

Aberrant Current Source-Density and Lagged Phase Synchronization of Neural Oscillations as Markers for Emerging Psychosis

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Background: Converging evidence indicates that neural oscillations coordinate activity across brain areas, a process which is seemingly perturbed in schizophrenia. In particular, beta (13–30 Hz) and gamma (30–50 Hz) oscillations were repeatedly found to be disturbed in schizophrenia and linked to clinical symptoms. However, it remains unknown whether abnormalities in current source density (CSD) and lagged phase synchronization of oscillations across distributed regions of the brain already occur in patients with an at-risk mental state (ARMS) for psychosis. **Methods:** To further elucidate this issue, we assessed resting-state EEG data of 63 ARMS patients and 29 healthy controls (HC). Twenty-three ARMS patients later made a transition to psychosis (ARMS-T) and 40 did not (ARMS-NT). CSD and lagged phase synchronization of neural oscillations across brain areas were assessed using eLORETA and their relationships to neurocognitive deficits and clinical symptoms were analyzed using linear mixed-effects models. **Results:** ARMS-T patients showed higher gamma activity in the medial prefrontal cortex compared to HC, which was associated with abstract reasoning abilities in ARMS-T. Furthermore, in ARMS-T patients lagged phase synchronization of beta oscillations decreased more over Euclidian distance compared to ARMS-NT and HC. Finally, this steep spatial decrease of phase synchronicity was most pronounced in ARMS-T patients with high positive and negative symptoms scores. **Conclusions:** These results indicate that patients who will later make the transition to psychosis are characterized by impairments in localized and synchronized neural oscillations providing new insights into the pathophysiological mechanisms of schizophrenic psychoses and may be used to improve the prediction of psychosis.

Key words: schizophrenia/at-risk mental state (ARMS)/resting state/EEG

Introduction

Converging evidence suggests that an impaired dynamic coordination of activity across distributed brain areas underlies the cognitive and behavioral abnormalities that characterize psychosis.^{1–4} Neural oscillations coordinate distributed activity through phase synchronization,⁵ and patients with schizophrenia display altered neural oscillations, particularly in the beta (13–30 Hz) and gamma (30–50 Hz) frequency bands.^{3,6} Together, these findings suggest that alterations in higher frequency oscillations and their phase synchronization may disrupt coordinated activity across distributed cortical areas, thereby leading to the formation of psychotic symptoms and cognitive impairments.

Gamma oscillations are strongly associated with the integration of cognitive information^{7–9} and have been shown to be consistently perturbed in patients with schizophrenia.^{3,10,11} Interestingly, both an elevated and reduced gamma activity has been reported in patients with schizophrenia.¹² However, an increase has consistently been found in unmedicated patients experiencing positive symptoms (such as hallucinations and delusions), while the reverse is apparent in those suffering from negative symptoms (such as social withdrawal, lack of motivation, and flat affect).^{11,12} Although both gamma and beta oscillations synchronize with enhanced precision over small distances, the beta oscillations have been shown to be particularly important in modulating long-range synchronization,^{13,14} which is the interaction among widely distributed neocortical regions. For instance, the phase synchronization of beta oscillations between extra-striate areas¹⁵ and between temporo-parietal areas¹⁶ have been shown to mediate attentional processes. Interestingly, all these processes are deeply perturbed in patients with

schizophrenia,^{17–19} further suggesting a disturbed long-ranged neural communication. As a coordinator of these large-network interactions, the beta frequency is therefore a prime candidate to be studied.

Although several EEG studies have been conducted on first episode psychosis (FEP) and chronic schizophrenia patients, studies on prodromal patients are scarce. This is unfortunate because schizophrenia is now increasingly seen as a neurodevelopmental disorder²⁰ and thus studying neurophysiological abnormalities in at-risk mental state (ARMS) patients would offer a unique opportunity to unravel the etiopathology of the disease.¹⁹ Furthermore, previous studies on ARMS patients^{21,22–24} did not make use of electrophysiological neuroimaging methods such as eLORETA which allows a reliable source localization of brain activity along with various connectivity analyses of frequencies.²⁵ Moreover, studies were frequently based on patients treated with antipsychotic drugs, which could have severely obfuscated the discovery of neurophysiological correlates of psychopathology.²⁶

Thus, we compared beta and gamma oscillations in 3 relatively large and antipsychotic-naïve groups, ie, ARMS patients with later transition to psychosis (ARMS-T), ARMS patients without later transition to psychosis (ARMS-NT) and healthy controls (HC). We not only assessed the current source density (CSD) at these frequency bands, but also their lagged phase synchronization across brain areas as a function of Euclidian distance. We hypothesized that ARMS-T patients would demonstrate abnormal CSD in both the high gamma and beta frequency bands when compared with ARMS-NT and HC. Furthermore, we postulated that the lagged phase synchronization of beta, the long-range modulator, would be more decreased in ARMS-T compared to ARMS-NT and HC as a function of increasing Euclidian distance.

Methods

Setting and Recruitment

The EEG data analyzed in this study were collected as part of the Basel *Früherkennung von Psychosen (FePsy)* project, a prospective multilevel study, which aims to improve the early detection of psychosis.²⁷ The study was approved by the ethics committee of the University of Basel, and all participants provided written informed consent. Patients recruited for this study were help-seeking consecutive referrals to the *FePsy* Clinic at the University Psychiatric Clinics Basel, which was specifically set up to identify, assess, and treat individuals in the early stages of psychosis.

