

# Windthrow damage in *Picea abies* is associated with physical and chemical stem wood properties

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**Abstract** On 26 December 1999, the windstorm “Lothar” hit large parts of western and central Europe. In Switzerland, windthrow losses reached 12.7 Mio m<sup>3</sup> of timber, corresponding to 2.8 times the annual national timber harvest. Although these exceptional losses were due to extreme peak velocities, recent changes in tree nutrition may have increased forest susceptibility. Previous controlled environment experiments revealed that wood density (associated with wood stiffness) tends to increase in elevated CO<sub>2</sub>, and to decrease when N-availability is enhanced (e.g., by soluble N-deposition). Such changes in wood quality could theoretically influence the risk of wind damage. We used the “Lothar” windstorm as a “natural experiment” to explore links between damage and wood properties. In 104 windthrow sites across the Swiss Plateau, more than 1,600 wood cores from (1) broken, (2) uprooted and (3) still standing (not damaged) spruce trees (*Picea abies*) were collected in February and March 2000. Wood properties, tree-ring width and chemistry of the wood samples were analysed. Broken trees showed wider tree-rings in the decade 1990–99 compared to non-broken trees (either uprooted or undamaged trees). Broken trees also showed lower non-structural carbohydrate (NSC) concentration in sapwood, reflecting active structural carbohydrate sinks associated with fast growth. There was also a trend for higher tissue N-concentrations in broken trees. No

significant differences between damage types were found in wood density and wood shrinkage during desiccation. We conclude that stem breakage risk of *P. abies* is associated with a stimulation of growth in the past decade and with changes in tree nutritional status. However, the risk for windthrow of whole spruce trees (uprooted but not broken) was not related to the studied wood parameters.

**Keywords** Forest · Stem fracture · Mechanics · Tree-rings · Dendrology · Nutrients · Carbohydrates · Spruce · Switzerland

## Introduction

Extreme events in a silviculture landscape

Stochastic disturbance events such as fire or wind damage drive forest dynamics and regeneration. It is well known that tree species differ in their sensitivity to such disturbances and natural regional species abundance is strongly influenced by the recurrence rate of such events. Natural forest systems pass through successional cycles often driven by such disturbances. In contrast, silviculture commonly does not select for these resistance traits if the recurrence rates of such “disasters” is low relative to forest rotation and/or if there are possibilities to prevent or reduce damage by adopting certain management strategies (e.g., fire fighting). Windthrow damage has increased in recent decades (Bresh et al. 2000), although meteorological statistics are not suggesting that storm frequency per se did increase over the past 100 years (Schiesser et al. 1997; OcCC 2003), yet climatic warming may rise the risk of weather extremes such as extratropical cyclones in the near future (Berz 2003).

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Here, we explore whether there is intra-population diversity in windstorm resistance of trees and, if so, what the underlying mechanisms might be. Intraspecific differentiation of risk-traits could—in the long run—select for more resistance, with “survivors” either serving as seed trees or becoming a selected seed source for nurseries. Following a recent centennial storm in the Swiss plateau, we investigated the damage types in windthrow areas in *Picea abies* (Norway spruce), the dominant conifer timber in central Europe. This assessment is based on the analysis of a large number of tree stems from over 100 locations which were either damaged or which survived in immediate proximity.

There is a suite of conditions, which can contribute to an enhanced risk of windthrow damage. Some of these are obvious others are subtler. Clearly, the windthrow risk is greater for isolated or forest edge positions of trees, and for weak trees grown in the shade of dominant neighbours (Holbrook and Putz 1989). Tree crown asymmetry and size (Amarasekara and Denne 2002; Young and Perkocha 1994), the shape (taper) of a tree (Moore 2000; Wang et al. 1998, Petty and Swain 1985), tree senescence (Zobel and Buijtenen 1989), stem or root rot pathogen infection (Schmid-Haas and Bachofen 1991), rooting on very shallow soil (Moore 2000; Puhe 2003), acidification induced shallow rooting (Puhe 2003), low base saturation in the soil (Braun et al. 2003), or uniform coniferous forest stands (Mason 2002; Schmid-Haas and Bachofen 1991) all enhance the risk of windthrow. Here, we will explore less obvious traits such as the physical and chemical properties of dominant or co-dominant individuals in closed, fairly even aged stands, approaching the end of the common 100 year forest rotation cycle. The natural life expectancy of *P. abies* would be up to 350 years.

We employ soil data (e.g., pH) as well as results of a spatially explicit N-deposition model for the test area (Rihm 1996) for site characterisation. For wood tissue we document mineral element and nitrogen concentrations (e.g., enhanced N-availability or depletion of other nutrients) and non structural carbohydrates (C-supply status) in stem tissue. We will use these signals as indicators of any shift in tree nutrition. In this context we assume that a comparatively high abundance of non-structural carbon compounds (NSC) indicates a situation of carbon surplus perhaps as a result of atmospheric CO<sub>2</sub>-enrichment or because of non-carbon-associated growth limitations (Hoch et al. 2002; Körner 2003). Similarly, we assume high N-concentrations to indicate appropriate or overabundant N-supply. Since high N-concentrations may result from high N-deposition (Bauer et al. 2000) rather than generally improved nutrient status, ratios between N and other nutrients such as phosphate or certain cations will be considered as well.

## Stem and wood characteristics and the risk of wind damage

This study rests on the assumption that—beyond many other risk factors and irrespective of the environmental triggers which may have led to these characteristics—the likelihood of an adult tree in a given population to become damaged by strong winds is also linked to its wood tissue properties (density) and stem biometrics (Putz et al. 1983). Hence, we ask the simple question whether there are any correlations between damage type and stem wood characteristics in spruce.

