

# The mitochondrial unfolded protein response in mammalian physiology

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**Abstract** Mitochondria, the main site of cellular energy harvesting, are derived from proteobacteria that evolved within our cells in endosymbiosis. Mitochondria retained vestiges of their proteobacterial genome, the circular mitochondrial DNA, which encodes 13 subunits of the oxidative phosphorylation multiprotein complexes in the electron transport chain (ETC), while the remaining ~80 ETC components are encoded in the nuclear DNA (nDNA). A further ~1,400 proteins, which are essential for mitochondrial function are also encoded in nDNA. Thus, a majority of mitochondrial proteins are translated in the cytoplasm, then imported, processed, and assembled in the mitochondria. An intricate protein quality control (PQC) network, constituted of chaperones and proteases that refold or degrade defective proteins, maintains mitochondrial proteostasis and ensures the cell and organism health. The mitochondrial unfolded protein response is a relatively recently discovered PQC pathway, which senses the proteostatic disturbances specifically in the mitochondria and resolves the stress by retrograde signaling to the nucleus and consequent transcriptional activation of protective genes. This PQC system does not only transiently resolve the local stress but also can have long-lasting effects on whole body metabolism, fitness, and longevity. A delicate tuning of its activation levels might constitute a treatment of various diseases, such as metabolic diseases, cancer, and neurodegenerative disorders.

## Introduction

Mitochondria play a crucial role in the overall homeostasis of the cell. Mitochondria accommodate the enzymatic machinery capable of ATP production by oxidative phosphorylation (OXPHOS) and are the prime site of metabolic processing in unicellular organisms, plants, and animals. As mitochondria evolved from endosymbiotic  $\alpha$ -proteobacteria residing in the eukaryotic cell, they retained the vestiges of the circular bacterial DNA encoding for 13 proteins and contain several proteins with strong similarities to bacterial proteins (Wallin 1993). Most of the ~1,500 mitochondrial proteins are, however, encoded by the nucleus and imported post-translationally by means of a specialized and highly conserved machinery (Chacinska et al. 2009; Neupert and Herrmann 2007; Schmidt et al. 2010).

In the past years, this unique organelle received an increasing interest from the scientific community, as researchers have highlighted the implication of mitochondrial dysfunction in the aging process and in common diseases such as cancer, diabetes, and diverse neurological disorders (Nunnari and Suomalainen 2012). Within this context, it is of particular interest to investigate the mechanisms that ensure optimal function of mitochondria. Here, we give a brief overview of mitochondrial quality control systems, with a particular focus on the mitochondrial unfolded protein response (UPR<sup>mt</sup>) and its implications in animal physiology.

## Mitochondrial quality control systems

As the mitochondrial proteome is continuously challenged by multiple factors, mitochondria have evolved an elaborate protein quality control (PQC) system that maintains proteostasis and mitochondrial function in response to

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various levels of proteotoxic damage (Fischer et al. 2012; Friedman and Nunnari 2014; Rugarli and Langer 2012).

Almost all mitochondrial proteins are transcribed and translated in the cytoplasm. They have to be imported through the double membrane of the mitochondria in their unfolded state, before they are folded and assembled within the mitochondria (Harbauer et al. 2014; Schmidt et al. 2010). As most electron transport chain (ETC) complexes are composed of subunits encoded by both the nuclear and mitochondrial genomes, they have to be present in well-defined stoichiometrical ratios. A number of essential housekeeping proteins assist in processes, such as protein import, folding, and supercomplex assembly. Among these proteins, chaperones of the heat shock protein (Hsp) family, such as mtHsp70, Hsp10, or Hsp60, fold the newly imported proteins or refold damaged proteins. Proteases such as HtrA2, Yme1 in the mitochondrial intermembrane space (IMS) and ClpP or Lon in the matrix furthermore guarantee the degradation of proteins that are irreversibly damaged. Several antioxidant enzymes indirectly contribute to the maintenance of proteostasis by clearing ROS.

Mitochondria do not behave as a multitude of isolated organelles but are rather a connected and cooperative network that undergoes constant remodeling (Friedman and Nunnari 2014). The dynamics of the mitochondrial network is regulated by proteins such as MFN1/2, OPA1 and DRP1, Mff, MiD49/51 that mediate fusion and fission, respectively (Andreux et al. 2013; Jin and Youle 2013; Loson et al. 2013). Fusion of healthy mitochondria to mitochondria harboring damaged components constitutes a beneficial replacement and/or dilution process (Chan 2012). Alternatively, fission promotes the segregation of dysfunctional mitochondria, favoring their subsequent elimination through mitophagy, governed among others by PINK1 and Parkin (Youle and van der Bliek 2012). Depending on the level of damage, those mechanisms are gradually triggered to repair or eliminate mitochondrial proteins or mitochondrial units. In case of irreversible insults to the mitochondria that are beyond repair and hence jeopardize cellular survival, apoptosis will ensue (Friedman and Nunnari 2014; Martinou and Youle 2011).

As most of the PQC proteins are encoded in the nucleus, the state of mitochondrial health has to be communicated to the nucleus, in order to specifically adapt the PQC to proteostatic needs. The general term “retrograde signaling” defines all mitochondrial cues sent to the nucleus to respond to variations in the organelle homeostasis (Liu and Butow 2006; Ryan and Hoogenraad 2007).

