## ORIGINAL ARTICLE

# Sustained enhancement of photosynthesis in mature deciduous forest trees after 8 years of free air CO<sub>2</sub> enrichment

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Received: 12 June 2010/Accepted: 22 July 2010/Published online: 11 August 2010 © Springer-Verlag 2010

**Abstract** Carbon uptake by forests constitutes half of the planet's terrestrial net primary production; therefore, photosynthetic responses of trees to rising atmospheric CO<sub>2</sub> are critical to understanding the future global carbon cycle. At the Swiss Canopy Crane, we investigated gas exchange characteristics and leaf traits in five deciduous tree species during their eighth growing season under free air carbon dioxide enrichment in a 35-m tall, ca. 100-year-old mixed forest. Net photosynthesis of upper-canopy foliage was 48% (July) and 42% (September) higher in CO<sub>2</sub>-enriched trees and showed no sign of down-regulation. Elevated  $CO_2$  had no effect on carboxylation efficiency ( $V_{cmax}$ ) or maximal electron transport  $(J_{\text{max}})$  driving ribulose-1, 5-bisphosphate (RuBP) regeneration. CO<sub>2</sub> enrichment improved nitrogen use efficiency, but did not affect leaf nitrogen (N) concentration, leaf thickness or specific leaf area except for one species. Non-structural carbohydrates accumulated more strongly in leaves grown under elevated CO<sub>2</sub> (largely driven by Quercus). Because leaf area index did not change, the CO<sub>2</sub>-driven stimulation of photosynthesis in these trees may persist in the upper canopy under future atmospheric CO<sub>2</sub> concentrations without reductions in photosynthetic capacity. However, given the lack of growth stimulation, the fate of the additionally assimilated carbon remains uncertain.

**Keywords** Elevated  $CO_2 \cdot Global$  change  $\cdot$  Photosynthetic acclimation  $\cdot$  Swiss Canopy Crane

#### **Abbreviations**

INDICTIA	
$A_{ m growth}$	Light-saturated net photosynthesis measured at growth CO <sub>2</sub> concentration (ambient CO <sub>2</sub> :
	$A_{\text{growth}}^{\text{a}}$ ; elevated CO <sub>2</sub> : $A_{\text{growth}}^{\text{e}}$ )
$A_{550}$	Light-saturated net photosynthesis measured at
	550 ppm leaf chamber CO <sub>2</sub> concentration
$A_{380}$	Light-saturated net photosynthesis measured at
	380 ppm leaf chamber CO <sub>2</sub> concentration
C	Carbon
E	$A_{\text{growth}}^{\text{e}}/A_{\text{growth}}^{\text{a}}$
E'	$A_{550}/A_{380}$
FACE	Free air carbon dioxide enrichment
$J_{ m max}$	Maximal photosynthetic electron transport rate
	(a proxy for ribulose-1,5-bisphosphate
	regeneration)
LAI	Leaf area index
N	Nitrogen
PPFD	Photosynthetic photon flux density
SLA	Specific leaf area
NSC	Non-structural carbohydrates

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# Introduction

**SCC** 

**ALVPD** 

SE

Every year, the burning of fossil fuels and dramatic changes in land use feed vast amounts of CO<sub>2</sub> to the

Maximal carboxylation rate of Rubisco

Air-to-leaf vapour pressure deficit

Swiss canopy crane

Standard error of the mean



atmosphere (Le Quéré et al. 2009). The uptake of CO<sub>2</sub> from the atmosphere through photosynthesis and its recycling through respiratory processes represent the largest fluxes in the global carbon (C) cycle (Schimel 1995; Sabine et al. 2004). If the C assimilated by plants is not completely recycled and some remains stored in the biosphere for prolonged periods, this could mitigate atmospheric CO<sub>2</sub> enrichment. The knowledge of the long-term response of photosynthesis to elevated atmospheric CO2 is key to understanding such future ecosystem responses. Under the current atmospheric CO2 concentration that exceeds the pre-industrial level by nearly 40%, photosynthesis in C<sub>3</sub>-plants is still CO<sub>2</sub> limited (Farquhar et al. 1980; Tans 2008). Hence, photosynthetic rates increase in response to elevated CO<sub>2</sub>, because the increased substrate availability stimulates Rubisco (ribulose-1,5-bisphosphate carboxylase/ oxygenase) carboxylation whilst competitively inhibiting the oxygenation process (Drake et al. 1997; Ainsworth and Rogers 2007). In trees that harbour  $\sim 90\%$  of the terrestrial biomass carbon (Roy et al. 2001) and account for 50% of the terrestrial net primary production (Bonan 2008), elevated CO<sub>2</sub> has been reported to increase leaf photosynthesis by 30-60%, regardless of tree age (Gunderson and Wullschleger 1994; Curtis and Wang 1998; Saxe et al. 1998; Medlyn et al. 1999; Norby et al. 1999; Nowak et al. 2004; Ainsworth and Long 2005). The magnitude of this stimulation is species dependent and modulated by environmental factors such as light, temperature, soil water and nutrient supply (Curtis and Wang 1998; Nowak et al. 2004).