A dominant tree, grown under a selective thinning regime (selection stands) is more likely to exhibit a robust stem than a tree grown in a clear-cutting regime without thinning. Accordingly, Dvorak et al. (2001) found lower windstorm damage in Swiss selection stands compared to stands, which regenerated from a clear-cutting regime without thinning. Whatever its cause, the vigour of growth per se can have an integrative effect on the risk of wind damage by a combination of associated stem shape factors, crown size, the width of growth rings and their quality. But it is not clear whether good growth conditions enhance or reduce the risk. To find this out was one of the aims of this study.

Faster growth can have many reasons such as high light (low competition), rich substrate (potentially enhanced by atmospheric nitrogen deposition) or even atmospheric CO<sub>2</sub> enrichment. Enhanced N-deposition is assumed to have stimulated forest growth since the 1960s in many parts of Central Europe, but not in Scandinavia, where N-deposition is much lower (Spiecker et al. 1996). In eastern North-America, N-deposition was not found to have increased N-availability (McLauchlan et al. 2007) possibly due to late consequences of earlier land use and N-depletion and it hardly feeds into forest biomass accumulation (Nadelhoffer et al. 1999). For central Europe, it was, however, argued that many forest sites were N-depleted during the nineteenth and early twentieth century (Kenk and Fischer 1988; Zöttl 1990), which should enhance their sensitivity to current N deposition. In the early 1990s, the so-called critical load of atmospheric N deposition was exceeded in 80–99% of the managed forest area of Switzerland (Rihm 1996).

In situ nitrogen fertilisation almost always stimulates radial wood increment (Joos 1997; Anttonen et al. 2002; Mäkinen et al. 2002) and tree height growth in conifer forests (Alcubilla et al. 1976). Fast-growing versus slow-growing old spruce trees (high vs. low growth rate) not only differ in ring width, but also in wood density and mean tracheid length (Herman et al. 1998). For wood quality (and presumably wood mechanics), it seems to make a difference if growth is primarily stimulated by

nitrogen (less stiff wood) or elevated CO<sub>2</sub> (stiffer wood). Long-term full nutrient fertiliser addition in *P. abies* leads to wider earlywood, and reduced wood density and latewood fraction (Mäkinen et al. 2002). Mean wood density may be used as a proxy for the contribution of the latewood fraction to the complete treeing, because latewood has much higher density than earlywood (Wimmer 1995).

Spruce grown under N × CO<sub>2</sub>-enrichment with two different soil types (an acidic, silty and a calcareous, sandy soil) produced less rigid wood when receiving more nutrients on acidic soil, but not on the naturally more fertile calcareous soil (Beismann et al. 2002). The soil type itself influenced elasticity and density of wood, independently of the CO<sub>2</sub> and N-treatment (neither CO<sub>2</sub> nor N-enrichment showed any effect on wood density). On the less fertile acidic soil, density, rigidity and toughness of wood were generally higher (Beismann et al. 2002). Applied together, CO<sub>2</sub>-effects may even become compensated by the opposing effects of high N deposition.

In addition to wood structure, wood chemistry can also be affected by CO<sub>2</sub>-enrichment and N addition. In a 3-year experiment with stands of *P. abies* saplings growing on mature forest soil in fully air conditioned chambers, elevated CO<sub>2</sub> led to higher starch concentration and lignin/N ratio and reduced N concentration (Hättenschwiler et al. 1996), whereas N-addition alone or in combination with CO<sub>2</sub>-enrichment had no effect on starch, N and lignin concentration in branch wood in these model ecosystems. In an 11 year nitrogen fertilisation trial with *P. abies* (Anttonen et al. 2002), lignin, soluble sugars and other extractives became increased compared to controls.

#### The storm Lothar as a test case

We designed this field study to test some of these earlier experimental findings and the theory discussed above in adult trees after a winter-storm had hit large parts of central Europe on 26 December 1999, with peak velocities of 160 km h<sup>-1</sup> and 12.7 Mio m<sup>3</sup> of fallen timber in Switzerland (about 2.8 times the annual Swiss timber harvest; WSL/BUWAL 1999). We selected 104 sites with wind damage in previously dense forests of similar age. In the damaged areas, we selected a set of trunks, which were either uprooted, or broken and collected the same data from adjacent, now isolated individuals, which for whatever reason resisted the storm.

In the light of the above discussion, we hypothesized (1) that trees with broken stems should have softer i.e., less dense wood, higher nitrogen concentrations in xylem and phloem and lower concentrations of non-structural carbohydrates than undamaged or even uprooted trees. We thus, assume that a high nutrient supply allows structural sinks to

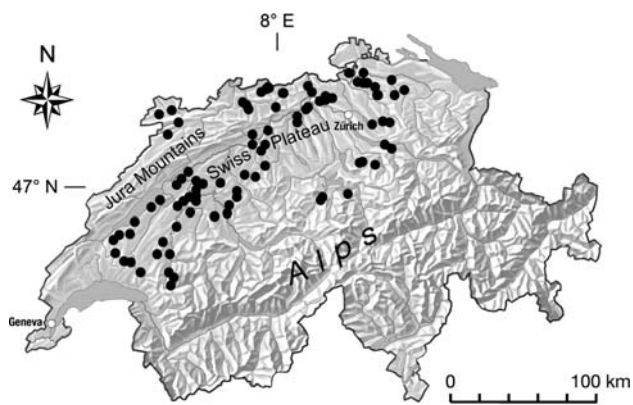
be very active; so that the tree can invest most carbon assimilates into new structural tissue. In contrast we expected (2) uprooted trees to have stiffer stems, which transmit the mechanical forcing by the storm on crowns more directly to the root plate. We assume the greater stiffness to be associated with greater wood density, which in turn reflects smaller ring width, all, tied to a higher carbon and lower N-supply (superfluous carbon being stored as non-structural carbon).