### Mitochondria-to-nucleus signaling of the UPR<sup>mt</sup>

Accumulation of unfolded proteins leading to protein aggregation represents a dangerous threat not only for a

specific subcellular compartment but also for the rest of the cell. Chaperones assist protein folding and assembly and thus ensure proteostasis in the cell (Hartl et al. 2011). Proteotoxic stress, which exceeds the protein folding capacity by chaperones, is sensed and transduced to the nucleus to induce the transcription of genes implicated in proteostatic surveillance, a mechanism termed “unfolded protein response”. Heat was among the first identified stresses disrupting the protein folding homeostasis, which contributed to the name “heat shock proteins” of many chaperones (Richter et al. 2010). Specific responses to a proteotoxic stress occurring in specific subcellular compartments, namely cytosol, endoplasmic reticulum (ER), and mitochondria, have been described. In the cytosol, proteostasis is ensured by the heat shock factor (HSF) transcription factor family, which, among others, regulates Hsp70 and Hsp90 expression, whereas protein misfolding in the ER is assessed by the transmembrane proteins inositol-requiring 1 (IRE-1), activating transcription factor 6 (ATF6) and protein-like endoplasmic reticulum kinase (PERK), culminating with the induction of chaperones as BiP (GRP-78) (Buchberger et al. 2010; Mori 2009; Walter and Ron 2011).

The UPR<sup>mt</sup> has been rather recently identified. In monkey COS-7 cells, overexpression of a mutant, aggregation-prone form of the mitochondrial protein ornithine transcarbamylase (OTC) triggered the accumulation of unfolded proteins in the mitochondria (Zhao et al. 2002). This led to an increase in mRNA and protein levels of Hsp60, Hsp10, the protease ClpP and the Hsp40 family chaperone mtDNAJ. Although initially discovered in mammalian cells, the molecular mechanism of this pathway has been more extensively characterized in the nematode *C. elegans*. Furthermore some studies in *Drosophila* and very recently in yeast have focused on the UPR<sup>mt</sup>. This paragraph summarizes the UPR<sup>mt</sup> signaling in those model systems, from the triggering stimuli initiating the mitochondria-to-nucleus signaling to the consequences on global protein synthesis.

### Triggering the UPR<sup>mt</sup>

Any stress affecting proteostasis within the mitochondria, such as heat, could potentially activate the mitochondrial chaperones (Zhao et al. 2002). However, selective perturbations in the mitochondria enable a proper study of the UPR<sup>mt</sup> per se and specifically induce mitochondrial target proteins without affecting the expression of ER and cytoplasmic chaperones. The artificial accumulation of unfolded proteins was achieved by overexpression of mutant OTC in mammalian cells and in the *Drosophila* (Pimenta de Castro et al. 2012; Zhao et al. 2002). In *C. elegans*, the knock-down (KD) by RNAi feeding of mitochondrial proteases, such as *spg-7*, or mitochondrial chaperones, as

*hsp-6* and *hsp-60* (orthologs of mtHsp70 and Hsp60 in mammals, respectively), strongly induces the UPR<sup>mt</sup> (Yoneda et al. 2004). As these proteins are essential components of PQC, impairment of either of them is sufficient to destabilize organelle proteostasis and trigger the UPR<sup>mt</sup>. The same effect can be observed by interfering with expression of prohibitin, a mitochondrial inner membrane complex that supervises ETC assembly, as reduced prohibitin levels result in active UPR<sup>mt</sup> in *C. elegans* as well as in yeast (Schleit et al. 2013; Yoneda et al. 2004). Exposing cells to toxic compounds, such as the ROS inducer paraquat, which subsequently increases protein damage, also activates the UPR<sup>mt</sup> (Yoneda et al. 2004).

Proteostasis is also challenged when missing or reduced expression of ETC subunits impedes the stoichiometry and/or assembly of the multiprotein OXPHOS complexes. Loss of function of *mrps-5*, a mitochondrial ribosomal protein (MRPs), or of other MRPs, potently activates the UPR<sup>mt</sup>, as impaired mitochondrial protein translation decreases the production of mitochondrial-encoded ETC subunits and results in an increased load of unassembled orphan ETC subunits encoded by the nucleus (Houtkooper et al. 2013). Our laboratory termed this concept “mito-nuclear protein imbalance,” which also occurs after knock-down of ETC subunits in the worm and in *Drosophila* (Durieux et al. 2011; Owusu-Ansah et al. 2013). Pharmacologically, doxycycline or chloramphenicol can reproduce this effect in the mouse and in the worm, as these antibiotics affect not only bacterial, but also mitochondrial translation, given that mitochondria are derived from bacterial ancestors (Houtkooper et al. 2013). Ethidium bromide, which causes a selective loss of the mitochondrial DNA (mtDNA) (and hence mitochondrial protein production), also leads to mito-nuclear protein imbalance, activating the UPR<sup>mt</sup> in both the worm and mammalian cells (Martinus et al. 1996; Yoneda et al. 2004).

