

## BRIEF COMMUNICATION

# Predictors for Improvement of Problem-Solving during Cognitive Remediation for Patients with Schizophrenia

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## Abstract

Cognitive remediation is a promising pathway for ameliorating cognitive impairment of patients with schizophrenia. Here, we investigate predictors of improvement in problem-solving ability for two different types of cognitive remediation – specific problem-solving training and training of basic cognition. For this purpose we conducted a re-analysis of a randomized controlled trial comparing these two training approaches. The main outcome measure was improvement in problem-solving performance. Correlational analyses were used to assess the contribution of clinical, cognitive and training-related predictors. In the problem-solving training group, impaired pre-training planning ability was associated with stronger improvement. In contrast, in the basic cognition training group antipsychotic medication dose emerged as a negative predictor. These results demonstrate that predictors for successful cognitive remediation depend on the specific intervention. Furthermore, our results suggest that at least in the planning domain patients with impaired performance benefit particularly from a specific intervention. (*JINS*, 2014, 20, 455–460)

**Keywords:** Cognitive impairment, Planning, Basic cognition, Randomized controlled trial, Individualized cognitive remediation, Antipsychotic dose

## INTRODUCTION

Cognitive impairment is a core feature of schizophrenia, and essentially all cognitive domains are frequently impaired in schizophrenia (Heinrichs & Zakzanis, 1998). Moreover, cognitive deficits are strongly associated with lower levels of psychosocial functioning (Bowie et al., 2010). Consequently, several psychological treatment strategies have been developed to improve cognitive function and are now subsumed under the term cognitive remediation (McGurk, Twamley, Sitzer, McHugo, & Mueser, 2007; Wykes, Huddy, Cellard, McGurk, & Czobor, 2011). Cognitive remediation has been shown to improve cognition and can generalize to functional outcome, particularly when cognitive remediation is combined with comprehensive rehabilitation.

Although there is considerable evidence for the efficacy of cognitive remediation in schizophrenia, little is known about potential predictors of a favorable treatment response. The few studies conducted vary considerably in outcome measures and training interventions. Overall, there is some evidence that even severe cognitive impairment at baseline does not preclude gains through cognitive remediation (Medalia & Richardson, 2005). A recent study has even reported stronger improvement for patients with lower baseline cognitive performance and symptom severity during a compensatory cognitive training intervention (Twamley, Burton, & Vella, 2011). In contrast, other studies suggest that baseline attention, working memory, and executive function deficits might limit the gains from cognitive remediation (Fiszdon, Cardenas, Bryson, & Bell, 2005; Kurtz, Seltzer, Fujimoto, Shagan, & Wexler, 2009; Vita et al., 2013). Other authors have argued that intrinsic motivation and treatment adherence might play a more important role for treatment success than cognitive variables (Medalia & Saperstein, 2011). In an important recent study,

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Vita and colleagues have emphasized the potential negative predictive value of antipsychotic dose at baseline (Vita et al., 2013). Finally, shorter illness duration and younger age have been reported to be associated with stronger improvement during cognitive remediation (Bowie, Grossman, Gupta, Oyewumi, & Harvey, 2014; Kontis, Huddy, Reeder, Landau, & Wykes, 2013). All of these authors have highlighted the need for future studies to address the question which patient is most likely to benefit from cognitive remediation. Importantly, it is unknown whether different variables predict success of different types of remediation interventions, in particular when different cognitive constructs are addressed through training.

We address this question using data from a randomized controlled trial examining the effects of problem-solving training versus training of basic cognition (Rodewald et al., 2011). In this trial strong differential effects were observed on problem-solving capacity as assessed with Plan-a-Day, a new instrument to assess complex planning and problem-solving ability. This is of particular relevance, because Plan-a-Day emulates real-world situations and Plan-a-Day performance has been shown to be a good predictor of global functioning (Holt et al., 2011). In the present study, we asked which baseline variables predict improvement of problem-solving ability as assessed by Plan-a-Day in response to either a specific problem-solving training or a general training of basic cognition.

## METHODS

### Clinical Trial Design

We carried out a single-blind randomized trial comparing a training of planning and problem-solving ability (PLAN) against basic cognitive training. All participants received training interventions and a 3-week course of inpatient work therapy at an inpatient rehabilitation clinic. Patients fulfilled DSM-IV criteria for schizophrenia or schizoaffective disorder as confirmed by the MINI International Neuropsychiatric Interview. Further inclusion criteria were (1) age between 18 and 45, (2) being in a non-acute phase of illness (defined by all PANSS positive items <5), and (3) having an estimated IQ of 80 or above. Exclusion criteria were (1) diagnosis of a neurological disorder, (2) illicit substance use during the last month, and (3) a current comorbid Axis-I disorder.