Our findings may be associated with a multitude of influences other than the C/N-balance, but we expected that a very large sample of trees and a very broad representation of sites over as large as possible an area should provide sufficient statistical power to identify the hypothesized trends, should the predicted functional links exist. It was imperative for this broad survey to use simple to determine parameters, which permitted the sampling campaign to be completed during a few weeks of cold winter weather immediately after the storm, not at risk to lose signals by the onset of decay during warmer weather.

## Methods

### Sites and sampling procedure

*Picea abies* L., our test-species dominated all sites (57 ± 21% of all trees; mean ± SD) followed by *Abies alba* (15 ± 19%) and *Fagus sylvatica* (13%). Species of minor importance were *Pinus sylvestris*, *Larix decidua*, *Quercus* spp. and *Acer* spp. Less than a quarter of the sites were pure coniferous forests (>95% conifer cover). The mean canopy height for all 104 sites was ca 32 ± 5 (SD) m. The 104 locations selected, cover a broad spectrum of windthrow sites spread over an area of ca. 10,000 km<sup>2</sup> across the Swiss Plateau (a quarter of Switzerland) and across altitudes between 295 and 1,450 m (mean 603 ± 200 (SD) m a.s.l.; Fig. 1). We aimed at sampling ca five trees of each category of (1) broken B, (2) uprooted U and (3) undamaged, “control” trees C at each site (i.e., 15 trees per site). However, in one case we found no broken trees, and in nine cases we found no uprooted trees. In all other cases, we could sample 2–14 trees per damage category, mostly six trees. In order to avoid forest edge effects, the wind throw plots used were surrounded by forest, with an intact-forested buffer zone to the west (the wind direction) of mostly more than 50 m width. In addition, the sampling sites were selected to match the following criteria: (1) The terrain should be flat, with gentle surrounding topography (no steep slopes, narrow valleys). (2) The original forest should be closed and mature. (3) All three-damage types should be well represented. The mean site area was around 5 ha (min. 0.5, max. 50 ha).



**Fig. 1** Location of sampling sites ( $n = 104$ ) on the Swiss Plateau

We estimated stand height, tree species composition (the fraction contributed by spruce in cases where other species were present), estimated the fractions of undamaged, broken and uprooted trees. We also recorded altitude above sea level, slope orientation, slope inclination and soil type. During sampling in February and early March 2000, the weather conditions were cold (+2 to  $-1^{\circ}\text{C}$ , mostly around zero) and wet, with slight snow cover in many of the sites, hence wood conditions should be well preserved since the damage on December 26.

#### Soil analyses

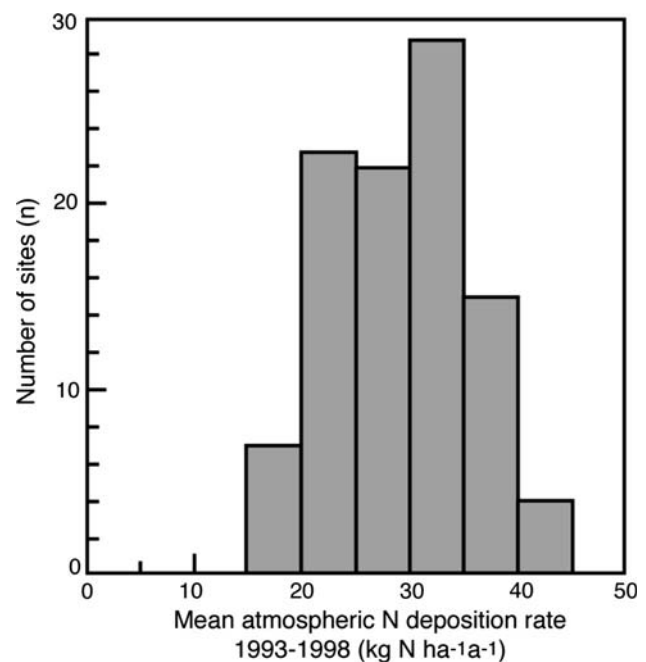
For each site, three soil samples of ca. 500 g were taken from the A-horizon (ca. 5–10 cm depth) and were pooled for analyses. Soil samples were stored at  $-20^{\circ}\text{C}$  for 4–6 months until analysis. The pH was measured using electrode sensors in a soil suspension with 1 M KCl. We analysed soils for  $\text{NH}_4^+$  using a colorimetric kit (Merck Spectroquant Ammonium test kit, Merck KGaA, Darmstadt, Germany).

#### Nitrogen deposition data

Nitrogen deposition data were obtained from Rihm (1996), who modelled wet and soluble dry N-input for any  $1 \times 1$  km grid point in Switzerland. The grid point nearest to each sampling site of the present study was used as an estimate of the mean deposition rate for the period 1993–1998 ( $\text{kg N ha}^{-1} \text{a}^{-1}$ ; Fig. 2).

#### Tree data

When we collected stem cores (see below), we also measured the stem diameter at 1.3 m above ground (DBH, m),



**Fig. 2** Frequency distribution of the mean annual atmospheric nitrogen deposition for the 104 sampling sites as shown in Fig. 1 for the period 1993–1998. The data were kindly provided by B. Rihm, Meteotest, Bern. The critical load ranges between 20 and 30  $\text{kg N ha}^{-1} \text{a}^{-1}$  depending on topography, altitude and soil type and is exceeded in more than about 40% of all the investigated sites. Deposition rates are derived from the  $1 \times 1$  km grid Swiss N deposition map (Rihm 1996)

the distance from lowest to the uppermost point of the irregular shaped stem fracture (stem fracture length), and the distance from soil surface to the lowest point of the stem fracture (stem fracture height).

We collected one core from each tree (a total of 1,835 cores). Trees for which cores showed signs of fungal infestation (wood rot) were excluded from the analysis, reducing the sample to a total of 1,716 wood cores (6–28 per site, with an average of 17). At each site, cores were sampled from trees belonging to the three damage categories C, B and U as described above. The cores were taken 1.3 m above the base of trees using 5 mm diameter increment borer (Suunto Inc, Vantaa, Finland). Cores were stored in a cooling box and transferred to a freezer ( $-20^{\circ}\text{C}$ ) within 72 h and maintained there until further analysis.