Interestingly, rapamycin, which inhibits cytosolic translation through inhibition of TOR signaling (Zid et al. 2009), also induces a mito-nuclear imbalance and the UPR<sup>mt</sup>, but in this case by generating an excess of orphan mitochondrial-encoded ETC subunits (Houtkooper et al. 2013). In a similar fashion, several pharmacological treatments enhancing mitochondrial biogenesis, such as resveratrol or the activation of the worm sirtuin *sir-2.1* by nicotinamide riboside (NR) or by PARP inhibitors, as well as *sir-2.1* overexpression, also trigger the UPR<sup>mt</sup> (Mouchiroud et al. 2013b). It is, therefore, apparent that the ratio between nuclear and mitochondrial ETC subunits and not their absolute levels is the predominant factor that causes mito-nuclear imbalance and triggers the UPR<sup>mt</sup>.

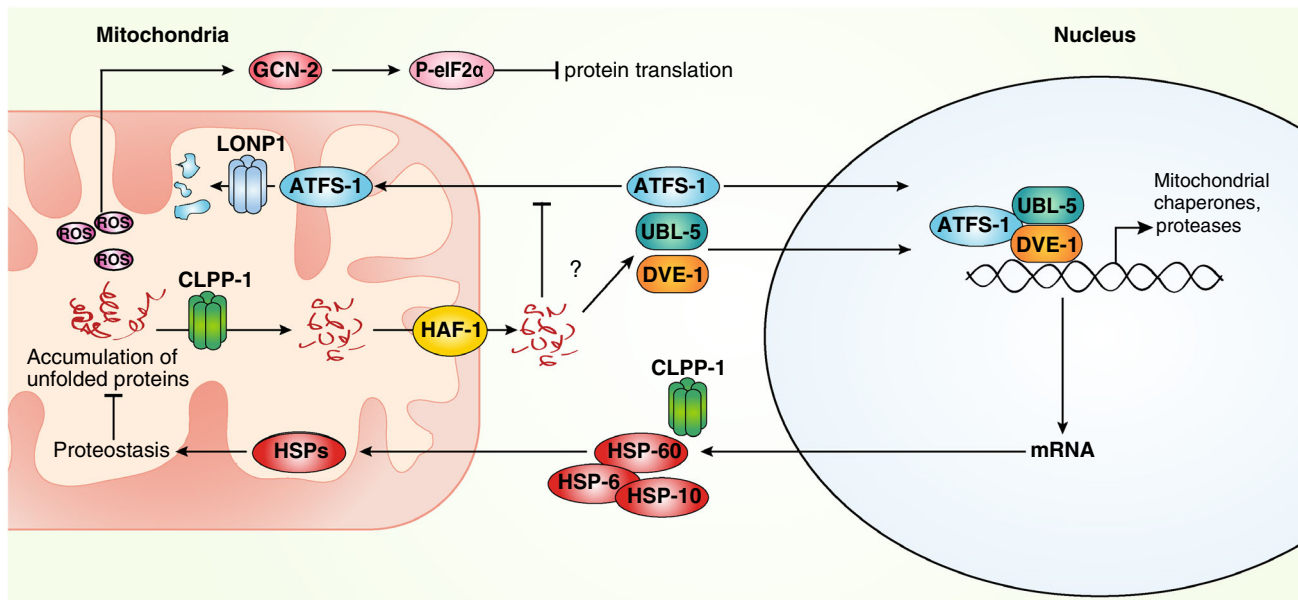
#### Transcriptional regulation of the UPR<sup>mt</sup>

In *C. elegans*, the generation of reporter worm strains expressing GFP under the control of the promoter of either

*hsp-6* or *hsp-60* (known as *hsp-6::GFP* and *hsp-60::GFP* strains) (Yoneda et al. 2004) greatly facilitated the study of the mitochondrial stress response at the transcriptional level. The use of these strains for RNAi-based screens enabled the detailed characterization of the signaling components upstream of the transcriptional response (Benedetti et al. 2006; Haynes et al. 2007, 2010). *ubl-5*, a gene encoding for a ubiquitin-like protein, was found to be required for the proper activation of these reporters during the UPR<sup>mt</sup> and for subsistence of the worms with stressed mitochondria (Benedetti et al. 2006). The UPR<sup>mt</sup> enhances UBL-5 levels and its nuclear localization, suggesting that it acts as a stress-responsive transcriptional regulator (Fig. 1). Similarly, in later screenings, the bZIP family transcription factors *atfs-1* and *dve-1* were described as essential nuclear signaling components of the UPR<sup>mt</sup> (Haynes et al. 2007, 2010). All three factors translocate to the nucleus upon mitochondrial stress. DVE-1 furthermore is reported to form a dimer with UBL-5, which together with ATFS-1 activates the transcription of the UPR<sup>mt</sup> genes (Haynes et al. 2010). However, how the folding stress is communicated to those nuclear players has not yet been fully characterized. *clpp-1*, a mitochondrial matrix protease, proved to be essential for *ubl-5* induction, DVE-1 relocalization, and activation of the UPR<sup>mt</sup> response (Haynes et al. 2007). The exact role of *clpp-1* was revealed when the peptide efflux achieved by the inner membrane transporter HAF-1 was identified as a pivotal event in the signaling (Haynes et al. 2010). The model thus suggests that *clpp-1* digests excess unfolded proteins in proteotoxic stress conditions. The resulting peptide fragments, which are transported to the cytosol by HAF-1, lead to the activation of the nuclear players DVE-1, UBL-5 and ATFS-1, ultimately inducing the reparative transcriptional response (Fig. 1).