Patients ( $n = 77$ ) engaged in 10 training sessions of computer-based cognitive exercises over 3 weeks either targeting planning and problem-solving or basic cognition. Average treatment attendance was 8.4 of 10 sessions. Both training regimes were implemented with the RehaCom Software Package (Hasomed GmbH, Germany). Each session lasted 45 min and took place in small groups. The experimental group trained planning and problem-solving *via* PLAN, a training concept that was originally developed by Funke and Krüger (1995). It focuses on training participants to use a small set of simple but effective planning and decision-making heuristics (e.g., “most important tasks

always first” or “maximize number of errands completed”) that provide effective strategies for dealing with common goal-conflict situations in Plan-a-Day and everyday life. The basic cognition group trained three different tasks: processing speed, attention/concentration and topological memory.

A more detailed description of the study design has been published in another study that describes a differential improvement in functional outcome based on two different cognitive trainings (Rodewald et al., 2011). The study was approved by the local ethics committee and all participants provided written informed consent. The clinical trial registration number is NCT00507988 (clinicaltrials.gov).

### Criterion Variable: Problem-solving Ability

Planning and problem-solving ability in a complex real-world scenario was measured with the Plan-a-Day test. The Plan-a-Day test and the PLAN training are both based on a daily errands scheduling paradigm, but differ in user interface, task sets, available operators, and solution strategies required. We have previously shown that the Plan-A-Day test accounts for more variance in the Global Assessment of Functioning than other planning tests (Holt et al., 2011).

### Predictors: Cognitive Functions

The following cognitive functions were assessed: working memory maintenance: digit span forward (Von Aster, Neubauer, & Horn, 2006), corsi block tapping forward (Schellig, 1993); working memory manipulation: digit span backward (Von Aster et al., 2006), letter-number-sequencing (Von Aster et al., 2006), corsi block tapping backward (Schellig, 1993); processing speed: Trail Making Test A (Reitan, 1992), Stroop neutral condition (color naming) (Markela-Lerenc, Kaiser, Fiedler, Weisbrod, & Mundt, 2006), shifting: Trail Making Test B (Reitan, 1992), Stroop incongruent condition (Markela-Lerenc et al., 2006), planning: Zoo-Map (Ufer, 2000), planning test (Kohler & Beck, 2004) and premorbid intelligence: multiple choice vocabulary test—MWT-B (Lehrl, 2005). Scores were Z-transformed and summarized for the above described domains.

### Predictors: Clinical Variables

Symptoms were assessed by trained research psychologists using the Positive and Negative Syndrome Scale – PANSS. We used the consensus factor model proposed by Wallwork, Fortgang, Hashimoto, Weinberger, and Dickinson, (2012).

All patients were treated with atypical antipsychotic medication. Medication dosage was transformed to chlorpromazine equivalents (Andreasen, Pressler, Nopoulos, Miller, & Ho, 2010).

### Predictors: Training Variables

The number of attended training sessions was recorded. Furthermore, for the PLAN training group we extracted

the participant's progress through the training program. Finally, participants filled out a questionnaire to assess task motivation at the end of the training (Rheinberg, Vollmeyer, & Burns, 2001). We summarized the questionnaires' subscales "interest," "challenge," and "probability of success," because they best reflect the construct of intrinsic motivation.

## Statistical Analysis

We calculated Pearson correlations between potential predictors at baseline and change in problem-solving capacity pre-/post-training separately for both training groups. Cognitive and clinical variables at baseline as well as training related variables were regarded as potential predictors (see Table 2 for overview). We used SPSS Version 16 for statistical analyses. All statistical tests were two-tailed, and significance was determined as  $p < .05$ .

## RESULTS

### Comparison of Both Groups at Baseline

The training groups did not show significant differences for demographic and symptom variables (see Table 1). However, antipsychotic dose was higher in the basic cognition training group.