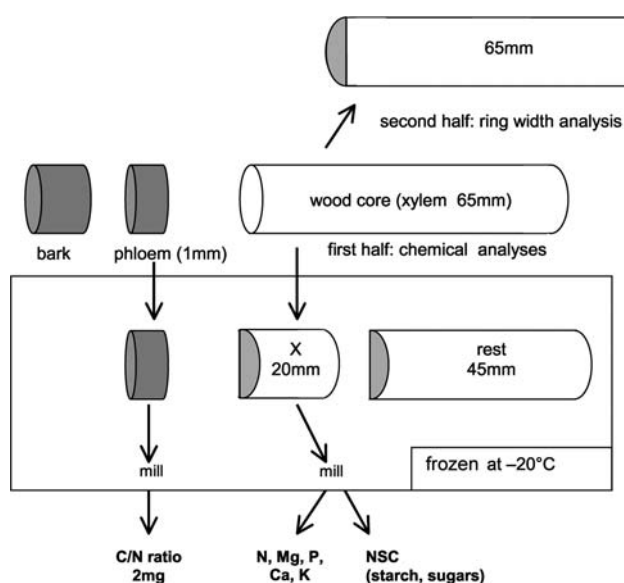
Sapwood width was determined by inspecting fresh cores. In spruce the sapwood-hardwood boundary can only be detected in fresh wood. The sapwood area was calculated using the diameter at breast height of the tree and sapwood width. The percent (%) of sapwood area was calculated for each tree, with total basal area representing 100%.

Core handling: At first, the bark (dead part) was removed from each core and a ca. 1 mm slice of life

phloem attached to the cambium was cut from the core (Fig. 3). The length of the xylem core was then reduced at the pithward end to a constant length of 65 mm for all cores. For these 65 mm xylem cores, the diameter and weight (fresh and oven dried at 80°C) were determined and the basic density calculated. Radial shrinkage of cores due to drying was calculated as the length reduction of the cores after drying at 80°C. Cores were then split along the radial tree alignment into two equal halves. One half was used for microscopic tree-ring analysis and the second half was used for chemical analysis. Cores were stored over silica-gel in a refrigerator.

### Tree-ring analysis

Tree-ring width was measured in sanded cores to 0.01 mm with a computerized measuring table (“LINTAB3” with TSAP software version 3.1, Rinntech.com, Heidelberg, Germany; Rinn, 1996). Around 1,169 cores (from a total of 1,716) were suitable for tree-ring measurements. Tree age, defined as cambial age, is the age in the year when the last tree-ring was formed at a given coring height (Bräker 1981; Norton et al. 1987; McCarthy et al. 1991). Since only the outer 65 mm were available, we could only produce a coarse approximation of age by dividing the intact tree radius by the mean tree-ring width in the 65 mm core. The absolute tree age is higher by the number of years it took the tree (pith) to reach coring height of 1.3 m (10–30 years).



**Fig. 3** Processing scheme of the wood samples

### Wood chemistry

The outermost 20 mm of the core’s half was saved for chemical analysis (Fig. 3) and ground to fine powder in a ball mill (MM2, Retsch GmbH & Co. KG, Haan, Germany). This part of the core contains only sapwood (living xylem). In order to avoid pseudo-replication, all trees of the same damage type were pooled per site, yielding one control (C), one broken (B) and one uprooted (U) sample per site. Each of these site specific means is based, on average, on 8.5, 5.7 and 2.6 trees for control, broken and uprooted trees. Nitrogen concentration was analysed in both sapwood and the phloem segment for each site (102 sites) and all three damage types. Phloem nitrogen concentrations were analysed with a CHN analyser (CHN-900, LECO Corp. St Joseph, MI, USA). Xylem nitrogen concentrations were too low for the CHN analyser; hence we had to employ a modified micro-Kjehldahl method (Walinga et al. 1995). The method for NSC analysis (starch, glucose, fructose and sucrose) was described in detail by Körner and Miglietta (1994). In essence, this method involves enzymatic digests and a photometric glucose test using a Hexokinase reaction kit (Sigma Diagnostics St Louis, MO, USA). For further site characterisation, the concentration of Mg, P, K and Ca in the xylem of control trees only was analysed with energy dispersive X-ray fluorescence spectrometry (ED-XRF spectrometer Spectrace-5000). About 2 mg of wood powder was dispersed on clean transparent sticky tape used for taking fingerprints (“police tape”), mounted on a Plexiglas frame. The scanning time for each sample was 500 s. For calibration we used three standards of plant tissue powder certified and provided by the European bureau of calibration (Anonymous 1986). One reference was composed of the aquatic plant *Lagarosiphon major* (BCR-60), one was composed of the aquatic moss *Platihypnidium riparioides* (BCR-61), and one was composed of the leaves of *Olea europaea* (BCR-62).

### Statistical analyses

The differences between the damage types control (C), broken (B) and uprooted (U) were tested with a one-way analysis of variance (ANOVA) and post-hoc *t* tests with SIDAK’s adjustments for multiple comparisons (SPSS Inc. 2000). Where needed, data were transformed to meet the requirements of normal distribution. Multiple *t* tests were used to analyse differences between the following two test pairs: damaged trees (B and U trees pooled) compared to not damaged trees (i.e., control trees), and the comparison “broken” (B) with not broken trees (U and C trees pooled). In addition, we tested correlations between site, tree and

wood core data. First of all, rank order correlations were applied because of deviation of the data distribution from normality. The influence of dependent (“masking”) factors was reduced by calculating partial correlation coefficients.