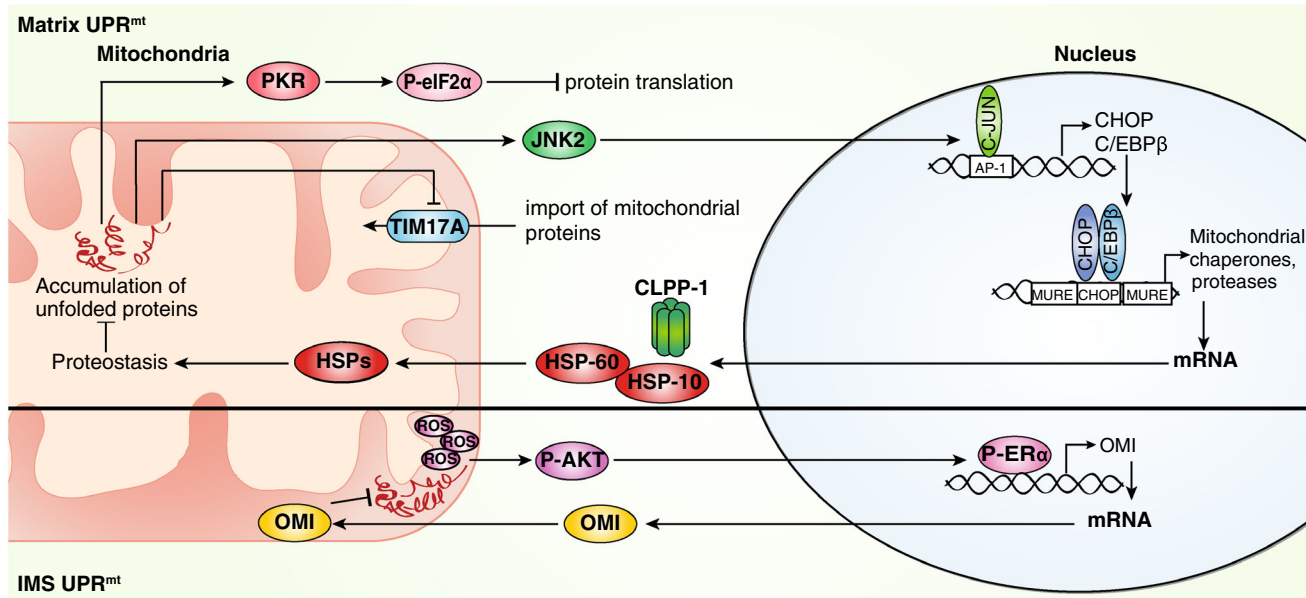
Interestingly, in the absence of stress, ATFS-1 is imported into the mitochondria due to a mitochondrial targeting sequence (MTS) present at its N-terminus. Once within the mitochondria, ATFS-1 is constitutively degraded by the *Lon* protease (Nargund et al. 2012). However, ATFS-1 also contains a nuclear localization signal (NLS). During mitochondrial stress, the mitochondrial import of ATFS-1 is reduced, ATFS-1 will accumulate in the nucleus, facilitating the activation of the downstream adaptive events that characterize the UPR<sup>mt</sup> response. These findings clarified the part of the role of HAF-1 in signaling of the UPR<sup>mt</sup>, as this transporter was shown to reduce the import of ATFS-1 under mitochondrial stress conditions (Nargund et al. 2012).

In the mammals, fewer players in the UPR<sup>mt</sup> signaling have been identified. The main transcription factor implicated in the mammalian UPR<sup>mt</sup> is CHOP, which heterodimerizes with C/EBP $\beta$  upon overexpression of mutant OTC (Fig. 2). As a result, the CHOP/C/EBP $\beta$  dimer binds



**Fig. 1** UPR<sup>mt</sup> signaling pathway in *C. elegans*. Unfolded proteins, accumulating in the mitochondria, are digested by the protease CLPP-1 into short peptides. These peptides are exported into the cytoplasm through a transporter HAF-1 and by a yet unknown mechanism, inhibit mitochondrial import. Impairment of the import allows the nuclear translocation of transcription factor ATFS-1, which, in non-stress conditions, moves into the mitochondria and is degraded by

protease LONP-1. ATFS-1, together with other nuclear factors UBL-5 and DVE-1 activate the protective UPR<sup>mt</sup> target genes, which reconstitute the mitochondrial proteostasis. In parallel to ATFS-1 mediated transcriptional response, ROS, produced by stressed mitochondria, activate the kinase GCN-2, which phosphorylates eIF2 $\alpha$ , which leads to down-regulation of global translation and thus reduces the load of new mitochondrial proteins to be folded



**Fig. 2** UPR<sup>mt</sup> signaling in mammals in the matrix and intermembrane space (IMS). Accumulation of unfolded proteins in the mitochondrial matrix leads to activation of JNK2, which triggers c-Jun binding to AP-1 elements to up-regulate CHOP and C/EBP $\beta$  transcription. Dimer of CHOP and C/EBP $\beta$  transcription factors binds to specific UPR<sup>mt</sup> promoter element and activates the target genes.