Sustained photosynthetic stimulation is one of the prerequisites for growth stimulation under elevated CO<sub>2</sub>. However, there is no direct translation of CO<sub>2</sub> uptake per unit leaf area into plant growth (Körner 2006). In fact, long-term exposure to elevated CO<sub>2</sub> may affect morphological, biochemical and physiological plant properties that feed back to both photosynthesis and net carbon incorporation into the plant body (Gunderson and Wullschleger 1994; Egli et al. 2001). Photosynthetic acclimation to elevated CO<sub>2</sub> commonly occurs at the biochemical level through decreases in Rubisco carboxylation  $(V_{cmax})$ resulting from reductions in Rubisco concentration and, less importantly, through declines in the maximal electron transport rate ( $J_{\text{max}}$ ; Drake et al. 1997; Moore et al. 1999; Stitt and Krapp 1999; Ellsworth et al. 2004; Ainsworth and Rogers 2007). Imbalances in sink-source relations due to reduced or insufficient sink capacity often result from nutrient limitations (e.g. growth at low fertility sites or progressive nitrogen limitation) and lead to accumulation of photosynthates in leaves (Körner and Miglietta 1994). This sugar signal triggers a response mechanism that targets the small subunit of Rubisco and eventually leads to selective down-regulation of Rubisco (Rogers and Ellsworth 2002; Long et al. 2004; Ainsworth and Rogers 2007). Non-selective down-regulation on the other hand emerges from a loss of total leaf N or the dilution of leaf N by non-structural carbohydrates (NSC), which indirectly affect Rubisco concentration (Ellsworth et al. 2004). Early observations of photosynthetic down-regulation could be largely attributed to experimental constraints such as pot size (restricted rooting volume; Drake et al. 1997). However, also in field experiments, where the spatial constraints on the root system had been overcome, down-regulation of photosynthesis occurred in CO<sub>2</sub>-enriched trees, resulting mainly from reduced carboxylation capacity due to leaf N dilution (on mass and area basis) by NSC accumulation, i.e. from a non-selective, indirect effect on Rubisco (Medlyn et al. 1999; Ellsworth et al. 2004). When photosynthetic down-regulation occurs, the stimulative effect of elevated CO<sub>2</sub> is diminished but not completely eliminated, and photosynthesis is still enhanced under elevated CO<sub>2</sub> even after several years of enrichment (Saxe et al. 1998; Crous et al. 2008). In a meta-analysis comprising CO<sub>2</sub> fertilisation experiments other than free air carbon dioxide enrichment (FACE), photosynthesis of (mostly young) European forest trees declined over time by 10-20% under elevated CO<sub>2</sub>, but was still stimulated by 51% relative to control trees (350 vs. 700 ppm CO<sub>2</sub>; Medlyn et al. 1999). In FACE experiments, down-regulation of photosynthetic capacity was observed in the aggrading Aspen-FACE stands; however, this was a transitory effect that disappeared after steady-state LAI had been reached (Ellsworth et al. 2004; Uddling et al. 2009). A strong sugar-mediated, selective down-regulation of Rubisco occurred in old needles of mature Pinus taeda growing in an N-limited steady-state system at the Duke-FACE facility (Rogers and Ellsworth 2002; Crous et al. 2008).

Despite the bulk of literature on the effects of elevated CO<sub>2</sub> on photosynthesis in trees, very few studies have addressed the responses of mature dominant trees that have reached steady-state canopy development (constant leaf area index). The FACE experiment at the Swiss Canopy Crane (SCC) is the only study worldwide where several species of hardwood trees growing in a near-natural, mature mixed forest were exposed to elevated atmospheric CO<sub>2</sub>. We evaluated the long-term CO<sub>2</sub> response of photosynthesis and associated leaf traits in five tree species during the 8th year of canopy CO<sub>2</sub> enrichment. Our objective was to assess the magnitude of photosynthetic enhancement and potential down-regulation under elevated CO<sub>2</sub>. Gas exchange, biochemical and morphological leaf parameters were measured in sunlit foliage during the mid and late growing season to account for seasonal sinksource dynamics. Given the lack of persistent growth stimulation above and below ground in the CO<sub>2</sub>-enriched trees of this stand (Körner et al. 2005; Asshoff et al. 2006;



Bader et al. 2009), we anticipated that reduced sink capacity would feed back to photosynthesis, thereby partially offsetting the  $CO_2$ -induced stimulation of leaf-level C uptake. Such a decline in photosynthetic enhancement would involve: (1) a reduction of the maximal rate of leaf photosynthesis; (2) a decline in  $V_{\rm cmax}$  and/or  $J_{\rm max}$ ; (3) increased foliar non-structural carbohydrate (NSC) concentration; (4) diminished leaf nitrogen concentration (mass based); and (5) reduced specific leaf area (SLA).

#### Materials and methods

Study site

The Swiss Canopy Crane (SCC) facility is located in a species-rich forest 15 km south of Basel, Switzerland (47°28′N, 7°30′E, 550 m a.s.l.). The ca. 100-year-old stand grows on a gentle NNW-exposed slope and reaches canopy heights from 30 to 35 m. The leaf area index (LAI) is around 5, tree density is 415 trees ha<sup>-1</sup> (breast height diameter >0.1 m) and stem basal area amounts to 46 m<sup>2</sup> ha<sup>-1</sup>. The forest is dominated by Fagus sylvatica L., Quercus petraea (Matt.) Liebl. and *Carpinus betulus* L., accompanied by less abundant tree species such as Tilia platyphyllos Scop., Acer campestre L., Prunus avium L. and four species of conifers (Picea abies (L.) Karst., Larix decidua Mill., Pinus sylvestris L., Abies alba Mill.). The soil type is a Rendzic Leptosol (WRB) (Rendzina, FAO; Lithic Rendoll, USDA) with an accessible profile depth of maximal 25 cm followed by rocky subsoil merging into the calcareous bedrock at depths of 40-90 cm. The soil texture was classified as loamy clay with a pH of 5.8 in the top 10 cm of the profile.

The climate in this temperate region is distinguished by mild winters and moderately warm summers with mean air temperatures in January and July of 2 and 19°C, respectively. Long-term mean annual precipitation in the study region is 990 mm. Approximately two-thirds of the yearly precipitation falls during the 6-month growing season (Pepin and Körner 2002).

