

Nanostructuring polyetheretherketone for medical implants

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Abstract

Surface roughness is a vital factor for medical implants since the cells of the surrounding tissue interact with the underlying substrate on the micro- and nanometer scales. In order to improve the surface morphology of implants, appropriate large-area micro- and nanostructuring techniques have to be identified being applicable to irregularly shaped structures. We demonstrate that plasma treatments of polyetheretherketone (PEEK) thin films produce nanostructured surfaces in a reproducible manner. They are easily tailored by varying plasma intensity using oxygen and ammonia as process gases. It was observed that roughness and nanostructure density linearly depend on plasma intensity. Oxygen plasma turned out to exhibit a stronger effect compared to ammonia plasma at the same processing conditions. For cell interaction studies, the mean size of the nanostructures was intentionally varied between 10 nm and 100 nm. In vitro experiments revealed that human mesenchymal stem cells (hMSC) adhere inhomogeneously on untreated PEEK films, but the plasma treatment with oxygen or ammonia allows the hMSC to adhere and proliferate. Fluorescence microscopy of the cells on the PEEK films turned out to be difficult because of the strong auto-fluorescence of the PEEK substrate. Stains including the whole cell vital stain Calcein-AM allowed cell morphology studies on plasma-treated PEEK films. In the case of the analysis of cell compartments such as the actin cytoskeleton, confocal laser scanning microscopy (CLSM) was successfully applied.

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Introduction

The surface chemistry, the surface roughness, and the stiffness of an implant play a crucial role in the biocompatibility of medical implants (1–3) because adherent cells interact with the accessible micro- and nanometer-size features (4–6). Titanium bone implants are usually sandblasted and etched to reach the necessary micro- and nanometer-scale roughness for appropriate osseointegration. For an increasing number of musculo-skeletal applications polymer materials have been used, which exhibit the advantage of being radiolucent which is beneficial following the soft tissue formation around the implant. Their limited mechanical properties, however, restrict the materials selection. Therefore, the high-performance polymer polyetheretherketone (PEEK), which exhibits the desired mechanical properties, received the FDA-approval for trauma, orthopedic and spinal implants in 1982, and experiences an increasing use for medical implants and beyond. Besides reasonable mechanical properties it also exhibits outstanding chemical resistance, which makes it suitable as a biocompatible material and is already used in applications such as spinal disc cages and housings of pacemakers (7). For bone implants, PEEK surfaces have to be activated to allow for proper cell attachment. Plasma treatment belongs to the promising methods because of the ease of the process and the reproducible control of the final surface chemistry. We hypothesize that the plasma treatment can be exploited to generate and tailor nanostructures for improved osseointegration. Our hypothesis is also based on the recent observation of Dalby et al. (8), that patterns of nanostructures on polymeric substrates cause osteogenic differentiation of mesenchymal stem cells. They have found that, in contrast to highly ordered nanostructures, the randomly arranged nanostructures induce osteogenic differentiation. Therefore, we assume that the plasma etching, which leads to a random distribution of nanostructures on the PEEK surfaces, could be well suited for bone implants.

To study the cell-biomaterial interactions including cell morphology, fluorescence microscopy and confocal laser scanning microscopy (CLSM) are widely used. In the case of PEEK substrates, the application of fluorescence microscopy is critical because of the strong auto-fluorescence of the polymeric material. Hunter et al. (9), investigated the attachment and proliferation of osteoblasts and fibroblasts on biomaterials for orthopedic use, and explicitly excluded PEEK from the

immuno-fluorescence study due to the prominent auto-fluorescence of the material. To overcome the problem, Briem et al. (10) replaced the immuno-fluorescence stains with Giemsa stains when investigating the response of primary fibroblasts and osteoblasts to plasma-treated PEEK. In order to address the problem of the auto-fluorescence, we have carefully analyzed the auto-fluorescence of commercially available PEEK films (APTIV™ Series from VICTREX, Hofheim, Germany) to explore the possible origin of the strong background fluorescence. One cause could arise from fluorescent additives. Therefore, PEEK has been chemically synthesized without any additive according to Risse et al. (11). Finally, we demonstrate that utilizing CLSM allows for the investigation of fluorescence-stained cells on micrometer-thin PEEK sheets with minimized background signal.