Additionally, PKR decreases global translation rate by phosphorylating eIF2 $\alpha$ , and mitochondrial import is attenuated by down-regulation of TIM17A. Under proteotoxic stress in mitochondrial IMS, increased levels of unfolded proteins and ROS trigger activation of AKT, which phosphorylates ER $\alpha$ . Activated ER $\alpha$  upregulates the transcription of PQC protease OMI, which restores IMS proteostasis

to and activates the promoters of the UPR<sup>mt</sup> responsive genes (Horibe and Hoogenraad 2007; Zhao et al. 2002). Although CHOP is also known to mediate the UPR<sup>ER</sup> (Schroder 2006), its specificity to the UPR<sup>mt</sup> might reside in the fact that both the CHOP and C/EBP $\beta$  promoters contain an AP1 site that is required for their induction upon mitochondrial stress but not upon UPR<sup>ER</sup> (Horibe and Hoogenraad 2007). The AP1 site is bound by the c-Jun transcription factor, which is regulated by JNK2. Promoter analysis of the UPR<sup>mt</sup> responsive genes revealed that they contain a CHOP-binding site flanked by two mitochondrial unfolded protein response elements (MURE) (Aldridge et al. 2007). Among the 11 genes, containing the MUREs and up-regulated upon mutant OTC expression are chaperones Hsp60, Hsp10, mtDnaJ (Hsp40 family), proteases ClpP and YME1L1, the import complex subunit Tim17A, and mitochondrial enzymes, such as thioredoxin 2 (Trx2), cytochrome C reductase, endonuclease G, and NDUFB2.

Interestingly, the folding capacity of the mitochondrial IMS can be specifically affected by the overexpression of a mutant form of the IMS located endonuclease G (Radke et al. 2008). This triggers a different stress response, activating other genes than those of the “canonical” mitochondrial matrix UPR<sup>mt</sup>, such as the IMS protease OMI and the proteasome. Unliganded estrogen receptor  $\alpha$  (ER $\alpha$ ) mediates this IMS-UPR in a manner dependent on ROS generation and activation of AKT signaling (Papa and Germain 2011).

Effects of the UPR<sup>mt</sup> on translation and mitochondrial protein import

Besides the induction of transcriptional targets of UPR<sup>mt</sup> targets, other mechanisms aimed at restoring proteostasis and mitochondrial integrity occur in the course of the UPR<sup>mt</sup>. Notably, the further generation of new mitochondrial proteins is reduced by impeding global protein synthesis in the cytosol (Baker et al. 2012). During mitochondrial stress conditions in the worm, general control non-derepressible-2 kinase (GCN-2) phosphorylates translation initiation factor eIF2 $\alpha$  in a ROS-dependent manner and thus slows down cytosolic translation (Baker et al. 2012). GCN-2 and ATFS-1 effects are dissociable, and they signal in different arms of the UPR<sup>mt</sup>. Similarly, dsRNA-activated protein kinase (PKR) mediates phosphorylation of eIF2 $\alpha$ , thus attenuating protein translation in the cytosol during the UPR<sup>mt</sup> in mammals (Rath et al. 2012). These findings link the UPR<sup>mt</sup> to the integrated stress response (ISR), a pathway comprising kinases that act negatively on translation through eIF2 $\alpha$  phosphorylation following oxidative stress, ER stress, viral infections, and other cellular attacks (Wek and Cavener 2007; Wek et al. 2006).

In addition to stalling of the protein synthesis upon mitochondrial stress, the import of new mitochondrial proteins is also affected, in order to limit the load of proteins to be folded within mitochondria. In HEK293 cells treated with arsenite (As(III)), induction of the ISR decreases total protein levels of the TIM17A subunit of the mitochondrial protein import complex TIM23, by increasing its degradation and repressing its translation (Aldridge et al. 2007; Rainbolt et al. 2013). Conversely, when import is artificially repressed by the knock-down of TIM23 subunits in HEK293 cells and in *C. elegans*, the UPR<sup>mt</sup> is activated in an *haf-1/atfs-1*-dependent manner in the worm, enhancing also its resistance to paraquat (Rainbolt et al. 2013). The importance of mitochondrial import regulation is also evident in *C. elegans*, as a general decrease of mitochondrial import is required for ATFS-1 nuclear translocation and consequent activation of transcriptional program of the UPR<sup>mt</sup> (Nargund et al. 2012). Of note is the fact that in mammalian cells, transcription of TIM17A gene was shown to be induced as a target of the UPR<sup>mt</sup> due to the presence of MURE sites in its promoter, indicating a recovery of mitochondrial import upon resolution of the stress (Aldridge et al. 2007).

### Physiological implications of the UPR<sup>mt</sup>

Extension of lifespan and cell-non-autonomous signaling of the UPR<sup>mt</sup>

Studies of the effects of the UPR<sup>mt</sup> on whole body metabolism and overall fitness have started in simple model organisms such as *C. elegans* and *D. melanogaster*; however, recent studies suggest that the UPR<sup>mt</sup> may have a similar important role in mammals.