# Free air CO<sub>2</sub>-enrichment system

Future CO<sub>2</sub> levels in the tree canopies were established by applying a novel free air CO<sub>2</sub>-enrichment (FACE) technique called web-FACE (Pepin and Körner 2002). Briefly, pure CO<sub>2</sub> was pulse released through a fine web of perforated tubes plaited into tree crowns with the help of a construction crane. Canopy CO<sub>2</sub> supply was governed via computer-controlled magnetic valves to maintain the target CO<sub>2</sub> concentration of 550 ppm as accurately as possible. CO<sub>2</sub> concentration in the canopy served as the main control signal and was monitored by an air sampling system

consisting of multiple suction heads per tree feeding canopy air through sampling lines into infrared gas analysers. CO<sub>2</sub> release was confined to daylight hours (photosynthetic photon flux density, PPFD > 100  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>) of the growing season and was disengaged from the time of leaf shedding to bud break (end of October to mid-April). Twelve deciduous trees growing in the 60 m operating range of the crane were selected for CO<sub>2</sub> enrichment (three Fagus sylvatica, three Quercus petraea, three Carpinus betulus, one Tilia platyphyllos, one Acer campestre, one Prunus avium) and received elevated atmospheric CO<sub>2</sub> since autumn 2000. In 2006, the individual Prunus avium tree suffered from storm damage and was therefore excluded from the CO<sub>2</sub> enrichment. An adequate number of control trees were accessible in the remaining crane area at sufficient distance to the CO<sub>2</sub>-enriched zone.

## Leaf gas exchange measurements

In early July and mid-September of 2008, instantaneous rates of CO<sub>2</sub>/H<sub>2</sub>O gas exchange were measured with two identical portable photosynthesis systems (LI-6400, LI-COR Biosciences, Lincoln, NE, USA) on 25 trees of five species. Light-saturated net photosynthetic rates  $(PPFD = 1,200 \mu mol m^{-2} s^{-1}, LI-COR 6400-02 LED$ light unit) were determined between 8:30 and 12:30 h at ambient and elevated CO<sub>2</sub> concentrations (i.e. at 380 and 550 ppm) at 25°C leaf temperature and air-to-leaf vapour pressure deficit (ALVPD) of  $1.18 \pm 0.01$  and  $1.47 \pm 0.02$  kPa (means  $\pm$  SE) in June and September, respectively. Measurements were taken on eight mature leaves per tree from different sunlit branches. Leaves that initially showed low stomatal conductance <70 mmol m<sup>-2</sup> s<sup>-1</sup> were replaced by leaves exceeding this threshold. By means of the adjustable leaf chamber CO<sub>2</sub> supply, gas exchange was first measured at 380 ppm CO<sub>2</sub> and subsequently, on the same leaf, at 550 ppm CO<sub>2</sub>, designated hereafter as  $A_{380}$  and  $A_{550}$ , respectively. The light-saturated net photosynthesis measured at growth CO2 concentration is termed  $A_{\text{growth}}$ . Recordings were taken as soon as the net rate of photosynthesis and stomatal conductance  $(g_s)$ remained constant. Individual measurements did not exceed 5 min. The instantaneous photosynthetic enhancement ratio (E') was calculated as the leaf-intrinsic ratio of  $A_{550}/A_{380}$  for any tree in ambient and elevated CO<sub>2</sub>. The enhancement ratio that compares A<sub>growth</sub> of CO<sub>2</sub>-enriched trees  $(A_{\text{growth}}^{\text{e}})$  with  $A_{\text{growth}}$  of control trees growing under ambient conditions  $(A_{growth}^{a})$  is denoted by E. We calculated E using weighted species means to account for the varying number of trees available in each species (less weight assigned to Acer and Tilia that occurred with only one tree individual in each CO<sub>2</sub> treatment). Both photosynthetic enhancement ratios, E' and E, were



expressed as percentages  $(A_{550}/A_{380} \text{ or } A_{\text{growth}}^e/A_{\text{growth}}^a 1 \times 100\%$ ). In addition,  $A/C_i$  curves of the replicated species (F. sylvatica, Q. petraea, C. betulus) were recorded on two to four leaves per tree. Gas exchange rates were first recorded at a leaf chamber CO<sub>2</sub> concentration (C<sub>a</sub>) of 400 ppm  $CO_2$ , before  $C_a$  was stepwise reduced to 300, 200, 100 and 40 ppm, subsequently  $C_a$  was returned to 400 ppm (to check if the initial rate could be restored) and then increased to 600, 800, 1,000, 1,500 and 2,000 ppm. Individual response curves were completed within 25-35 min. A/C<sub>i</sub> curves were analysed using a Farquhar-type photosynthesis model (Long and Bernacchi 2003). Nonlinear least squares regression was applied to estimate  $V_{\rm cmax}$ , the maximal carboxylation rate of ribulose 1,5-bisphosphate carboxylase/oxygenase (Rubisco),  $J_{\text{max}}$ , the maximal rate of electron transport, and photosynthetic limitation due to triose-phosphate utilisation (TPU-limitation).

#### Leaf properties

At peak season (July 2008), we also measured leaf thickness (SM 112, Teclock, Nagano, Japan, precision 0.01 mm) and foliar chlorophyll content non-destructively (CCM-200, Opti-Sciences, Tyngsboro, MA, USA) on 30 leaves per tree canopy. A different set of ten leaf samples per tree was collected with a leaf puncher for determination of SLA, leaf N and NSC concentrations. Immediately after sampling, leaf discs (1.2 cm²) were dried at 80° C for at least 48 h and then weighed for biomass quantification. Then, samples were ground and all samples from one tree were pooled for determination of leaf N concentration (mg N g $^{-1}$  dry mass)

using a CHN-analyzer (Vario EL *III*, Elementar Analysensysteme GmbH, Hanau, Germany). Non-structural carbohydrates (NSC = starch, sucrose, glucose and fructose) were analysed applying an enzymatic starch digestion followed by a spectrophotometric glucose test after invertase and isomerase addition (Körner and Miglietta 1994).