Materials and methods

PEEK sheet pretreatment

Hot embossing with a HEX03 press (JENOPTIK Mikrotechnik GmbH, Germany) at a temperature of 160°C and a pressure of 100 kN served to flatten commercially available amorphous APTIV™ PEEK films (Series 2000, Victrex Europa GmbH, Hofheim, Germany) with nominal thicknesses of 6 µm, 12 µm, 25 µm and 50 µm between two polished 4-inch silicon wafers.

Plasma treatment

Oxygen/argon or ammonia plasma treatments (Piccolo system, Plasma Electronic, Neuenburg, Germany) activated the embossed PEEK sheets. The embossing-marked films were placed at the bottom of the plasma chamber. Subsequently, the chamber was evacuated, flushed for a period of 5 min with a 2:1 mixture of oxygen/argon (200/100 sccm, 99.5/99.2%, Messer, Lenzburg, Switzerland) or ammonia (200 sccm, 99.98%, Messer, Lenzburg, Switzerland) and then equilibrated for further 5 min with oxygen/argon (20/10 sccm) or ammonia (30 sccm). The plasma treatments using a power of 10 W, 25 W, 50 W, 75 W, 100 W, 125 W, 150 W, 175 W, or 200 W always lasted 5 min.

Atomic force microscopy measurements (AFM)

AFM measurements enabled us to determine the surface roughness and island density of plasma-activated PEEK sheets. Measurements were performed in TappingMode® in air under dry conditions on a Dimension IIIa instrument (Veeco, Mannheim, Germany) using silicon cantilevers with a Si₃N₄ coating and a tip radius of 20 nm, a spring constant of 40 N/m and a resonance frequency of 325 kHz (NSC15/A1BS, Mikromasch, CA, USA; manufacturers specifications). The scan area was set to 2×2 µm². Data processing and roughness evaluation was done using the Nanoscope software. For each specimen the island density was determined from three individual characteristic square areas, each with approximately 100 islands.

Transmission and fluorescence scans

PEEK was synthesized according to Risse et al. (11). A tert-butyl substituted PEEK was prepared by nucleophilic substitution of tert-

butylhydroquinone and 4,4'-difluorobenzophenone (Sigma-Aldrich). The tert-butyl substituent was cleaved via reversed Friedel-Crafts alkylation using trifluoromethanesulfonic acid. The specially synthesized PEEK and corresponding starting materials in powder form were filled into 96-well plates until the bottom was covered.

The PEEK films were stamped out to fit into the 96-well plate format. The transmission measurements carried out in a 96-well quartz glass plate (Hellma, Müllheim, Germany) were recorded for wavelengths ranging from 240 nm to 1000 nm. The fluorescence experiments in black 96-well plates were performed with a TECAN micro-plate reader infinite 200, equipped with a UV Xenon flash lamp (TECAN trading AG, Switzerland) using bottom reading with a detector gain of 60. The excitation wavelengths were varied between 350 nm and 800 nm in steps of 10 nm. The corresponding emission was acquired 30 nm above excitation wavelengths to 850 nm in 5 nm steps.

Cell culture

Rat-2 fibroblasts were cultured in DMEM medium under standard conditions (5% CO₂, 37°C). The fibroblasts were seeded over night on 70% ethanol sterilized glass cover slips and PEEK sheets.

Human mesenchymal stem cells (hMSC) were isolated from liposuction-derived adipose tissue as described previously by Peters et al. (12) and seeded (96-well plate, 10⁴ cells/cm², triplicates) on the differently plasma-treated PEEK substrates for a period of 14 days. Cell cultivation was done under standard conditions.

Fluorescence staining

Cells were washed with phosphate buffered saline (PBS) and fixed with 4% paraformaldehyde in PBS for 10 min at room temperature. The cells were then permeabilized with 0.2% Triton X-100, washed, and incubated with a 1:40 dilution of mouse anti-human vinculin (Sigma-Aldrich, Buchs, Switzerland) and a 1:2000 dilution of TRITC-conjugated phalloidin (Sigma-Aldrich, Buchs, Switzerland) in PBS for 1 h at room temperature. Subsequently, the cells were labeled using a 1:1000 dilution of goat anti-mouse Alexa 488 secondary antibody (Invitrogen) in PBS during 30 min. The cells were visualized on a BX-51 fluorescence microscope equipped with a fluorescence unit, and a Fluo-View 1000 confocal laser scanning microscope, both from Olympus (Hamburg, Germany).

hMSC were vital-stained with Hoechst 33324 (1:2000, Sigma-Aldrich, Taufkirchen, Germany) and Calcein-AM (Biomol GmbH, Hamburg, Germany; 1:200, ATT Bioquest) in DMEM medium during 15 min at a temperature of 37°C. The staining medium was exchanged with fresh solution before imaging.