### Change in Problem-Solving Ability

The main focus of the original study was a differential effect of two training interventions on cognition and functional capacity. As reported by Rodewald and colleagues, both groups improved on measures of cognitive functioning and

functional capacity (Rodewald et al., 2011). The planning and problem-solving training led to stronger improvement on Plan-a-Day solution time (see Table 1). In an exploratory analysis, we found a significant time by group interaction for reaction time in the neutral condition (color naming) of the Stroop test ( $F[1,69] = 8.22$ ;  $p < .01$ ), suggesting an advantage for basic cognitive training.

### Correlational Analysis within the Problem-Solving Training Group

An overview of the correlational analyses is given in Table 2. Pearson correlations yielded a significant relationship only between the change in problem-solving ability and pre-training planning ability, that is, the summarized Z-score for solution time in the Tower of London analogue and the Zoo-map task. In other words patients who were most impaired in the planning domain showed the strongest benefit from a domain specific training. No other cognitive, clinical (including antipsychotic dose) or training variable was significantly correlated with change in problem-solving ability.

### Correlational Analysis within the Basic Cognition Training Group

In the basic cognition training group only the medication dosage in chlorpromazine equivalents was significantly correlated with change in problem-solving ability, indicating less improvement with higher baseline antipsychotic dose (see Table 2). No significant correlations were found between change in problem-solving ability and any other demographic, cognitive (including pre-training planning ability) or clinical variable.

**Table 1.** Baseline characteristics of training group and change in problem-solving

	Problem-solving training ( $n = 38$ )	Basic cognition training ( $n = 37$ )	Test statistic
Demographics			
Age	28.0 (7.0)	29.5 (7.4)	$t = -0.87$
Gender male	32 (84%)	30 (77%)	$\chi^2 = 0.65$
Years of education	14.7 (2.9)	15.6 (3.7)	$t = -1.13$
Clinical variables			
PANSS total score <sup>1</sup>	62.0 (8.7)	63.8 (12.5)	$t = -0.72$
PANSS positive	1.5 (0.5)	1.8 (0.6)	$t = 0.04$
PANSS negative	2.6 (0.7)	2.6 (0.7)	$t = -1.47$
PANSS disorganized	2.6 (0.7)	2.6 (0.7)	$t = 0.04$
PANSS excited	1.5 (0.4)	1.6 (0.4)	$t = -0.55$
PANSS depressed	2.3 (0.8)	2.5 (0.8)	$t = -0.85$
Duration of illness	5.0 (4.5)	3.8 (3.1)	$t = 1.39$
Chlorpromazine equivalents	325.1 (206.0)	475.3 (266.3)	$t = 2.36^*$
Outcome: problem-solving			
Solution time pre-training	106.9 (42.4)	84.0 (38.0)	$F_{\text{interaction}} = 21.95^{**}$
Solution time post-training	63.4 (22.5)	74.2 (38.9)	

<sup>1</sup>For the Positive and Negative Syndrome Scale (PANSS) the sum of all item scores is given. In contrast, for factor scores average item scores are given to provide a more intuitive grading of symptom severity.

\* $p < .05$ , \*\* $p < .001$ .

**Table 2.** Correlations between change in problem-solving capacity and cognitive, clinical and training variables

	Change in problem-solving ability	
	Problem-solving training	Basic cognition training
Cognitive variables		
Planning	0.38*	-0.04
Working memory maintenance	0.02	0.12
Working memory manipulation	0.04	0.05
Processing speed	0.09	-0.25
Shifting/Inhibition	-0.12	0.04
Clinical variables		
PANSS positive factor	0.08	-0.11
PANSS negative factor	0.04	0.09
PANSS disorganized factor	0.04	0.09
PANSS excited factor	-0.03	0.08
PANSS depressed factor	-0.23	0.24
Duration of illness	0.21	-0.06
Chlorpromazine equivalents	-0.01	-0.35*
Training variables		
Attended training sessions	0.15	0.07
Training progress	0.15	n.a.
Task motivation	0.12	0.12

\* $p < .05$ .

To assess whether antipsychotic dose was related to clinical or cognitive variables we calculated correlations with all PANSS factor scores and all cognitive domain scores at baseline. Antipsychotic dose was not significantly correlated with any of these variables including processing speed (all  $p > .1$ ).