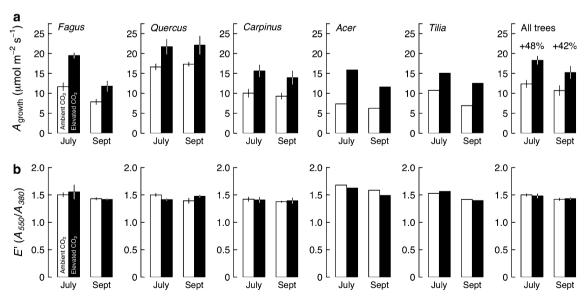
#### Statistical analysis

Gas exchange parameters were analysed using a linear mixed-effects model. We fitted the model using restricted maximum likelihood (REML) and included the fixed factors 'species', 'FACE-treatment' and 'leaf chamber CO<sub>2</sub>'. 'Leaf chamber CO<sub>2</sub>' was also nested within the random factor 'tree individual'. The photosynthetic enhancement ratios *E* and *E'* were analysed in three-way repeated measures ANOVAs with the fixed factors 'FACE-treatment', 'species' and 'date'. CO<sub>2</sub> effects on leaf properties were analysed with two-way ANOVAs with the fixed factors 'species' and 'FACE-treatment'. All statistical analyses and graphics were performed using R version 2.9.0 (http://www.r-project.org).

#### Results

# Leaf gas exchange

In the early and late growing season during year 8 of  $CO_2$  enrichment, the instantaneous enhancement of light-saturated net photosynthesis by elevated  $CO_2$  ( $E' = A_{550}/A_{380}$ ) was similar in trees growing under ambient and elevated



**Fig. 1** CO<sub>2</sub>-induced enhancement of light-saturated leaf photosynthesis of five deciduous tree species measured in the mid and late growing season of 2008 at the SCC FACE site, Switzerland. Trees were growing in a near-natural stand under ambient (*white*) or

elevated CO<sub>2</sub> (*black*). **a** Light-saturated net photosynthesis measured at growth CO<sub>2</sub> concentration,  $A_{\text{growth}}$ . **b** Instantaneous leaf-intrinsic photosynthetic enhancement,  $E' = A_{550}/A_{380}$ . Means  $\pm$  SE, n = 11 (elevated CO<sub>2</sub>), n = 14 (ambient CO<sub>2</sub>)



**Table 1** Results of a three-way ANOVA on the effects of species identity, FACE and sampling date (peak and late growing season) on the instantaneous leaf-intrinsic photosynthetic enhancement (E') involved with a switch in leaf chamber  $CO_2$  from 380 to 550 ppm

Factor	Df	F	P
Species	4, 15	4.380	<0.010**
FACE	1, 15	0.005	0.947
Date	1, 15	8.030	<0.010**
Species × FACE	4, 15	0.177	0.948
Species × date	4, 15	0.535	0.710
FACE × date	1, 15	0.603	0.444
Species $\times$ FACE $\times$ date	4, 15	1.032	0.407

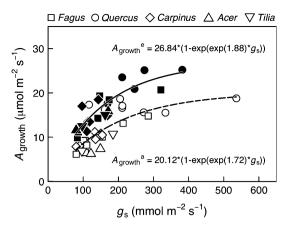
 $\it Df$  degrees of freedom (numerator, denominator);  $\it FF$ -value \*\*  $\it P < 0.01$ 

**Table 2** Linear mixed-effects model results on the effects of species identity, FACE and leaf chamber  $CO_2$  on photosynthetic capacity of five deciduous tree species growing under ambient and elevated  $CO_2$  (FACE =  $CO_2$  effect,  $CO_2$ -LC = leaf chamber  $CO_2$  concentration)

Factor	Df	F	P
Species	4, 15	17.838	<0.001***
Date	1, 781	190.947	<0.001***
FACE	1, 15	0.008	0.929
CO <sub>2</sub> -LC	1, 19	1045.290	<0.001***
Species × FACE	4, 15	0.833	0.525
Species × date	4, 781	44.540	<0.001***
Species $\times$ CO <sub>2</sub> -LC	4, 19	1.281	0.312
FACE $\times$ CO <sub>2</sub> -LC	1, 19	0.047	0.830
$FACE \times date$	1, 781	0.398	0.528
Date $\times$ CO <sub>2</sub> -LC	1, 781	5.421	0.020*
Species $\times$ FACE $\times$ date	4, 781	3.865	0.004**

*Df* degrees of freedom (numerator, denominator); *F F*-value \*\*\* P < 0.001, \*\* P < 0.01, \* P < 0.05

CO<sub>2</sub> (Fig. 1; Table 1). Averaged across all trees, the shortterm switch in CO<sub>2</sub> supply to the leaf chamber from 380 to 550 ppm led to a photosynthetic enhancement of 49% in early July and declined significantly to 42% in September (Fig. 1; Table 2). As a consequence of this strong CO<sub>2</sub> stimulation, the rate of light-saturated net photosynthesis measured at growth CO<sub>2</sub> concentration (A<sub>growth</sub>) was significantly higher in  $CO_2$ -enriched trees compared to ambient controls ( $E = A_{\text{growth}}^{\text{e}}/A_{\text{growth}}^{\text{a}}$ ) reaching 48% in July and 42% in September (Fig. 1). There was significant variation amongst the study species with Quercus petraea showing the highest rates of net photosynthesis under both treatments and at both measured leaf chamber CO<sub>2</sub> levels, whilst the lowest rates were seen in Acer campestre (Table 2). The significance of the species  $\times$  FACE  $\times$  date interaction (indicating species-specific down-regulation over the growing season, Table 2) was caused by the single *Tilia* tree and when this



**Fig. 2** Net photosynthesis under growth  $CO_2$  concentration  $(A_{growth})$  as a function of stomatal conductance  $(g_s)$  in ambient (white) and  $CO_2$ -enriched foliage (black) of five mature deciduous tree species, measured in the peak and late growing season of 2008. Means  $\pm$  SE, n=1–5 per species and treatment