Scanning electron microscopy (SEM)

The plasma-treated PEEK films were coated with Au/Pd during 30 s using a current of 20 mA and applied a vacuum of 6 Pa (sputter coater Polaron, Thermo VG Scientific, Germany). Substrates were investigated with the field emission scanning electron microscope Supra 40 VP (Carl Zeiss, Jena, Germany) with an applied acceleration voltage of 10 kV using the InLens detector.

Results

Plasma treatment is a common process to chemically activate polymer surfaces (10, 13–16), which is a prerequisite to

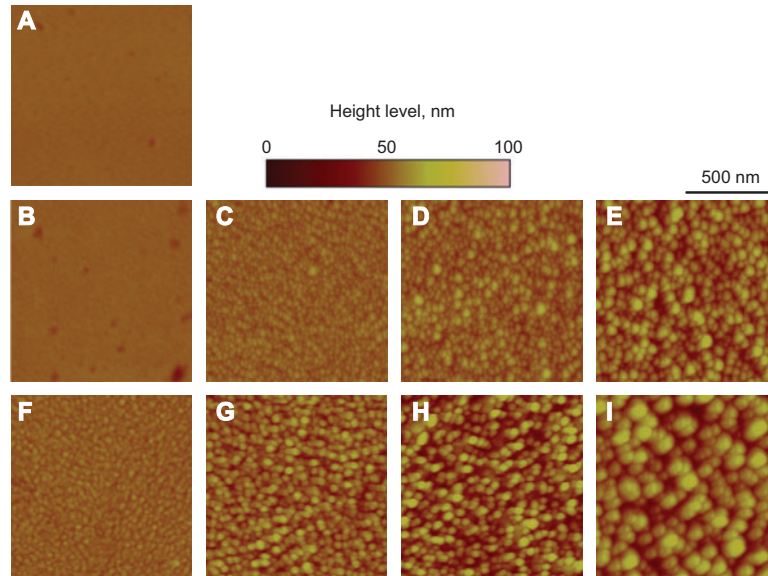


Figure 1 AFM images of plasma-treated PEEK films.

The 25 μm -thick films were plasma-treated for 5 min. (A) untreated, (B-E) ammonia-plasma-treated, plasma power from left to right: 10 W, 50 W, 100 W and 200 W. (F-I) oxygen-plasma-treated, plasma power from left to right: 10 W, 50 W, 100 W and 200 W.

achieve proper cell attachment. The process gases, oxygen and ammonia, modify the PEEK surface and lead to nanostructures as represented by the AFM images in Figure 1.

The plasma treatment with a duration of 5 min and the variation of the power between 10 and 200 W results in nanostructures of increasing size and PEEK surfaces with increasing roughness. This finding clearly indicates that plasma activation does not only change the chemical nature of the PEEK sheet but also induces significant changes in the surface morphology. These changes are also reflected in water contact angle measurements (data not shown). From the AFM measurements shown as an example in Figure 1, quantitative data were derived. The root-mean-square (RMS) roughness exhibits an almost perfectly linear behavior as a function of plasma power (see Figure 2A). The RMS roughness measurements of the oxygen plasma-treated surfaces show twice as large values to those of the ammonia treatments. Nevertheless, the island densities for the ammonia plasma treatments determined via area measurements of about 100 islands (island counting on certain areas), turned out to show values twice as high as the ones for the oxygen treatments (cp. Figure 2B).

The adipose tissue-derived hMSC, cultured on the differently treated PEEK substrates and the tissue culture polystyrene (TCPS) control for a period of 14 days, show a morphology characteristic for the plasma activation. While the hMSC on the PEEK sheet treated with a power of 10 W are similar to the ones on the control substrate, i.e., in a healthy state, the hMSC on the untreated PEEK have not properly adhered and spread out (see Figure 3). Also on harshly oxygen plasma-treated PEEK, the cells did not adhere and spread.