KD of ETC components in *C. elegans* reduces developmental rates and body size. Interestingly, this also leads to a robust extension of lifespan (Dillin et al. 2002). A simple interpretation, coherent with the “ROS theory of aging” (Harman 1956), would attribute the increased lifespan to the reduced respiration rates, which leads to generation of less ROS byproducts. However, later studies identified UPR<sup>mt</sup> activation as causative for the lifespan extension after ETC disruption, as exemplified by the longevity of the cytochrome c oxidase *cco-1* mutant (Durieux et al. 2011). Similarly, a study in *Drosophila* showed that mild perturbation of the ETC in muscle has positive effects on muscle function, locomotor activity, and lifespan due to UPR<sup>mt</sup> activation (Owusu-Ansah et al. 2013). More recently, our laboratory established that the UPR<sup>mt</sup> subsequent to the presence of a mito-nuclear imbalance also robustly extends worm lifespan (Houtkooper et al. 2013). In line with these findings, low expression

of mouse *Mrps5* (or other MRPs) triggers the UPR<sup>mt</sup> and correlates with a long lifespan in the BXD mice genetic reference population, demonstrating the evolutionary conservation of this mechanism in mammals (Argmann et al. 2005; Peirce et al., 2004). Mito-nuclear imbalance also contributes to the lifespan extension driven by the activation of *C. elegans* sirtuin, *sir-2.1* (Mouchiroud et al. 2013b). Pharmacological or genetic manipulations leading to NAD<sup>+</sup> accumulation or enhanced *sir-2.1* expression levels in *C. elegans* boost mitochondrial metabolism, induce mito-nuclear imbalance, and activate the UPR<sup>mt</sup>, which in parallel to an antioxidant program leads to a significant lifespan extension (Mouchiroud et al. 2013a).

There are temporal and spatial requirements for UPR<sup>mt</sup> activation, in order for it to have beneficial effects on lifespan. In *C. elegans*, UPR<sup>mt</sup> induction by RNAi against *cco-1* or *mrps-5* only during the larval stage is sufficient to ensure a lasting effect on lifespan (Dillin et al. 2002; Durieux et al. 2011). Conversely, *cco-1* or *mrps-5* RNAi starting in adulthood is neither able to activate the UPR<sup>mt</sup> nor impact on longevity (Dillin et al. 2002). In addition to the prerequisite of a specific time frame, only mitochondrial stress in selected worm tissues, i.e., intestine and neurons, but not muscle, can extend longevity (Durieux et al. 2011). Interestingly, perturbations of the mitochondrial homeostasis in one tissue can be sensed and communicated to other tissues by cell-non-autonomous cues that were termed “mitokines.” Knocking down the signaling component *ubl-5* selectively in neurons can block this inter-tissue UPR<sup>mt</sup> signal, suggesting that the retrograde signaling arm is required only in the tissue emitting the mitokine (Durieux et al. 2011). In *D. melanogaster*, ImpL2, the ortholog of the Insulin binding protein 7 (IGFBP7) that is secreted in response to KD of ETC components in the muscle, participates in the organismal adaptation to mitochondrial perturbation and mediates lifespan extension (Owusu-Ansah et al. 2013). Interestingly, in human patients with mitochondrial myopathy due to ETC deficiencies, the cytokine FGF-21 was shown to be secreted from muscle tissue suggesting that the ETC dysfunction may mimic fasting and induce the release of the fasting hormone FGF-21 (Suomalainen et al. 2011). This muscle release of FGF-21 drives inter-organ communication that results in enhanced ketogenesis in the liver and lipid mobilization from the fat, suggesting FGF-21 to be a human mitokine (Suomalainen et al. 2011). In another study, interference with autophagy and the resulting mitochondrial dysfunction in mice also led to the secretion of the Fgf21 mitokine (Kim et al. 2013). Interestingly, the ISR was implicated in this response, as loss of autophagy led to phosphorylation of eIF2 $\alpha$  and increased the expression of activating transcription factor 4 (Atf4). In this context, Fgf21 secretion improved insulin sensitivity and

protected mice from obesity, although the direct link with the UPR<sup>mt</sup> has not yet been examined (Kim et al. 2013).

#### UPR<sup>mt</sup>-induced mitohormesis

UPR<sup>mt</sup> activation upon mitochondrial stress is intrinsically linked to a certain level of mitochondrial dysfunction, questioning what is the balance between harmful and beneficial effects of the UPR<sup>mt</sup>. If the mitochondrial insult is mild, the adaptive stress response that ensues can overcome the initial insult and have a beneficial, long-lasting impact. This phenomenon resembles the concept of “mitohormesis” caused by ROS (Ristow and Zarse 2010). Treatment with low doses of inducers of oxidative stress, such as paraquat, generates low levels of ROS and the resulting adaptive response extends lifespan, whereas treatment with high doses resulting in excessive levels of ROS is toxic (Ristow and Zarse 2010). However, in the case of *cco-1* (Durieux et al. 2011) or *mrps-5* (Houtkooper et al. 2013) RNAi in the developing worm, ROS does not play a role in the lifespan extension, hence representing a unique case of mitohormesis only driven by the UPR<sup>mt</sup>.