## Results

### Soils

The majority of the soils was rather acid. The pH (in KCl) with a mean of  $3.9 \pm 1.4$  for 100 sites ranged from 2.4 to 7.3 pH values did not correlate with N-deposition rates derived from the N-deposition model by Rihm (1996). The critical load of 20–30 kg N ha<sup>-1</sup> a<sup>-1</sup> is exceeded in most of our sampling sites (Fig. 2). The concentration of ammonium nitrogen (mean of  $395 \pm 55$  µg NH<sub>4</sub>-N g<sup>-1</sup> d.m. of soil) revealed no informative correlation with any tree or other site characteristics, hence is not further discussed. Control trees exhibit P and Mg concentrations in the xylem are within the range of the cited studies (Table 1), whereas Ca concentrations are low, and K concentrations are particularly low compared to these references. No regional trends could be detected.

### Tree damage and tree dimensions

Stem diameter at 1.3 m height above soil (DBH) and tree age did not differ significantly among different damage types (not shown). For the ca. 1,800 trees measured, the average DBH was  $45 \pm 12$  (SD) cm, tree age (cambial age at 1.3 m) was  $129 \pm 51$  (SD) years, ranging from 24 to 248 years. The mean slenderness ratio was  $76 \pm 18$  (SD) m height per meter of tree diameter with a minimum of 47

and a maximum of 155. In broken trees, mean fracture height was  $5.6 \pm 2.8$  (SD) m above ground and the length of the fracture was  $0.54 \pm 0.43$  (SD) m. Neither sapwood width nor sapwood area differed significantly between different damage types. However, the fraction of sapwood area in relation to tree basal area was significantly higher in B than in C + U trees (Fig. 4). Although not significant ( $p = 0.19$ ), the sapwood fraction was also slightly higher in B trees than in either C or U trees tested separately.

### Treering analysis

The mean treering width of the 1,169 measured 65 mm-wood cores was  $1.88 \pm 0.57$  mm and  $37 \pm 10$  treerings were found within the considered 65 mm-wood cores (on average, the years 1962–1999). Over the last 10 years (1990–1999), mean treering width was  $1.96 \pm 0.61$  mm, which is marginally higher than that for the whole 65 mm-cores. Note that the product of the mean ring width and the mean number of years per core is not equal to 65 mm because the results differ when the number of years and mean ring width are averaged first, instead of multiplying original values first and averaging them thereafter. These annual radial increments in the 65 mm-wood cores correspond to a mean annual basal area increment of  $24.5 \pm 7.1$  cm<sup>2</sup> per tree ( $25.6 \pm 7.9$  cm<sup>2</sup> for 1990–1999 only).

Damaged trees (B + U) showed significantly higher (+3.5%) mean treering width in the 65 mm cores than undamaged (C) trees ( $p < 0.05$ ) with the uprooted trees contributing more to this difference (+4.9%; not significant for U alone) than the broken trees (+2.1%, n.s. for B alone; Fig. 5). However, if we consider the last 10 years only, this trend becomes reverted, and broken trees show

**Table 1** Element concentrations in sapwood of control trees (means and standard deviations; mg g<sup>-1</sup> of dry matter), compared with literature values

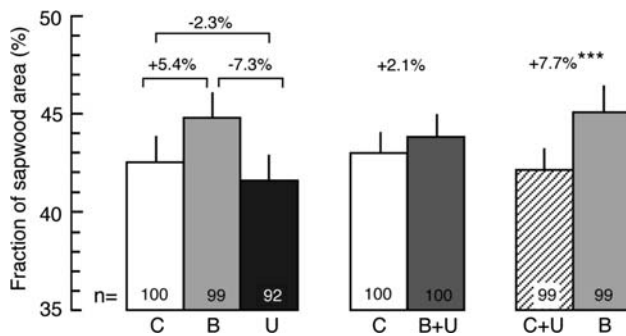
Sapwood content (mg g <sup>-1</sup> d.m.)	Sapwood section (cm)	N	P	N/P	Mg	Ca	K
Present study (control trees)	0–2	$0.87 \pm 0.01$	$0.049 \pm 0.002$	17.5 <sup>a</sup>	0.11	0.34	$0.06 \pm 0.002$
Stockfors and Linder (1998); control	0–2.5 (–3.5)	$0.69 \pm 0.07$	–	–	–	–	–
Stockfors and Linder (1998); fertilized	0–2.5 (–3.5)	$0.79 \pm 0.06$	–	–	–	–	–
Braun et al. (1996) <sup>b</sup>	0–5	$0.61 \pm 0.06$	$0.033 \pm 0.08$	18.5	0.11	0.83	0.85
Feger et al. (1991)	–	0.30	0.02	15.0	0.09	0.50	0.30
Dünisch et al. (1996) (healthy trees) <sup>c</sup>	–	–	$0.06 \pm 0.38$	–	$0.08 \pm 0.24$	$0.60 \pm 0.85$	$0.36 \pm 1.07$
Joos (1997) (non-fertilized trees)	0–1.5	–	$0.05 \pm 0.20$	–	$0.05 \pm 0.13$	$0.45 \pm 0.70$	$0.20 \pm 0.90$

For further explanation see text

<sup>a</sup> N/P ratio is  $20.4 \pm 0.8$ , when the N/P ratio is first calculated for any site, and averaged thereafter

<sup>b</sup> Seven sites (“Umerland”) were included; P concentration in needles is a mean of four sites

<sup>c</sup> Values depend upon distance from bark; complete sapwood was measured in healthy trees only



**Fig. 4** Differences in the percentage of sapwood area among the three damage types: control (C), broken (B) and uprooted (U). Asterisks mark the significance level: \*\*\*  $p < 0.001$ . Error bars represent standard errors. The pairwise differences between the damage types are given as a % difference. The C and C + U values respectively are taken as 100%

marginally significant ( $p = 0.067$ ) wider treerings (+5.7%) than all the unbroken trees (C + U), with absolutely no C versus U difference, hence the damaged (B + U) versus control difference becomes insignificant.