In order to study the cells on the PEEK films, fluorescence stains were applied with the aim to visualize the integrin-

mediated focal adhesions. Integrins are heterodimeric cell membrane spanning receptors and connect the extracellular matrix (ECM) with the actin cytoskeleton of the cell.

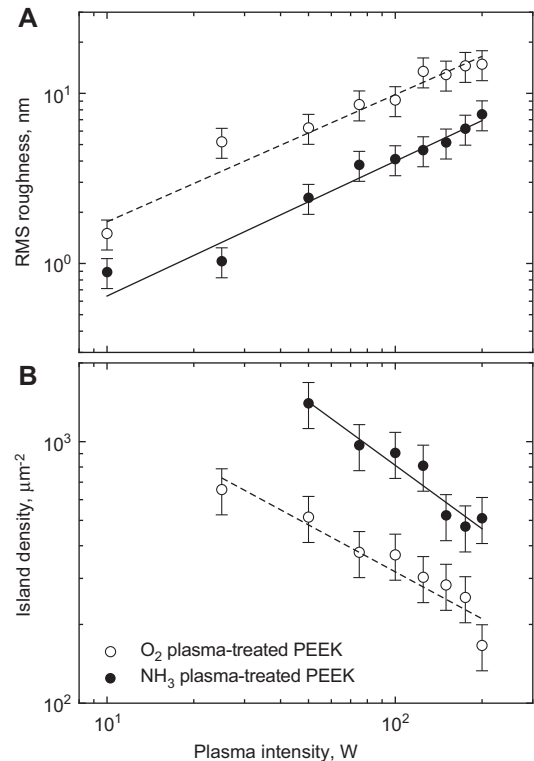


Figure 2 RMS roughness and island density of plasma-treated PEEK films.

The 25 μm -thick films were plasma-treated for 5 min.

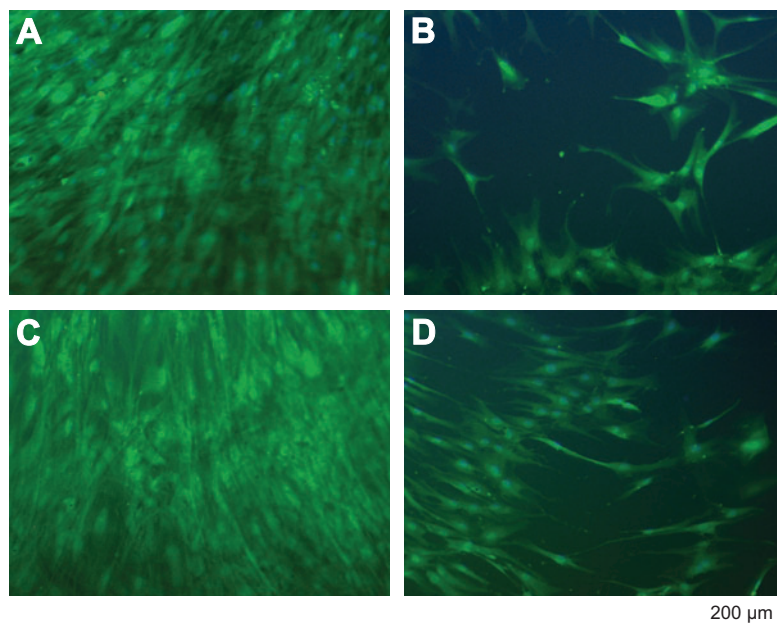


Figure 3 hMSC on oxygen-plasma-treated PEEK films.

hMSC from adipose tissue were cultured for 14 days under standard conditions. The 25 μm -thick films were plasma-treated for 5 min. (A) TCPS control, (B) untreated, (C) oxygen plasma power 10 W, (D) oxygen plasma power 200 W.

Here, the intracellular part of the integrin receptor binds to a protein complex including vinculin, which itself directly binds to actin. Therefore, co-localization of actin and vinculin is regarded as proper verification for the presence of focal adhesions (17). Rat-2 fibroblasts were seeded on APTIV™ PEEK sheets of different thicknesses and stained for vinculin and actin, giving rise to green- and red-colored features, respectively. Figure 4 shows the related images obtained with conventional epifluorescence microscopy and CLSM.