Whereas the UPR<sup>mt</sup> improves the fitness of an organism and extends its lifespan, if the level of stress inflicted to the mitochondria is too high, the ensuing UPR<sup>mt</sup> might be insufficient to counteract the damage inflicted, and hence an adaptive response will turn into a detrimental response. This explains why worms exhibit a shortened lifespan after *hsp-6* RNAi (Haynes et al. 2007), although the UPR<sup>mt</sup> is strongly activated by this genetic manipulation (Yoneda et al. 2004). Similarly, in the fly, overexpression of a mutant OTC protein negatively impacts on lifespan and phenocopies mutations in PINK1 and Parkin (Pimenta de Castro et al. 2012), as it causes a too severe level of mitochondrial dysfunction. Interestingly, the UPR<sup>mt</sup> and the mitophagy quality control systems were recently found to be triggered concomitantly, as PINK1 recruitment on the mitochondrial membrane was enhanced by accumulation of unfolded proteins in the mitochondria, as well as by the knock-down of the LONP1 protease, showing that these responses are connected to some degree (Jin and Youle 2013).

This mitohormetic action of the UPR<sup>mt</sup> is well illustrated in a recent report in yeast (Schleit et al. 2013). Although dietary restriction (DR) has been shown to extend lifespan in diverse species (Kennedy et al. 2007), the effect of DR is highly dependent on the genotype of the organism. Among the yeast strains presenting the highest increase of replicative lifespan upon DR, is the  $\Delta$ phb2 strain, a mutant of a subunit of the prohibitin complex (Schleit et al. 2013). KD of prohibitin in yeast activates the UPR<sup>mt</sup>, as also observed in *C. elegans* (Yoneda et al. 2004). Interestingly,  $\Delta$ phb2 mutation improves lifespan only in the context of

DR, while in nutrient-rich medium, it shortens the lifespan. The difference between these two conditions is that a general reduction of translation rates occurs upon DR, which attenuates the UPR<sup>mt</sup> (Schleit et al. 2013). Thus, DR lowers the mitochondrial stress to a level, which enables the positive effects of mitohormesis mediated by UPR<sup>mt</sup> activation. Similarly, *phb-2* RNAi strongly activates the UPR<sup>mt</sup> and shortens lifespan in wild type worms, while in mutant worms with reduced translation rates, the UPR<sup>mt</sup> is induced to a lower extent, leading to longevity (Schleit et al. 2013). These observations suggest that slowing-down the translation rate might be beneficial in some cases of mitochondrial dysfunction associated with high UPR<sup>mt</sup> activation. This mechanism could have interesting therapeutic implications that warrant further study.

#### Implications of the UPR<sup>mt</sup> in disease

As increased UPR<sup>mt</sup> can be both beneficial and harmful, depending on the level of the UPR<sup>mt</sup>, it is conceivable that it can be either a cause or a potential treatment strategy for disease. Although there are no studies as of yet that show the direct implication of the UPR<sup>mt</sup> in disease, several reports suggest that the UPR<sup>mt</sup> may be linked to a specific set of disorders.

#### Metabolism and diabetes

As discussed above, impaired prohibitin function activates the UPR<sup>mt</sup> in several model systems (Schleit et al. 2013; Yoneda et al. 2004). In the mouse, a pancreatic  $\beta$ -cell-specific knockout of *Phb2* contributes to progressive development of diabetes due to  $\beta$ -cell dysfunction (Supale et al. 2013). Although Opa1 proteolysis and impaired mitochondrial dynamics were identified as potential mechanisms behind the  $\beta$ -cell dysfunction, it will be interesting to test, to which extent the activation of the UPR<sup>mt</sup> could contribute or inversely limit the pathogenesis of diabetes in this context.

Also linked to diabetes and metabolic disease, the hypothalamic knockout of Hsp60 revealed an implication of this chaperone in the development of insulin resistance (Kleinridders et al. 2013). Expression of Hsp60 in hypothalamus was shown to be dependent on leptin. As insulin and leptin resistance are known to be linked, this may explain why diabetic patients have decreased HSP60 levels in the brain. Loss of Hsp60 by itself causes mitochondrial dysfunction and ROS overproduction and consequently leads to hypothalamic insulin resistance and diabetes. Hsp60 was thus proposed to be the effector of leptin protective actions on mitochondria and acts as the integrator of insulin signaling (Kleinridders et al. 2013).

In *Drosophila*, knock-down of an ETC complex I component in the muscle induces the UPR<sup>mt</sup> and leads to

secretion of ImpL2, which non-autonomously represses insulin signaling by binding IGF and other insulin-like molecules (Owusu-Ansah et al. 2013). Although mitochondrial perturbation occurs only in the muscle, the entire fly is smaller, demonstrating that a growth-inhibiting signal is communicated from the muscle to all tissues. As mentioned above, Imp2L could hence be considered as a fly mitokine, achieving part of the organismal adaptation to the stress by repressing systemic insulin signaling. Consistent with this hypothesis, overexpression of Imp2L in flies increases lifespan and enhances lysosome biogenesis, which could contribute to mitophagy as a mechanism to enhance mitochondrial function upon aggregate accumulation (Owusu-Ansah et al. 2013).

