reported multiple baseline and multiple follow-up in different areas of the country. In some cases^{10,11,14,15} baseline and follow-up data were presented in different publications. In total, 25 changes in MHI between baseline and follow-up were analysed. In this group of studies the average size of the population at baseline, was 3472 (range 268–21 432) and the

Country	Year	Drug	No. people surveyed at baseline	Individuals with STH infections of moderate and high intensity. Baseline-last follow-up			Follow-up (months)	Age group	PC frequency	Ref
				Ascaris lumbricoides	Trichuris trichiura	Hookworms				
Myanmar	2004 2013	Alb	1000	18-7% ^a			84	SAC	2/year	14,15
Bangladesh	2005 2016	Alb	792	29.4-1.8%	12.4-1.1%	NA	60	SAC	2/year	10,11
Lao	2008	Meb	2885	37.8-9.2%	10.3-3.8%	NA	24	SAC	2/year	16
Tanzania	2009	Alb	1194	23-1.4%	24-0.3%	26-0%	132	SAC	1/year	12
Viet Nam	2013	Alb	389	8-0%	2-0%	14-0%	12, 30, 54	WCBA	2/year	16
Cuba	2014	Meb	268	6.7-1%	NA	NA	36	SAC	2/year	17
Peru	2016	Meb	816	40-2.9%	25.2-6.7%	NA	12, 24	SAC	3/year	13
Kenya	2016	Alb	21 432	8.2-5.4%	8.2-0.3%	NA	36	SAC	1/year	19

Table 1. Country programmes considered for the calculation of the reduction of the proportion of soil-transmitted helminth infection of moderate and heavy intensity after preventive chemotherapy

Alb: albendazole; Meb: mebendazole; PC: preventive chemotherapy; SAC: school-aged children; WCBA: women of child-bearing age, NA: not applicable (prevalence of moderate and high intensity 0–3%).

^a Cumulative moderate and high intensity infection for all three STH parasites.

average period between baseline and follow-up after PC was 46 months (12–132). In 60% of countries the drug used for PC was albendazole.

In addition to the publications reporting change in MHI infections (and therefore also hookworm prevalence), we identified nine studies²⁰⁻²⁸ reporting changes in hookworm prevalence data only (i.e., no MHI data) at baseline and after two or more rounds of PC (Table 2). The average time for follow-up assessment of the PC programs in this group was 46.9 months. In total for hookworm prevalence we analysed 37 changes of prevalence at different interval from baseline.

An average of 14% (range in the different surveys 3–40%) of the 27 776 individuals participating in the baseline surveys were infected with MHI, after the PC intervention this number was reduced on average to less than 2%, (range in the different surveys 0–8.2 %) corresponding to a reduction of over 85% (p<0.005) (Figure 1).

Figure 2 shows the percentage reduction in MHI infections (all STH species included), over time. After the first twelve months of PC implementation, the average proportion of MHI was reduced by 73%, after 60 months MHI infections were on average reduced by 84% and by 132 months the reduction reaches 95%.

Figure 3 presents the change of proportion of MHI for *Ascaris lumbricoides* and *T. trichiura*. After a period of 12 months the reduction of the proportion of infections of MHI was of 80% for *Ascaris lumbricoides*, and 75% for *T. trichiura*; after 60 months (5 years) reduction reached 90% and 100% respectively.

The percentage reduction of the prevalence for hookworm (Figure 4) was 57% after 12 months, reaching 78% after 5 years.

In conclusion we observed that PC obtained a significant reduction of the proportion of STH infections causing morbidity

already after one year. The successive gains are less intense but the proportion of STH infection causing morbidity continue to decline: after 5 years more than 80% of the individuals originally infected with MHI were cured or with light intensity infections and more than 78% of the infected with hookworm had been cured.

Discussion

This study demonstrates that a large part of STH morbidity is eliminated after the first year of PC intervention and it is then further reduced year after year. Because the environment where these programmes are taking place is, by definition, highly contaminated, an interruption of the PC programme after the first years would result in rapid re-infection and in the return to the original prevalence and intensity levels, which would result in losing the benefits gained by the PC programme. For this reason, the WHO recommends that PC interventions, once started, should be maintained for at least 5 years.⁴

We consider that, for three reasons, this approach to evaluate the benefits of PC for STH is more appropriate than the one based on the evaluation of the nutritional benefits with randomized control trials. Firstly, as this method focuses on the individuals suffering from STH infection: the ones infected with MHI (which in our estimations are an average of 15% of the population treated), it avoids the dilution bias²⁹: i.e., only measuring the mean benefits of the population receiving the intervention will dilute by 6–7 times the benefit obtained by the individuals infected at MHI. Secondly, the evaluation time-frame is more appropriate, on average communities were followed-up for more than 3 years with several follow-ups reaching 5 years. For