The images displayed in the first row of Figure 4 show the controls (rat-2 fibroblasts on glass slides). Here, the background is low and the green vinculin stain (FITC-labeled) clearly points to the focal adhesions of the cells. The red-colored actin cytoskeleton (TRITC-labeled) spans over the entire individual cells. The APTIV™ PEEK sheets exhibit a strong background fluorescence that seriously complicates the feature extraction using conventional fluorescence microscopy. This background fluorescence linearly increased with the film thickness. Hence, three-dimensional structures made out of PEEK, e.g., medical implants, can hardly be included into such fluorescence studies that strongly depend on the focal plane. In the model system studied, replacing the conventional fluorescence microscopy by CLSM, the background could significantly be reduced (see Figure 4). The characteristic pattern of the fluorescence stained cytoskeletal elements was obvious and similar to the control. The flexible 6 μm -thin PEEK films, however, exhibit an inhomogeneous background associated with their non-wavy surface. Therefore, one can reasonably assume that the investigation of cells on non-planar PEEK implant surfaces becomes complicated.

In order to characterize the optical properties of the PEEK films, the transmission spectra have been recorded (see Figure 5) for the four selected thicknesses.

With the exception of the Fabry-Pérot fringes that are very obvious for the 6 μm -thin sheets, the maximum transmission corresponds to about 80%. Below 370 nm, the PEEK sheets are opaque.

The background of the fluorescence images implies characteristic auto-fluorescence of PEEK. To obtain a detailed view about the auto-fluorescence of the PEEK sheets, spectroscopic fluorescence measurements for wavelengths ranging from 350 to 850 nm have been recorded and are presented in the diagrams of Figure 6.

To exclude that the fluorescence originates from any polymer additive, the PEEK polymer was synthesized according to the description of Risse et al. (11) and compared with the commercially available APTIV™ PEEK films from VICTREX. As shown in Figure 6, the PEEK films and the pure PEEK exhibit very similar emission spectra. Consequently, the auto-fluorescence is an inherent property of the PEEK polymer.

Strong emission resulted upon excitation at wavelengths between 370 and 550 nm with a broader maximum for excitation wavelengths between 370 and 450 nm. Oxygen and ammonia plasma treatments did not change the emission profiles. The materials for the PEEK synthesis, 4,4'-difluorobenzophenone and tert-butylhydroquinone, were analyzed concerning fluorescence emission as well. While 4,4'-difluorobenzophenone showed fluorescence behavior similar to PEEK, tert-butylhydroquinone did not emit light in the excitation wavelength range between 400 and 800 nm.