Another line of support for the existence of a link between the UPR<sup>mt</sup> and metabolism came from studies in the worm where UPR<sup>mt</sup> activation was shown to lead to the up-regulation of the expression of some glycolytic enzymes (Nargund et al. 2012). This suggests that a metabolic remodeling happens concurrently with the occurrence of UPR<sup>mt</sup>, and energy production may shift from OXPHOS toward glycolysis when mitochondria are stressed.

#### Neurological disorders

*Drosophila* that are overexpressing a mutant OTC protein develop mitochondrial dysfunction phenotypes similar to mutants of PINK1 and Parkin (Pimenta de Castro et al. 2012), two mitophagy regulators that are found mutated in familial forms of Parkinson's diseases (Andreux et al. 2013). This also suggests a link between the UPR<sup>mt</sup> and neurodegenerative disorders, associated with mitochondrial dysfunction, such as Parkinson's, Alzheimer's, and Huntington's disease (de Castro et al. 2011).

Notably, the DR-driven attenuation of translation in  $\Delta$ phb2 yeast (Schleit et al. 2013) (discussed above) suggests that interfering with translation and mitochondrial protein import may restore mitochondrial function in the context of neurodegenerative diseases. In line with this premise, repression of cytosolic translation showed beneficial effects on mitochondrial function in yeast (Wang et al. 2008) and protected *Drosophila* against PINK-induced pathogenesis (Liu and Lu 2010) although the underlying mechanisms have yet to be characterized.

#### Cancer

The mitochondrial stress response could also be connected with the control of cell proliferation and cancer. Cancer is associated with extensive remodeling of cellular metabolism, required to sustain proliferation. This was first highlighted by the fact that cancer cells display enhanced rates

of glycolysis and lactate production, a phenomenon called now the “Warburg effect” (Warburg 1956). Although this could suggest that mitochondria are impaired or not used in cancer cells, it is now commonly accepted that mitochondrial function is necessary for cancer cell viability and tumorigenicity (Wallace 2012). Antibiotics targeting mitochondrial translation, such as the actinonin-based antibiotics, have been successfully used as anti-proliferative agents (Lee et al. 2004; Skrtic et al. 2011). Part of actinonin’s mechanism of action involves stalling of mitochondrial ribosomes, followed by a decay of the MRPs and of mitochondrial RNA, culminating with fractionation of the mitochondrial network (Richter et al. 2013). This initiates a retrograde signaling to the nucleus that results in a block of cell proliferation. Consistent with this, actinonin was recently shown to induce the expression of some UPR<sup>mt</sup> genes in Burkitt’s lymphoma cells (Sheth et al. 2014). It is also tempting to speculate that anti-cancer activity of the inhibition of cytochrome c oxidase in complex IV, induced by treatment with the copper chelator, tetrathiomolybdate, may involve the induction of the UPR<sup>mt</sup> (Ishida et al. 2013), in a manner analogous to that achieved in the worm by *cco-1* RNAi targeting the complex IV component, COX4 (Durieux et al. 2011). The potential involvement of the UPR<sup>mt</sup> in these processes would be interesting to investigate, knowing that they likely involve a mito-nuclear protein imbalance. Although the previous examples suggests that UPR<sup>mt</sup> activation could be potentially be used as a cancer treatment strategy, mitochondrial chaperones Hsp60 and Hsp10 comprised in a signature of the statistically 67 most frequent genes induced in tumors versus normal tissue (Rhodes et al. 2004), suggesting that the UPR<sup>mt</sup> is activated in cancer. Thus, future studies linking cancer and the UPR<sup>mt</sup> will be required for a better understanding.

## Perspectives

Throughout this review, we have tried to give a glimpse of the relevance of the UPR<sup>mt</sup> in mitochondrial homeostasis in mammals. We emphasized how this pathway crucially impacts on lifespan and fitness of lower species, such as *C. elegans* and *D. melanogaster*. Moreover, the cell-non-autonomous nature of the UPR<sup>mt</sup> suggests that this stress response can be communicated among distant tissues and determines the aging rate of the whole organism. The fact that Mrps5 has been identified as a longevity gene in mice (Houtkooper et al. 2013) and the lethality of Hsp60 knockout (Kleinridders et al. 2013) indicates that the UPR<sup>mt</sup> is also essential for mitochondrial function and whole body homeostasis in mammals. However, a more fundamental understanding of the UPR<sup>mt</sup> pathway in

mammals is urgently required. Future research efforts will not only need to map the tissues and the physiological conditions in which the UPR<sup>mt</sup> is triggered but also need to provide a deep mechanistic insight into the mammalian UPR<sup>mt</sup> signaling and to elucidate the physiological and pathophysiological consequences of the UPR<sup>mt</sup> activation. The fact that mitochondrial fusion often accompanies the UPR<sup>mt</sup> should prompt researchers to investigate how the UPR<sup>mt</sup> communicates with and/or orchestrate the triggering of other mitochondrial, stress responses, such as fission, fusion, mitophagy, and apoptosis (Jin and Youle 2013). On top of that, if the homeostatic nature of the UPR<sup>mt</sup>, which has been well established in lower species, is conserved in mammals, modulating that this stress response might constitute a therapeutic strategy to treat diseases characterized by mitochondrial dysfunction.

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