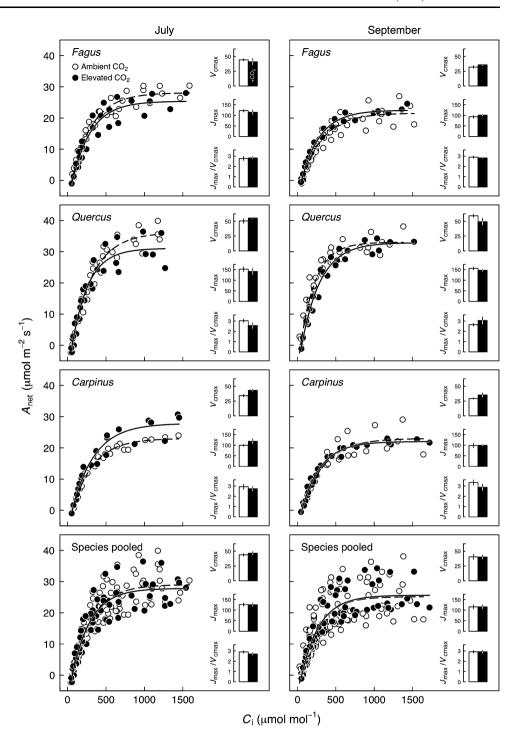
unreplicated species was disregarded in the model the interaction term lost its significance. From the early to the late growing season, the average  $A_{\text{growth}}$  declined significantly by 15–17% under ambient (12.4 vs. 10.7  $\mu$ mol m<sup>-2</sup>  $s^{-1}$ ) and elevated CO<sub>2</sub> (18.3 vs. 15.2 µmol m<sup>-2</sup> s<sup>-1</sup>, threeway ANOVA, P < 0.001). Stomatal conductance declined towards the end of the growing season by 41 and 33% in ambient and elevated  $CO_2$  (three-way ANOVA, P < 0.001). Comparing foliage grown and measured under ambient CO<sub>2</sub> with foliage grown and measured under elevated CO<sub>2</sub> yielded similar stomatal conductance  $(g_s)$ , but 6 and 9% lower transpiration rates in July and September, respectively. However, these differences in transpiration were statistically not significant (three-way repeated measures ANOVA, P > 0.2). Rates of  $A_{\text{growth}}$  and  $g_{\text{s}}$  were tightly coupled, and  $A_{\text{growth}}^{\text{e}}$  was always higher than  $A_{\text{growth}}^{\text{a}}$  at a common  $g_{\text{s}}$ (Fig. 2). Since leaf N in CO<sub>2</sub>-enriched trees was not reduced (see later), photosynthetic net carbon uptake per unit leaf nitrogen (nitrogen-use efficiency, PNUE) was significantly higher compared to control trees (Table 4).

The carboxylation efficiency of Rubisco ( $V_{\rm cmax}$ ) and the maximal rate of electron transport leading to RubP regeneration ( $J_{\rm max}$ ) showed significant inter-specific variation, but CO<sub>2</sub> enrichment as main effect had no significant influence. The significant species × FACE × date interaction for  $V_{\rm cmax}$  resulted mainly from increases in  $V_{\rm cmax}$  in Quercus control trees towards the end of the growing season, rather than from a late-seasonal down-regulation in CO<sub>2</sub>-enriched trees. In a number of leaves,  $A/C_i$  curves also showed that photosynthesis became limited by triosephosphate utilisation (5.3–12.6  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>) when  $C_i$  exceeded ~750 ppm.

Across species and treatments,  $V_{\rm cmax}$  and  $J_{\rm max}$  had significantly declined by 11 and 8% over the growing season



Fig. 3 The seasonal response of light-saturated net photosynthesis  $(A_{net})$  to intercellular CO2 concentration  $(C_i)$  in upper-canopy leaves of mature individuals of the three dominant deciduous tree species growing under ambient (white, dashed lines) and elevated CO2 (black, solid lines) in a nearnatural stand at the SCC FACE site, Switzerland. The bar chart inserts give the maximum rate of Rubisco carboxylation  $(V_{\rm cmax})$ , the maximum electron transport rate  $(J_{\max})$  and the  $J_{\text{max}}/V_{\text{cmax}}$  ratio derived from a Farquhar-type photosynthesis model. The response curves shown in the graph were fitted using nonlinear least squares regression based on the equation used by Herrick and Thomas (2001):  $A = A_{\text{max}}[1 - (1 - \alpha / \alpha)]$  $A_{\text{max}}(1 - C_i/\Gamma)$ ], where  $A_{\text{max}} = A_{\text{net}}$  at CO<sub>2</sub> saturation,  $\alpha = y$ -intercept and  $\Gamma = CO_2$ compensation point. Means  $\pm$  SE, n = 3-5 per species and treatment



(Fig. 3; Table 3). We observed a common positive linear relationship between  $J_{\rm max}$  and  $V_{\rm cmax}$ , which was similar in leaves grown under ambient and elevated CO<sub>2</sub> and did not change with progressing growing season (F test, F=0.232, P=0.963, Fig. 4). Neither  $V_{\rm cmax}$  nor  $J_{\rm max}$  was significantly correlated with leaf N on an area basis (N m<sup>-2</sup>, data not shown).

# Leaf traits

In year 8 of the FACE study, all measured leaf traits showed significant inter-specific variation, but  $CO_2$  enrichment as main effect had no significant influence (Table 4). However, across all trees,  $CO_2$  exposure tended to increase leaf non-structural carbohydrates (NSC,

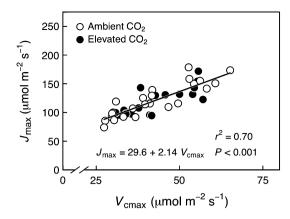


**Table 3** Results of a three-way ANOVA on the effects of species identity, FACE and sampling date (peak and late growing season) on the maximum rate of Rubisco carboxylation ( $V_{\rm cmax}$ ), maximum electron transport rate ( $J_{\rm max}$ ) and the ratio  $J_{\rm max}/V_{\rm cmax}$  in the three replicated tree species (*Fagus sylvatica*, *Quercus petraea*, *Carpinus betulus*)