## DISCUSSION

The present study shows that the predictors of improvement in problem-solving ability during cognitive remediation depend on the type of remediation program used. When patients trained planning and problem-solving, their improvement in this domain was predicted only by planning ability at baseline. Planning impairment was associated with stronger benefit from specific problem-solving training. In contrast, for the basic cognition training improvement of problem-solving ability was negatively predicted by antipsychotic medication dosage. This dissociation of predictors indicates that the predictive effect of planning ability at baseline does not merely reflect regression to the mean. Instead, it suggests a genuine interaction of baseline variables and the type of remediation treatment. These findings have several implications.

First, our findings suggest that—in the planning domain—patients benefit from training a specific cognitive function that has been identified as impaired. This relates to an ongoing discussion about the optimal strategy for cognitive remediation regarding whether one should specifically attempt to improve impaired functions (Silverstein & Wilkness, 2004). An important recent trial suggests that there might be little difference between a generic approach and

remediation targeted toward areas of individual impairment (Franck et al., 2013). While there are considerable differences between studies, our findings suggest that for the domain of planning and problem-solving an individualized approach specifically targeting this impairment could be of value.

Second, considering that both training forms were of comparable effectiveness (Rodewald et al., 2011), the presence of training-specific moderator variables suggests different neurocognitive mechanisms underlying the training gains. Since the planning and problem-solving training is largely a cognitive strategy training, it may be particularly suited to compensate specific strategy deficits as reflected in baseline planning ability. In contrast, the basic cognition training is more strongly aimed at enhancing basic cognitive capacity independent of specific strategies.

Third, in the basic cognition group, improvement on planning and problem-solving was dependent on baseline antipsychotic dose. This association of cognitive remediation effects with antipsychotic dose is a recent finding and has to our knowledge only been reported in a recent study by Vita and colleagues (2013). This study found a negative impact of higher baseline antipsychotic dose for both training programs used. However, a direct comparison between studies is difficult, because of major differences in the interventions. In line with Vita et al., we propose two possible reasons for the negative impact of antipsychotic dose on treatment success. First, higher antipsychotic dose could characterize more severely impaired patients, but neither the Vita study nor our own study provides any direct evidence for this assumption. In our study antipsychotic dose was not related to clinical or cognitive variables at baseline. Second, antipsychotic medication could have a negative

impact on learning processes required for successful remediation. Preclinical and clinical studies have long suggested a negative effect of dopamine antagonists on incentive and reinforcement learning (Cutmore & Beninger, 1990; Ettenberg, 1989). This might be particularly relevant in our basic cognitive training, in which learning from positive feedback is essential for improving performance.

In addition to the observed predictors, it is also important to briefly address the variables not found to predict improvement during training. We did not find any relationship between baseline symptoms and improvement during training, which is in line with previous reports (Kurtz et al., 2009). We were particularly interested in the effects of motivation as its role has been recently emphasized in the literature (Medalia & Saperstein, 2011). However, we did not find an effect of negative symptoms or intrinsic motivation, which capture different aspects of motivation. Furthermore, in contrast to previous reports the number of attended training sessions did not predict improvement (Medalia & Richardson, 2005). The missing effect of motivation and attendance is most likely related to the fact that our study was conducted in an inpatient rehabilitation setting with high treatment adherence (Scheu et al., 2013).

The current study has some important limitations. First, the cognitive and functional impairments in our patient sample were not as severe as in some previous studies, which might limit generalizability of the findings. Second, our remediation program was short in comparison with most current studies and focused on a narrower range of cognitive functions. However, meta-analyses have suggested that even short interventions are effective (McGurk et al., 2007; Wykes et al., 2011). Nevertheless, it is an open question whether our findings can apply to longer, more comprehensive interventions. Third, randomization resulted in a higher antipsychotic dose at baseline in the basic cognitive training group, which could contribute to its differential predictive power in this group. Finally, the study was conducted in an inpatient rehabilitation program, which limits generalization to less structured outpatient treatment settings.

Overall, the present study shows that improvement in problem-solving ability is differentially predicted depending on the training program used. In the problem-solving group, the most impaired patients in this domain showed the strongest benefit. In contrast, in the basic cognition training group remediation success was predicted by antipsychotic dosing. To our knowledge, this is the first study to show that the predictors of remediation success strongly depend on the remediation program used. Although the present study is focused on two specific interventions in a restricted setting, our findings have clear implications for further research. Predictors of cognitive remediation will have to be found specifically for each type of training program to allow for an optimal individualization of treatment. Furthermore, regarding the development of individualized cognitive remediation our results suggest that at least in the planning domain patients with impaired performance benefit particularly from a specific intervention.

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