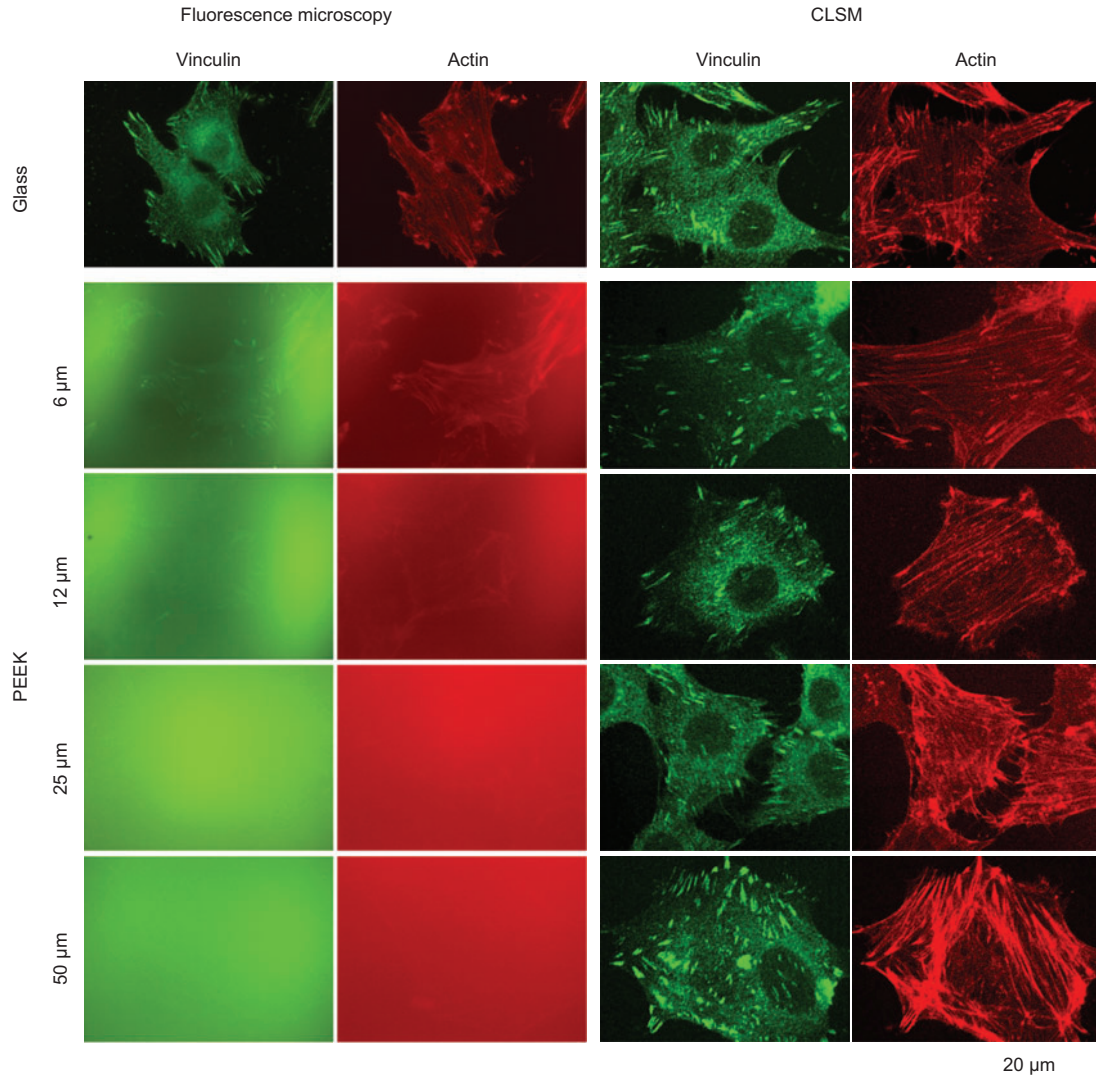


Figure 4 Rat-2 fibroblasts seeded on glass and differently thick APTIV™ PEEK films. Actin stain: phalloidin-TRITC, vinculin stain: monoclonal anti-vinculin and goat-anti-mouse A-488.

Discussion and conclusions

The observed nanostructuring of PEEK by oxygen and ammonia plasma treatments is likely due to etching. To exclude a thermal effect, as the temperature within the plasma chamber increases during the processing by several Kelvin, we have performed an additional experiment comparing the nanostructures of a 20-min treatment with a four times 5 min processing. After each 5-min treatment, we have opened the chamber to harvest a part of the PEEK sheet for scanning electron microscopy imaging. Figure 7 displays these images, which do not show any significant difference between step-wise and the one-step processes.

Therefore, one can conclude that thermal effects are negligible. We speculate that the local etching rate depends on the molecule orientation within the semi-crystalline polymer. When etching is described as a negative growth, similar to the facet-depending growth rates in single-crystalline solids, the orientations with fast etching rates gradually disappear, which

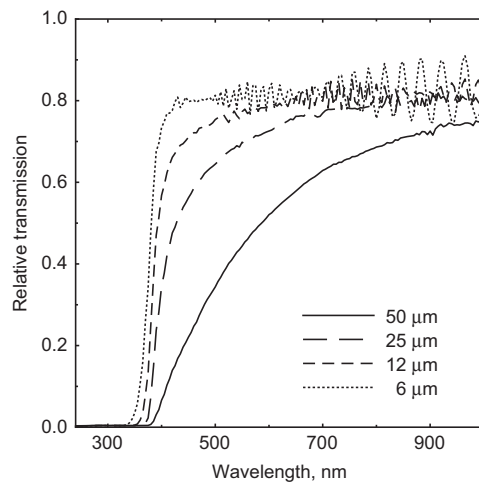


Figure 5 Transmission spectra of PEEK films. (Film thickness indicated.)