Factor	Df	F	P
$V_{\rm cmax}$			
Species	2, 16	45.976	<0.001***
FACE	1, 16	0.712	0.405
Date	1, 15	7.889	0.009**
Species × FACE	2, 16	2.792	0.078
Species × date	2, 15	4.712	0.016*
$FACE \times date$	1, 15	1.012	0.322
Species $\times$ FACE $\times$ date	2, 15	3.606	0.039*
$J_{ m max}$			
Species	2, 16	37.534	<0.001***
FACE	1, 16	0.003	0.954
Date	1, 15	4.570	0.041*
Species × FACE	2, 16	1.272	0.295
Species × date	2, 15	2.888	0.071
$FACE \times date$	1, 15	0.003	0.961
Species $\times$ FACE $\times$ date	2, 15	0.977	0.388
$J_{\rm max}/V_{\rm cmax}$			
Species	2, 16	0.606	0.552
FACE	1, 16	0.948	0.338
Date	1, 15	0.788	0.382
Species × FACE	2, 16	0.693	0.508
Species × date	2, 15	0.464	0.633
$FACE \times date$	1, 15	0.527	0.474
Species $\times$ FACE $\times$ date	2, 15	2.294	0.118

*Df* degrees of freedom (numerator, denominator); F F-value \*\*\* P < 0.001, \*\* P < 0.01, \* P < 0.05

+15%), which was largely driven by Quercus that showed 36% increase in leaf NSC under elevated CO<sub>2</sub> (Table 4). There was also a trend towards a decline in specific leaf area (SLA) under elevated CO<sub>2</sub>, which disappeared when the two unreplicated species were excluded from the statistical analysis or when SLA was expressed on an NSCfree basis (Table 4). We found species-dependent CO<sub>2</sub> effects on leaf chlorophyll content and leaf N (significant species × treatment interaction). CO<sub>2</sub>-enriched Quercus trees showed 20% less foliar chlorophyll than conspecific control trees, whilst Fagus leaves exhibited ca. 30% higher chlorophyll contents under elevated CO2. The significant species x treatment interaction with leaf N (on an area basis) resulted solely from the decline seen in Acer(-28%)and Tilia (-14%). When these two unreplicated species were excluded from the analysis, the interaction term lost significance (Table 4).



**Fig. 4** Correlation between the maximum rate of electron transport  $(J_{\text{max}})$  driving RubP regeneration and the maximum rate of Rubisco carboxylation  $(V_{\text{cmax}})$  of mature leaves in the outer canopy of the three dominant deciduous tree species at the SCC site [Fagus sylvatica, Quercus petraea, and Carpinus betulus, means  $\pm$  SE, n=9 (elevated CO<sub>2</sub>), n=12 (ambient CO<sub>2</sub>)]. Treatment- and datewise regression was statistically not different from the regression analysis applied to the combined data from ambient and elevated CO<sub>2</sub> in July and September; therefore the pooled data set is shown

#### **Discussion**

Leaf gas exchange

The Swiss Canopy Crane gave us the unique possibility to reveal the long-term effects of CO<sub>2</sub> enrichment on photosynthesis in mature, 30–35 m tall deciduous forest trees. In the canopy of the mixed forest stand at the SCC site, net photosynthetic rates of sunlit foliage from mature trees that had been grown under future atmospheric CO<sub>2</sub> for 8 years were consistently higher compared with rates in leaves of control trees (E = 48% in July and 42% in September, Fig. 1). In the same stand, Zotz et al. (2005) found 36 and 49% photosynthetic enhancement (E) in the mid and late growing season, respectively, after 3 years of CO<sub>2</sub> enrichment (E given here is corrected for the loss of one CO<sub>2</sub>-exposed tree in 2006 and was recalculated with the original data using weighted species means). Thus, the magnitude of photosynthetic enhancement at the SCC forest did not change over the study years and agrees well with the numbers reported from other multi-year FACE experiments performed with trees (Herrick and Thomas 2001, Liquidambar styraciflua in the understory Duke-FACE: +63%; Sholtis et al. 2004, L. styraciflua at ORNL-FACE: +44%; Liberloo et al. 2007, three *Populus* species at POP-FACE: +49%; Ainsworth and Rogers 2007, review: +46% in trees, Crous et al. 2008, Pinus taeda at Duke-FACE: +68% in current year needles, +40% in 1-year-old needles). At the Aspen-FACE site, Populus tremuloides clones initially showed somewhat lower stimulation especially under  $CO_2 + O_3$  conditions