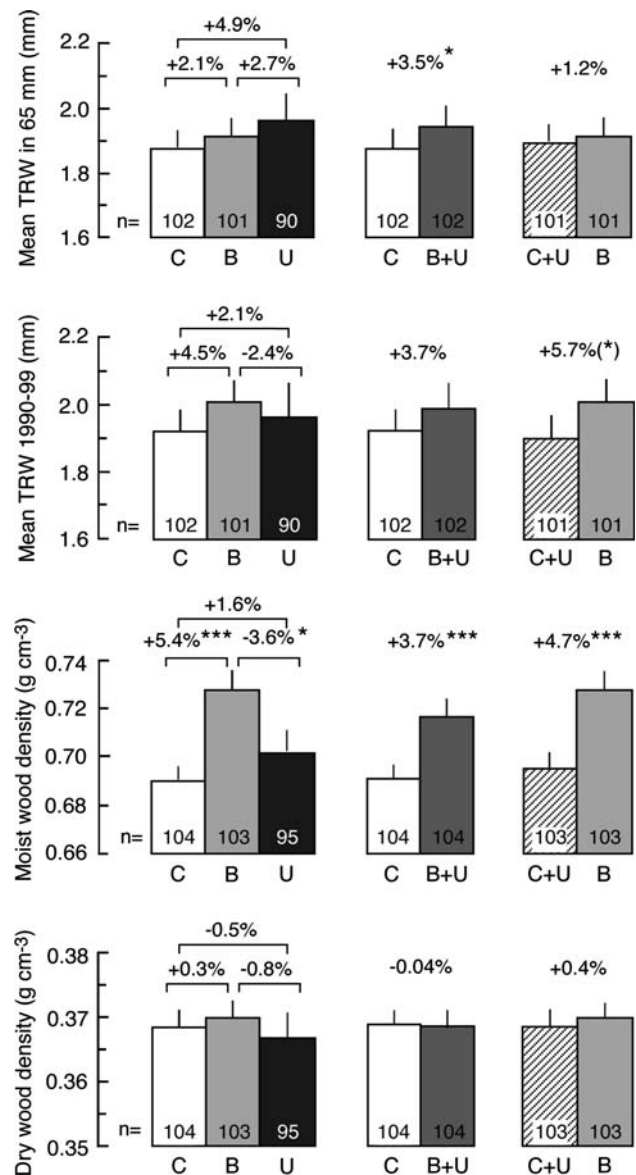
#### Physical wood tissue characteristics

Across all trees, the mean fresh (moist) wood density was  $0.705 \pm 0.059 \text{ g cm}^{-3}$  (mean  $\pm$  standard deviation) and the oven-dry wood density was  $0.369 \pm 0.023 \text{ g cm}^{-3}$ . The 65 mm-cores showed a mean radial shrinkage due to drying (sample length reduction) of  $3.9 \pm 0.4\%$ . Sapwood area across all tree categories contributed  $43 \pm 12\%$  to tree basal area at coring height (total basal area is 100%).

Fresh wood density is significantly higher in B trees than in either U or C trees (Fig. 5). Fresh wood density is also significantly greater for all damaged (B + U) trees together (+3.7%) than in control trees and in B only versus all non-broken (C + U). Although not significant, the differences in oven-dry density between damage types show similar trends when the damage types are considered separately (Fig. 5). It should be recalled that fresh wood density is higher the more water (density = 1) is contained in the wood samples. However, structural characteristics (e.g., lignification) and solutes can also add to fresh density. In essence, there is a clear trend for higher fresh density ( $\sim$ water content) in broken trees. Density differences disappear when wood is dry. No significant differences between damage types were found in radial shrinkage.

#### Wood chemistry

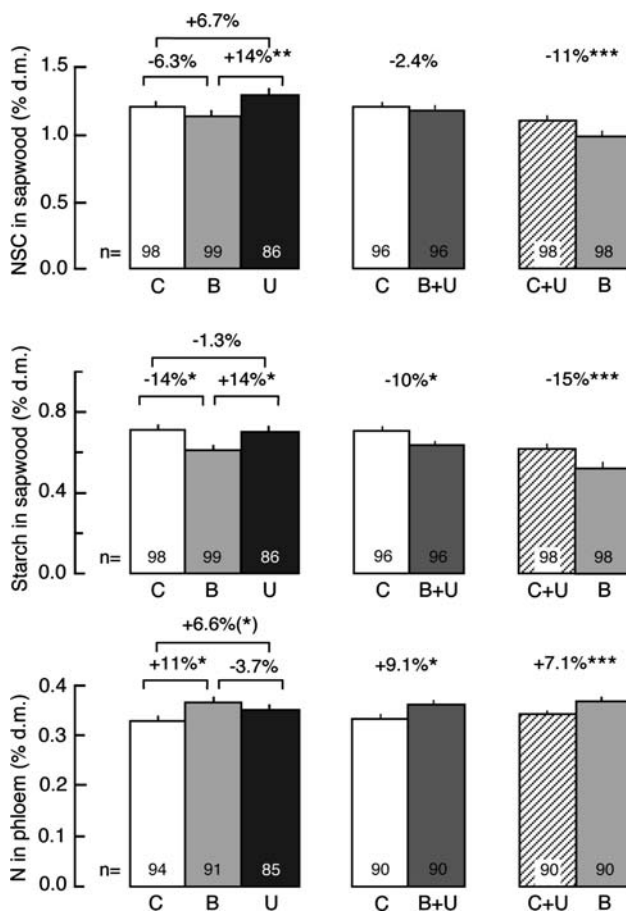
Across all trees, the mean concentration of non-structural carbohydrates (NSC) in the sapwood (20 mm) was  $1.21 \pm 0.23\%$  (mean  $\pm$  SD) of dry matter (d.m.), of which