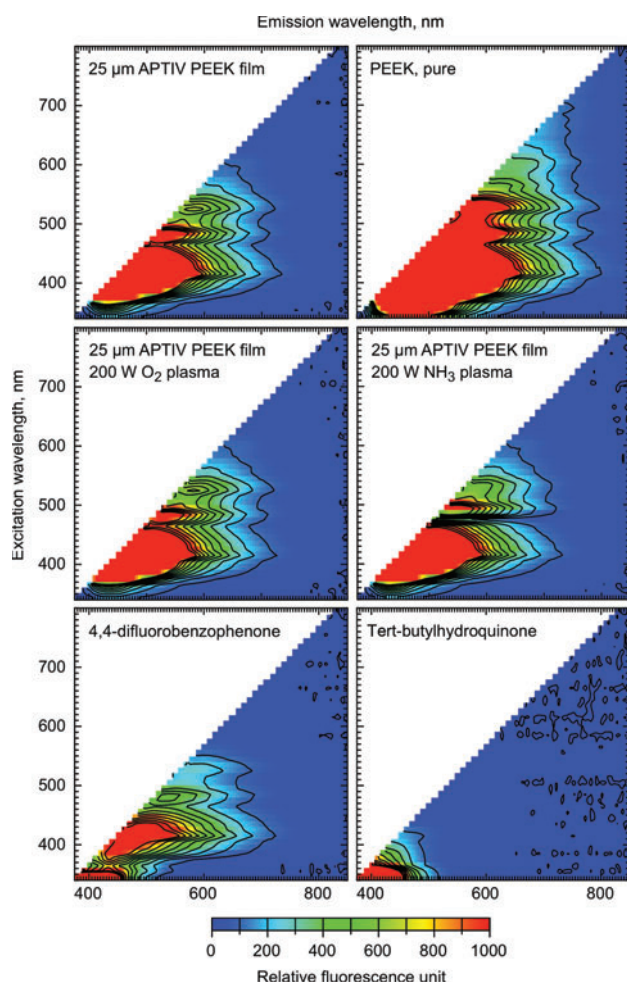


Figure 6 Fluorescence spectra from APTIV™ PEEK films, synthesized PEEK and starting materials.

Specimens were excited with wavelengths ranging from 350 nm to 800 nm in 10 nm-steps. Emission spectra up to a wavelength of 850 nm were recorded 30 nm above excitation wavelength (5 nm steps). The 25 μm -thick films were plasma-treated for 5 min.

is linked to the formation of larger and larger nanostructures. Oxygen plasma exhibits a higher etching rate than ammonia plasma. This phenomenon can be explained, because the two plasma-activated reaction gases exhibit a different level of chemical reactivity causing the difference in the etching rate. Consequently, the nanostructures on the PEEK sheets can be tailored using the plasma power, the duration of processing, and the choice of the process gas.

AFM measurements directly provide data on the substrate roughness. In many experiments one observes, however, that the roughness of nanostructured surfaces depends on the scanning speed and the choice of the scanning range (18). Counting the nanometer-size features is rather independent on the spatial resolution of the imaging method and therefore a reliable parameter to compare specimens from different batches (19). Hence, we recommend selecting the process parameters via the nanostructure density rather than using RMS values derived from AFM data.

Surface nanostructures have a vital influence on protein adsorption and cellular response, making them a key parameter in characterizing the interactions between biological systems and man-made biomaterials (4, 19–21). In contrast to metallic and ceramic biomaterials, however, nanostructuring polymer materials is still poorly understood, although this group of biomaterials has numerous advantages and the plasma-induced roughness increase of polymers has been known for more than a decade (22). First, the plasma treatment can be realized on large areas, as required for the treatment of medical implants. Second, it can be combined with other structuring technologies such as soft lithography, replica molding or polymer de-mixing (23). Third, the plasma treatment generates random nanostructures, which seem to be favored by hMSC for osteogenic differentiation over highly ordered structures as recently described by Dalby et al. (8). Fourth, plasma treatment is a fast, dry, and reproducible process and easy to apply on large scales and therefore suitable for mass production. As a consequence, this method enables the production of large substrate areas necessary for in vitro cell culture studies with primary cells like hMSC to reach statistically significant statements. However, one drawback of the plasma-induced activation (chemical modification) is the creation of instable surfaces, which change their properties including the water contact angle over time, due to decay of the reactive molecular species remaining.