Species	Fagus		Quercus		Carpinus		Acer		Tilia		Ь	FACE	Species $\times$ FACE
Treatment	а	e	a	e	a	0	а	e	а	e	Species		
NSC	$14.2 \pm 0.8$	$14.2 \pm 0.8  15.7 \pm 1.2$	$12.9 \pm 1.5$	$17.5 \pm 0.4$	$17.8 \pm 1.0$	$17.3 \pm 1.1$	12.7	8.7	10.2	11.7	<0.01**	0.10	0.13
(% d.m.)											(<0.01**)	(0.11)	(0.10)
Chlorophyll	$27.8 \pm 2.7$	$27.8 \pm 2.7$ $35.9 \pm 3.3$	$33.1 \pm 2.4$	$26.4\pm1.8$	$20.3\pm1.5$	$22.6\pm1.3$	30.9	28.1	27.5	15.4	<0.05*	0.92	<0.05*
(rel. units)											(<0.01**)	(0.38)	(<0.05*)
Leaf N	$2.5 \pm 0.1$	$2.5\pm0.0$	$2.8 \pm 0.1$	$2.6\pm0.1$	$2.4\pm0.1$	$2.5\pm0.1$	2.5	1.8	3.7	3.2	<0.001***	0.27	<0.05*
(% d.m.)											(0.08)	(0.80)	(0.49)
NSC-free leaf N	$2.9 \pm 0.2$	$3.0 \pm 0.1$	$3.2 \pm 0.1$	$3.2 \pm 0.2$	$2.9 \pm 0.1$	$3.0 \pm 0.1$	2.9	2.0	4.1	3.6	<0.001***	0.65	0.06
(% d.m.)											(0.19)	(0.38)	(0.90)
Leaf N	$2.0 \pm 0.1$ $1.8 \pm 0.2$	$1.8\pm0.2$	$2.2 \pm 0.1$	$2.2 \pm 0.2$	$2.3 \pm 0.0$	$2.2 \pm 0.1$	2.2	1.5	3.5	3.2	<0.001***	<0.05*	0.08
$(g m^{-2})$											(<0.01**)	(0.22)	(0.84)
SLA	$10.2 \pm 0.5  9.7 \pm 0.2$	$9.7 \pm 0.2$	$8.8 \pm 0.3$	$8.3 \pm 0.1$	$13.3 \pm 0.2$	$13.9 \pm 1.3$	11.5	8.2	14.3	14.8	<0.001***	0.09	0.10
$(m^2 kg^{-1})$											(<0.001***)	(0.76)	(0.75)
NSC-free SLA	$11.9\pm0.7$	$11.9 \pm 0.7$ $11.5 \pm 0.4$	$10.1\pm0.2$	$10.1\pm0.3$	$16.2\pm0.0$	$16.8\pm1.5$	13.2	0.6	16.0	16.8	<0.001***	0.37	0.08
$(m^2 kg^{-1})$											(<0.001***)	(0.90)	(0.96)
Leaf thickness	$389 \pm 14$	$384 \pm 12$	$337 \pm 21$	$326 \pm 5$	$395\pm16$	$403 \pm 6$	272	302	410	431	<0.001***	0.75	0.71
(mm)											(<0.001***)	(0.82)	(0.71)
PNUE		$86.4 \pm 7.1$ $152.5 \pm 12.1$	$110.6\pm6.7$	$140.6\pm4.0$	$61.6\pm6.2$	$99.3 \pm 6.6$	47.1	146.0	43.3	66.5	<0.001***	<0.001***	<0.05*
$(\mu mol\ mol\ N^{-1}\ s^{-1})$											(<0.001***)	(<0.001***)	(0.09)
Trees $(n)$	5	3	4	3	3	3	1	1	1	_			

Numbers are means  $\pm$  SE or represent single trees, a = ambient CO<sub>2</sub>, e = elevated CO<sub>2</sub>. The terminal columns represent the results of two-way ANOVAs for species and treatment effects and the interaction term. For each parameter, the upper row contains the statistical results for all species and the lower row the results for the three replicated species only (see last row for number of replications)

\*\*\* P < 0.001, \*\* P < 0.01, \* P < 0.05



(Noormets et al. 2001). However, when LAI had reached steady-state, photosynthetic capacity in  $CO_2$ -enriched *Populus* clones and *Betula papyfera* trees was increased by 76 and 115%, respectively, and the addition of  $O_3$  (elevated  $CO_2 + O_3$  treatment) did not significantly reduce the  $CO_2$ -driven stimulation in photosynthesis (Uddling et al. 2009).

Although previous measurements revealed reduced sapflow in our  $CO_2$ -enriched trees (Cech et al. 2003; Leuzinger and Körner 2007), we did not measure reduced  $g_s$  under elevated  $CO_2$ . However, the relationship between  $A_{growth}$  and  $g_s$  suggests improved water-use-efficiency (Fig. 2). A similar relationship between  $A_{growth}$  and  $g_s$  was reported for *Liquidambar styraciflua* trees at the ORNL-FACE site, which, in contrast to our trees, showed a significant 24% decline in  $g_s$  under elevated  $CO_2$  (Gunderson et al. 2002).

In their meta-analysis of photosynthetic responses to elevated  $CO_2$  in FACE experiments, Ainsworth and Rogers (2007) reported moderate but significant decreases in  $V_{\rm cmax}$  (-6%) in trees growing under high  $CO_2$ . At our site, trees receiving  $CO_2$  enrichment did not show reduced  $V_{\rm cmax}$  not even towards the end of the growing season when down-regulation due to declining sink strength is most commonly observed. Downward adjustment of  $J_{\rm max}$  in response to elevated  $CO_2$  has less often been reported (Long et al. 2004; Ainsworth and Long 2005) and was not apparent in our  $CO_2$ -enriched trees.

The lack of photosynthetic down-regulation is consistent with the findings for mature and understory sweetgum trees growing at the ORNL- and Duke-FACE site, respectively, and three poplar species growing at short-rotation coppice at the POP-FACE site as well as for aspen and birch at the ASPEN-FACE stands (Herrick and Thomas 2001; Sholtis et al. 2004; Liberloo et al. 2007; Uddling et al. 2009).

Down-regulation of photosynthesis in response to CO<sub>2</sub> enrichment is often associated with a decline in leaf N (Stitt 1991; Medlyn et al. 1999) and thus occurs more often under limited soil N availability (Oren et al. 2001). Although the steady-state pine forest at the DUKE-FACE facility is such an N-limited system, long-term exposure to elevated CO<sub>2</sub> did not affect needle N irrespective of needle age. Nonetheless,  $V_{\rm cmax}$  and  $J_{\rm max}$  declined significantly and reduced photosynthetic enhancement by 37% in 1-year-old needles of CO2-enriched Pinus taeda trees (Rogers and Ellsworth 2002; Crous et al. 2008). These declines resulted from NSC accumulation that caused strong selective downregulation of Rubisco (Rogers and Ellsworth 2002). In current-year needles that showed no down-regulation, the photosynthetic enhancement by elevated CO<sub>2</sub> was therefore substantially higher compared to 1-year-old needles (68 vs. 40%; Crous et al. 2008). Interestingly, N-fertilisation in the last FACE year restored photosynthetic capacity in 1-year-old needles suggesting that CO<sub>2</sub> enrichment

reduced the allocation of N to Rubisco and RuBP regeneration and to proteins associated with electron transport in 1-year-old needles. The authors concluded that curtailing the N supply to photosynthesis could make more N available for new foliage growth at low fertility sites (Crous et al. 2008). However, even in well-fertilised sour orange trees, photosynthesis was gradually down-regulated during 14 years of growth in elevated CO<sub>2</sub> (Adam et al. 2004).