**Fig. 5** Differences in treering width (TRW) and wood density between pairs of the three damage types: control (C), broken (B) and uprooted (U). Asterisks mark the significance level: \*\*\*  $p < 0.001$ ; \*\*  $p < 0.01$ ; \*  $p < 0.05$ ; (asterisk)  $p < 0.1$ . For further explanation see Fig. 4

starch contributed  $0.67 \pm 0.17\%$  d.m. and sugars contributed  $0.54 \pm 0.21\%$  d.m. (mean  $\pm$  SD). The NSC concentration in sapwood of broken trees is significantly lower ( $-11\%$ ) than in all un-broken (C + U) trees, and  $14\%$  lower than in uprooted trees alone, while broken versus control trees alone do not differ significantly (Fig. 6). Wood of damaged trees combined (B + U) contains significantly less starch than controls (Fig. 6).

Mean N concentration in the sapwood of control trees was  $0.087 \pm 0.01\%$  d.m., and the N concentration in the phloem was  $0.35 \pm 0.06\%$  d.m. Sapwood samples represent  $14 \pm 4$  (SD) treerings within the 20 mm-section



**Fig. 6** Differences in non-structural carbohydrates (NSC), starch in sapwood, and N in stem phloem. For further explanation see Fig. 5

examined (the years 1985–1999). In comparison with four other studies (Table 1), these N-concentrations in wood are high. Separated by damage type, N-concentration shows trends opposing those in NSC-concentration. There is a trend of higher N concentrations in sapwood of damaged trees compared to undamaged trees (=control), but the absolute difference is quite small (n.s.). Differences in N-concentration in the phloem are more pronounced with much higher concentrations in broken trees than in control trees, and concentrations are also higher in uprooted than in control trees (Fig. 6). Damaged trees (B + U) pooled also show significantly higher N-concentrations in the phloem than undamaged (C) trees alone, largely because of the strong signal in broken trees. Broken trees also show significantly higher N-concentration in the phloem when compared to all un-broken trees together (C + U; Fig. 6).

Above, we presented concentrations of wood constituents on a dry matter basis, which makes signals sensitive to changes of cell wall density (e.g., lignin concentration). However, when concentrations are calculated on a tissue volume basis, neither the direction nor the significance of the differences change (data not shown).

In summary, the xylem of broken trees contains more water, thus exhibits higher fresh wood density, and sapwood and phloem show a higher nitrogen concentration per unit dry matter. Broken trees also grew faster than unbroken trees (C + U) over the last 10 years (1990–1999), which is in line with their lower non-structural carbohydrate concentrations when compared with all un-broken trees (C + U pooled). Based on these parameters, broken trees are clearly different from both uprooted (unbroken) and control trees. There were a few marginal differences between B and U which made the broken trees even more different when compared with the combined mean of C + U.

### Correlations

In contrast to controlled experiments, the analysis of “natural experiments” such as the wind throw case studied here, encounters a broad range of uncontrolled co-variables, which may have an influence on the results. On the other hand, this broad range also offers the possibility to explore correlations among many factors and recorded tree responses. Among the ca 40 parameters analysed in this study, hundreds of correlations could be tested, some of which would be significant by chance, and others make no biological sense. We explored large matrices of such correlations and will present and discuss only a few which we think do contribute to the understanding of the phenomena presented. Many of the tested parameters did not show a perfect Gaussian distribution. Thus, for all the possible tests, both Spearman’s rank sum correlation and the common Pearson’s correlation coefficients were calculated. As to be expected, we also found a high collinearity (Belsley 1990, Chatterjee et al. 2000) between some factors (e.g., canopy height is of course highly correlated with DBH, and DBH is correlated with tree age). Therefore, the collinearity in these factors was reduced by calculating partial correlations. For example, in the correlation between DBH and treeing width, tree age and canopy height are “controlling factors”, because they control or cover the searched correlation. Controlling factors are sometimes also called “masking factors”, because they mask the searched correlations. Although many relationships lose their strength and statistical significance in partial correlations (they even sometimes change their sign), the remaining significant ones are more likely reflecting relevant biological phenomena.

Stem fracture length and stem fracture height are proxy variables for the fracture properties of a tree stem. A short fracture indicates a brittle tree stem, and a long fracture indicates an elastic stem (Seeling 1999). Fracture length increased with radial stem wood shrinkage during desiccation and with higher oven-dry wood density and it decreased with tree slenderness and the size of the sapwood



**Table 2** Partial correlation coefficients between stem fracture length, stem fracture height and selected other variables (number of sites  $n = 50$ )

	Stem fracture	
	Length	Height
Radial stem wood shrinkage (%)	0.28*	n.s.
Oven-dry wood density ( $\text{g cm}^{-3}$ )	0.37**	n.s.
Slenderness (canopy height/tree diameter)	-0.30*	n.s.
Fraction of sapwood area (%)	-0.29*	n.s.
N in sapwood of control trees (% d.m.)	n.s.	0.42**
P in sapwood of control trees (% d.m.)	n.s.	0.30*
K in sapwood of control trees (% d.m.)	n.s.	0.27*

Sapwood length, moist wood density, tree age, DBH, site altitude and date of sampling were used as controlling factors. Statistically significant correlations are presented only (\*  $p < 0.05$ ; \*\*  $p < 0.01$ ; \*\*\*  $p < 0.001$ )

fraction (Table 2). The degree of radial wood shrinkage during desiccation increases and, surprisingly, treering width decreases with total atmospheric N-deposition (Table 3). It seems, beyond a certain critical N-deposition, or more likely with the associated acidification, effects on growth become negative. We found no significant differences between the different damage types in multiple linear regression models.