A further drawback of optimizing PEEK for medical implants is the optical imaging of cells on PEEK surfaces due to auto-fluorescence (9, 10). The detailed investigation of the fluorescence of commercially available PEEK films (VICTREX) and pure PEEK revealed that the broadband auto-fluorescence is an inherent material property and not caused by any additive. As the aromatic ring structures of the PEEK monomers consist of highly delocalized electrons, they are expected to be excitable to a greater extent than tightly bound electrons. According to the results of the fluorescence analysis, fluorescent dyes that emit in the UV and infrared spectral regions should be less prone to background fluorescence interference. Therefore, Alexa Fluor 350 and Alexa Fluor 633 are promising candidates for fluorescence microscopy on PEEK substrates, since the corresponding filters are less common but available for most fluorescent microscopes. Due to the relatively long wavelength of 633 nm, a loss in resolution has to be taken into account. Notably, the cell live stain Calcein-AM, which has its emission maximum at 488 nm, can be applied to visualize cells on PEEK films. The cell stain is strong compared to a cell compartment stain and a cell has a height of several micrometers, shifting the focal plane away from the auto-fluorescent PEEK surface.

PEEK belongs to the promising biomaterials for a variety of medical implants. To reach cell attachment and the desired cell response, the surface has to be activated. Plasma treatment is a suitable method to activate the PEEK surface, associated with the generation of nanostructures. Their density and size can be tailored by the choice of the process gas, the plasma power, and the duration of the plasma treatment. In summary our results guide a path towards improving the biocompatibility of PEEK implants.

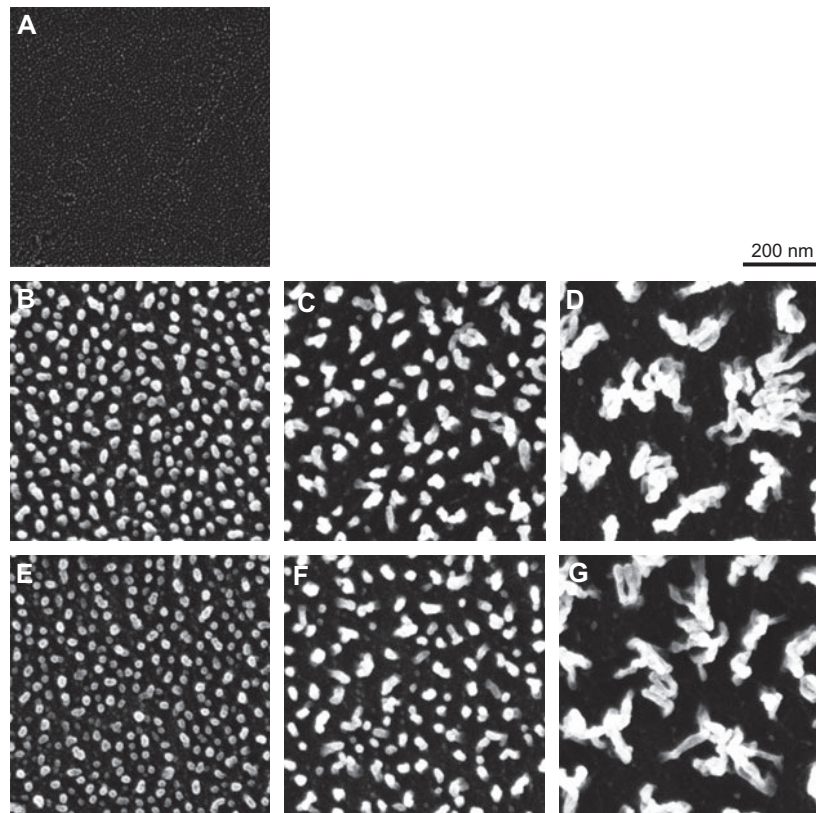


Figure 7 SEM images of 25 μm -thin PEEK films after 50 W oxygen plasma treatment with varying exposure time. (A) untreated, (B) 5 min, (C) 10 min, (D) 20 min, (E) 5 min, (F) 2 \times 5 min (G) 4 \times 5 min.

Acknowledgments

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Celestino Padeste studied chemistry at the University of Zürich from where he received a PhD degree on inorganic solid state-gas phase reactions in 1989. After a Post-doc at the University of New South Wales in Sydney/Australia in the field of surface analysis of catalyst systems he was employed at the Micro- and Nanotechnology Laboratory at the Paul Scherrer Institute in Villigen/Switzerland. His

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Joachim Köser received a diploma in biology from the University of Heidelberg. Following his PhD thesis at the German Cancer Research Center he moved to the Biocenter in Basel to work in the group of Ueli Aebi on the nuclear pore complex. Subsequently, he joined the spin-off company Concentris GmbH where he was responsible for the application

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