Country	Year	Drug	No. people surveyed at baseline	Individuals with hookworm infection. Baseline-last follow-up	Follow-up (months)	Age group	PC frequency	Ref
Myanmar	2004 2013	Alb	1000	6.5-0.3%	84	SAC	2/year	14,15
Bangladesh	2005 2016	Alb	792	20.4–7.7%	60	SAC	2/year	10, 11
Lao	2008	Meb	2885	18.7-22.2%	24	SAC	2/year	16
Tanzania	2009	Alb	1194	50.9-10.7%	132	SAC	1/year	12
Viet Nam	2013	Alb	389	86-12%	12, 30, 54	WCBA	2/year	17
Cuba	2014	Meb	268	44.4-18.7%	36	SAC	2/year	13
Peru	2016	Meb	816	4.2-3.09%	12, 24	SAC	3/year	18
Kenya	2016	Alb	21 432	14.4-2.3%	36	SAC	1/year	19
Sri Lanka	2000	Meb	177	72-8.8%	12, 24, 36, 48, 72	SAC	1/year	20
Oman	2001	Alb	860	40-0.6%	12, 24, 36, 48, 60	SAC	1/year	21
Nepal	2003	Alb	711	64.7-33.9%	24	SAC	2/year	22
Seychelles	2003	Meb	1058	6.3-1.5%	24, 36, 60	SAC	2/year	23
India	2004	Alb, DEC	325	5–5%	24	SAC	2/year	24
Cambodia	2006	Meb	50	57.8-13.5%°	60	SAC	2/year	25
Ghana	2006	Alb	1010	87-23%	12, 24	SAC	2/year	26
Uganda	2007	Alb	4351	50.9-10.7%	12, 24	SAC	1/year	27
Zambia	2013	Alb, IVR	490	7.8-1.9%	12, 18	SAC	1/year	28

Table 2. Additional studies considered for the calculation of the reduction of hookworm prevalence after preventive chemotherapy

Alb: albendazole; DEC: diethylcarbamazine; IVR: ivermectin; Meb: mebendazole; PC: preventive chemotherapy; SAC: School-aged children; WCBA: Women of child-bearing age.

^a Average of seven different surveys.





Figure 1. Proportion of STH infections of moderate and heavy intensity before and after preventive chemotherapy programme (of any length). MHI: moderate and heavy intensity; STH: soil-transmitted helminth.

logistic and financial difficulties, follow-ups in randomized control trials are normally of 1 year, meaning the long term benefit of PC is not assessed. Finally, this approach allows an evaluation of the global impact of the STH control programme in terms of the number of DALYs saved by PC interventions until now.

Figure 2. Reduction in the proportion of STH infections of moderate and heavy intensity by length (in months) of preventive chemotherapy programme. MHI: moderate and heavy intensity; STH: soil-transmitted helminth.

One of the limitations of this study is that it is based on reports from deworming programmes for which coverage was not always optimal, therefore it may under-evaluate the potential benefits of the intervention. Moreover, follow-up surveys were not always implemented on the same patients who



Figure 3. Reduction in the proportion of infections of moderate heavy intensity by length (in months) of preventive chemotherapy programme. MHI: moderate and heavy intensity.



Figure 4. Reduction in prevalence of hookworm by length (in months) of preventive chemotherapy programme.

participated in the baseline surveys and probably most of the MHI observed at follow-up were from 'newcomers' in the programme (e.g., younger children entering in school for the first time and not previously treated). Where the same cohort was investigated at baseline and during the follow-up,¹⁷ the reduction in MHI infection and hookworm prevalence was more pronounced reaching 100% MHI reduction for *Ascaris lumbricoides* and *T. trichiura* and 90% of prevalence reduction for hookworms after 5 years.

In a few studies the reduction of the prevalence of infection causing morbidity was substantially lower than the average (Figure 2). These outliers were in two cases from Vietnam (*T. trichiura* and hookworms) and one case from Kenya (*Ascaris lumbricoides*). In the two cases from Vietnam this lower than anticipated decline at 30 months was also noted in the original study and attributed to seasonality. During the following data collection at 54 months MHI infections disappeared from the community meaning drug resistance was excluded as explanation. In the case of Kenya the prevalence of MHI infection from 8.2% to 5.4% (i.e., a 34% reduction) is likely due to young children who have never been treated, entering schools and becoming part of the sample at follow-up.

Another limitation of this study is the small number of PC programmes evaluated: eight programmes reporting the proportion of MHI and an additional nine reporting the hookworm prevalence. We are taking this opportunity to emphasize the need for control programmes to periodically collect and disseminate epidemiological data, including the proportion of MHI infections. These data are essential to properly evaluate the gains in reducing morbidity through PC, and to inform other programmes starting similar activities.

Authors' contributions: CM and AM conceived the study; MB, SAJ, CF and AM designed the study protocol; CM carried out the bibliographical search and analysis and interpretation of these data. BJA, CM and AM drafted the manuscript; MB, SAJ and CT critically revised the manuscript for intellectual content. All authors read and approved the final manuscript. AM is guarantor of the paper.

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