## Discussion

Using a geographically broad treering data set, this study revealed that the type of wind-throw damage during the 1999 extreme event was associated with stem wood properties, which in turn reflected tree vigour and tree nutrition. The results confirm the hypothesized antagonistic role of nitrogen nutrition and tree carbon supply status. Faster growth is associated with high tissue N-concentration and

depleted non-structural carbohydrate pools and increases the risk of stem breakage compared to the risk of uprooting. Similar traits in uprooted and undamaged survivors within a windbreak plot, suggest that stem breakage is not a purely random phenomenon, but is associated with a specific set of tree characteristics sensitive to growth conditions.

We found no difference in stem diameter between the damage types C–B–U, in line with earlier observations by Schmid-Haas and Bachofen (1991), who also found no effect of height/diameter ratio on wind storm damage in spruce (they also found no effect of crown length). At the peak velocities of Lothar of up to  $160 \text{ km h}^{-1}$ , it seems that trees were hit irrespective of their size, associated with stochastic peak turbulences.

Our findings do not support expectations that the risk of wind-throw of a single tree is related to its size and proportions in the type of forests explored here (Niklas 1992, 1994; Dunham and Cameron 2000). These factors matter for isolated trees or irregular canopies, but possibly not for the remaining crown variance in otherwise even forest canopies. The height to diameter ratio (H/D, slenderness) is a widely used classical risk index (Wang et al. 1998). A tree with a value equal or higher than 100, is highly vulnerable by wind storms. The mean height to diameter ratio of all trees within a site (C–B–U) was 76 and 12 of a total of 104 sites showed a height to diameter ratio of above 100. The fact that 59% of the stands we investigated showed mean H/D values lower than 80, and the wide range between 47 and 155 indicates that stands were thrown irrespective of tree slenderness.

## Damage type differences

The most significant difference we observed was between broken and non-broken trees. Broken trees show higher

**Table 3** Partial correlation coefficients among physical wood properties as derived from 5 mm cores

	Fraction of sapwood area (%)	Radial stem wood shrinkage (%)	Oven-dry wood density ( $\text{g cm}^{-3}$ )	Mean TRW (mm)	Deposition of all N compounds
Fraction of sapwood area (% of basal area)	1				
Radial stem wood shrinkage (%)	-0.31***	1			
Oven-dry wood density ( $\text{g cm}^{-3}$ )	-0.29***	0.69***	1		
Mean TRW (mm)	0.30***	-0.44***	-0.49***	1	
Deposition of all N compounds	n.s.	0.28**	n.s.	-0.33**	1

Controlling factors are sapwood length, moist wood density, tree age, diameter at breast height (DBH), site altitude and date of sampling. Deposition of soluble N compounds (mean for 1993–1998;  $\text{kg N ha}^{-1} \text{ a}^{-1}$ ). TRW is a mean value of tree-ring width of the outer 65 mm of stem cores

fresh wood density (more water), greater fraction of sapwood area, lower non-structural carbohydrate and starch concentrations in the sapwood, and higher nitrogen concentrations in the phloem, all together suggesting more vigorous growth and high structural sink activity for carbon assimilates as evidenced by wider treerings in broken trees over the last 10 years (1990–1999), trends clearly in line with our hypothesis. It is known from open top chamber experiments that spruce grown under enhanced N-supply on acidic forest soil produces wood of reduced bending stiffness, whereas CO<sub>2</sub> without N-addition enrichment leads to increased fracture toughness (Beismann et al. 2002). The association of stem breakage with higher N-supply, and the production of wider and softer treerings observed here, lines up with experimental results which documented a reduction in dry wood density in response to N-fertilizer and an increase with CO<sub>2</sub>-enrichment (Hättenschwiler et al. 1996). Many of our test sites receive excess N-deposition, reflected by an elevated phloem N-concentration that is commonly tightly correlated with leaf N-concentration (Hohenadel et al. 1978). The higher N-concentration found in broken “Lothar” trees most likely reflects higher N-supply of these trees. It remains unclear why and how such differences among groups of trees can develop in a single stand under otherwise common environmental conditions. Such differences (and the associated damage risk) do not seem to relate to tree position in a stand (Schmid-Haas and Bachofen 1991).

Although we excluded trees with visible symptoms of stem-rot, it may be that the symptoms associated with enhanced stem breakage relate to early phases of infection in the lower stem part, which remained undetected. In spruce trees which were experimentally inoculated by a pathogen, N-concentration in sapwood was increased (Alcubilla et al. 1976). Root rot infection often leads to specific tissue reactions such as development of a barrier zone and has been found to be associated with elevated K, Ca and Mg-concentrations in sapwood (Tomiczek 1990). In sapwood of our control trees, K, Ca and Mg-concentrations are, however, at the usual level, or even lower (Table 1), making undetected root rot infections at least unlikely. Furthermore, there may be genetic differences among trees in their response to N-addition (Spinnler et al. 2003). Trees with higher N-supply—for whatever reason—may also develop lusher crowns, which in turn may cause stems to become more sensitive to extreme wind velocities.

All in all it appears that vigorous tree growth during the last decade increased the risk of stem breakage, whereas based on the wood parameters examined here, uprooting seems to be a random phenomenon, permitting no differentiation from control trees. Evidence from manipulative experiments with spruce saplings suggests that the tree C:N

balance plays a critical role in wood mechanics (Beismann et al. 2002) and we suspect that increased growth and N-supply did reduce mechanical resistance of broken trees in our Switzerland-wide sample. Of course, such an N-induced reduction of mechanical strength of stem wood is only one facet of tree-associated wind-throw risk compared with other risk factors such as depth and density of rooting, density and/or asymmetry of crowns, canopy surface roughness. The question why an increased N-supply selectively stimulated and affected our broken trees, while other, slower thickening but similarly high individuals at the same site remained unaffected (or were uprooted) awaits further examination.

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