The SCC stand grows in an area with ample precipitation and high wet nitrogen deposition (20–25 kg N ha<sup>-1</sup> a<sup>-1</sup>) and is thus believed to be free of N-limitation. Consequently, we assumed strong initial growth stimulation by elevated CO<sub>2</sub> that would only start to acclimatise a few years after the FACE initiation (Körner 2006). However, apart from transient growth stimulation in Fagus during the early years of the experiment, we did not observe any consistent, significant increases in growth or biomass or the acceleration of turnover processes above or below ground under elevated CO<sub>2</sub> (Körner et al. 2005; Asshoff et al. 2006; Keel et al. 2006; Bader et al. 2009). Although stable isotope data and soil air CO2 concentration both indicated an increased flux of C into the soil (Keel et al. 2006), we did not detect a corresponding signal in soil respiration that could account for the fate of the extra C assimilated under elevated CO<sub>2</sub> (Bader and Körner 2010). The lack of strong sink capacity for assimilates led us to assume considerable down-regulation of photosynthesis in trees receiving CO<sub>2</sub> enrichment. Instead, we found persistent stimulation of photosynthesis implying a lack of closure in the C budget, clearly pointing to so far unaccounted C fluxes in this CO<sub>2</sub> enrichment experiment. Preliminary findings indicate that parts of the extra C assimilated under elevated CO<sub>2</sub> may leave the system through enhanced leaching of dissolved organic and inorganic C (<20%, F. Hagedorn, personnel communication).

### Leaf traits

Similar to previous years, the overall trend towards increased leaf NSC under elevated CO<sub>2</sub> resulted mainly from the strong buildup seen in *Quercus* leaves (Table 4; Körner et al. 2005). As discussed earlier, NSC accumulation in leaves may lead to photosynthetic down-regulation, but photosynthesis ( $A_{growth}$  and E') in *Quercus* foliage remained unaffected by the CO<sub>2</sub>-induced increase in NSC. Similarly strong accumulation of leaf NSC (+37%) was observed in *Liquidambar styraciflua* (sweetgum) leaves at the ORNL-FACE site and there the sugar signal also failed to down-regulate photosynthesis (Sholtis et al. 2004). Consistent with the lack of photosynthetic down-regulation, leaf N assessed at peak season was not affected by CO<sub>2</sub> enrichment, except for the single *Acer* tree that showed a large decrease in leaf N. This contrasts with the findings from the early years of the



experiment that showed an overall reduction of 10% in leaf N driven by a pronounced decline in *Carpinus* and the dilution by NSC (Körner et al. 2005). A 10% reduction in leaf N (mass based) was also reported for sweetgum trees at the ORNL stands (Sholtis et al. 2004), whilst needle N in *Pinus taeda* growing on low fertile soil at the Duke-FACE forest and leaf N in deciduous trees at the POP- and Aspen-FACE stands remained unaffected by CO<sub>2</sub> exposure (Liberloo et al. 2007; Crous et al. 2008; Uddling et al. 2009).

The changes in area-based chlorophyll content observed in Fagus and Quercus were not linked to changes in SLA and had no effect on  $V_{\rm cmax}$  or  $J_{\rm max}$ . Commonly, foliar chlorophyll content is little affected under FACE conditions (Long et al. 2004; Ainsworth and Long 2005), and declines on a mass basis observed in sweetgum trees (ORNL-FACE) were fully explained by reductions in SLA (ca. -10%, Sholtis et al. 2004). During the first 4 years of the SCC experiment, elevated CO<sub>2</sub> diminished SLA by 5-8% in all species but Fagus (Körner et al. 2005), whereas in year 8 only Acer showed lower SLA under elevated CO2. Also, Pinus taeda growing at the Duke forest showed no SLA response to elevated CO<sub>2</sub> (Rogers and Ellsworth 2002), but SLA declined up to 24% in the upper canopy of a poplar coppice exposed to elevated CO<sub>2</sub> (POP-FACE, Liberloo et al. 2007). In general, leaf traits of our study trees were surprisingly little affected by CO<sub>2</sub> enrichment.

Even if the CO<sub>2</sub>-induced decline in SLA observed during the early years of the experiment disappeared over time, it did not preclude changes in leaf production. However, at our site, annual leaf litter production and thus LAI remained unchanged under elevated CO<sub>2</sub> (Körner et al. 2005 and later unpublished data), which was consistent with earlier studies reporting the lack of an LAI response to CO<sub>2</sub> enrichment in closed canopy stands (Hättenschwiler et al. 1997; Gielen et al. 2003; Norby et al. 2003). Steady-state LAI had, however, increased in pure aspen and mixed aspen birch stands growing under elevated CO<sub>2</sub> at the Aspen-FACE site (Uddling et al. 2008).

# Conclusions

Photosynthetic enhancement (42–48%) in mature trees of five broad-leaved species was sustained without reductions over 8 years of canopy CO<sub>2</sub> enrichment. Provided that future climatic trends will not strongly affect photosynthesis directly and nutrient availability will remain sufficient, these findings suggest that the enhancement of photosynthesis may persist in these mature deciduous trees under high future atmospheric CO<sub>2</sub> concentrations. The fate of the additional C assimilated by CO<sub>2</sub>-exposed trees growing in this closed canopy forest remains uncertain. Above- and below-ground growth responses to elevated

CO<sub>2</sub> were inconsistent suggesting that the extra C was not used to build up significantly more biomass in these old trees.

**Acknowledgments** We thank Erwin Amstutz for crane operations and for his efforts in data collection. We are grateful to the Paul Scherrer Institute (Switzerland) for the provision of equipment. Further, we thank Olivier Bignucolo for various laboratory analyses of leaf parameters. The SCC FACE study was supported by the Swiss National Science Foundation (grant 3100AO-111914/1).

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