Screening Procedure

We used the Basel Screening Instrument for Psychosis (BSIP)²⁸ to identify ARMS individuals. The BSIP is

based on the PACE inclusion/exclusion criteria²⁹ and has been shown to have a high predictive validity and a good interrater reliability.²⁸ Exclusion criteria for patients were age younger than 18 years, insufficient knowledge of German, IQ < 70, previous episode of schizophrenic psychosis (treated with major tranquilizers for >3 weeks [lifetime] and 125 mg chlorpromazine equivalent/day), psychosis clearly due to organic reasons or substance abuse, or psychotic symptoms within a clearly diagnosed depression or borderline personality disorder. For this study, we included all ARMS patients that were recruited for the *FePsy* study between March 2000 and August 2013 and had a clinical EEG session of at least 15 min at baseline assessment. They were followed-up at regular intervals in order to distinguish those who later transitioned to frank psychosis (ARMS-T) from those who did not (ARMS-NT). During the first year of the follow-up, ARMS individuals were assessed for transition to psychosis monthly, during the second and third years 3-monthly, and thereafter annually using the transition criteria of Yung et al.²⁹ In this study, individuals were only classified as ARMS-NT if they had a follow-up duration of at least 3 years and did not develop frank psychosis. HC were recruited from trade schools, hospital staff, and through advertisements. Inclusion criteria for the healthy participants were: no history of psychiatric or neurological disease, no past or present substance abuse or head trauma.

EEG Recordings and Data Acquisition

EEG data were recorded at the University Hospital of Basel. Patients sat in a quiet room during eyes closed resting-state condition for about 20 min. Every 3 min, subjects were asked to open their eyes for a period of 5–6 s. At any signs of behavioral and/or EEG drowsiness, the patients were verbally asked to open their eyes. EEG data were sampled at a rate of 250 Hz by 19 gold cup electrodes (Nicolet Biomedical, Inc.) referenced to linked ears. Electrodes impedances were kept below 5Ω.

Artifact Rejection

EEG pre-processing was performed using Brain Vision Analyzer 2.0 software (Brain Products GmbH). We processed each EEG in parallel split into 2 branches, one filtered at 0.5 Hz and one at 1 Hz. We did so in order to apply the ICA matrix from the most stable signal (1 Hz) to the one that conserved the most signal (0.5 Hz). Both branches were handled in the same way up to the step that involved re-referencing to the common average. As a first step, artifact rejection was performed manually, based on visual inspection, to remove epochs containing extreme ocular artifacts, muscles and/or cardiac contamination and bad signals due to random movements. Biased extended Infomax ICA analyses were then performed for the removal of residual eye movements, eye-blinking, muscles and non-biological

components contaminated with high gamma frequencies of 50 Hz and above as measured by Fast Fourier Transform (FFT) of the ICA components (resolution at 1 Hz, power μV^2 , hanning window length of 10%). After applying the ICA corrected matrix of the data filtered at 1 Hz to the one filtered at 0.5 Hz, we re-referenced the data to common average. Finally, another manual rejection based on visual inspection was performed to exclude remaining artifacts as mentioned above.

EEG Current Source Localization Density Analysis

To compute the cortical CSD of neural oscillations, we used exact low-resolution electromagnetic tomography (eLORETA)²⁵ on EEG data segmented into 2s epochs (on average 669 segments per subject). Patient groups did not significantly differ in number of segments. eLORETA is a neurophysiological imaging technique based on a weighted minimum norm inverse solution procedure allowing for the 3D modeling of the EEG CSD with an exact localization performance, but with a high correlation of neural sources that are in close proximity. Numerous studies based on neuroimaging tools, such as functional^{30,31} and structural magnetic resonance imaging (MRI),³² positron emission tomography (PET),³³⁻³⁵ and intracranial EEG recordings,^{36,37} have validated LORETA as an efficient and reliable tool to study brain activity. Compared with the first version of LORETA,³⁸ eLORETA has no localization bias in the presence of structured noise in simulated data.³⁹

In eLORETA, a 3-shell spherical head model (brain, scalp, and skull compartments) is used and the solution space is restricted to the cortical gray matter/hippocampus, which comprises 6239 voxels of $5\text{ mm} \times 5\text{ mm} \times 5\text{ mm}$ each. The head model for computing the lead field is based on the Montreal Neurological Institute (MNI) brain MRI average.⁴⁰

Lagged Phase Synchronization Analysis

For a spatially unbiased lagged phase synchronization analysis we defined regions of interests (ROIs) based on the MNI coordinates of the cortical voxel underlying the 19 electrode sites⁴¹ (for technical details, see [supplementary appendix 3](#)). We used a single voxel for each ROI because eLORETA's spatial resolution is relatively low, and expanding the ROI to neighboring voxels could potentially bias the analysis due to the high correlation among them.⁴¹ Next, we computed the lagged phase synchronization between all 19 ROIs resulting in a relatively high number (ie, 171) of pairwise combinations. Lagged phase synchronization quantifies the non-linear relationship between 2 ROIs after the instantaneous zero-lag contribution has been removed. Removing this instantaneous zero-lag contribution has been shown to eliminate non-physiological artifacts, such as volume conduction, which biases relationship measurements such as instantaneous

connectivity.²⁵ Finally, we used the statistical software R⁴² for calculating the distances between ROIs in 3D in order to assess local vs global phase synchronization. The Euclidian distance between ROI1 (x_1, y_1, z_1) and ROI2 (x_2, y_2, z_2) were calculated using the Pythagorean theorem: $\sqrt{[(x_2-x_1)^2 + (y_2-y_1)^2 + (z_2-z_1)^2]}$ and were subsequently standardized into z -scores.

Neurocognitive Assessment

In order to assess the participants' non-verbal capabilities to process and integrate higher-order relationships between individual entities we used the Leistungsprüfsystem Scale 3 (LPS-3), a well-established German intelligence scale for assessing nonverbal (abstract reasoning) abilities.⁴³ To assess working memory, we used the 2-back task of the Testbatterie zur Aufmerksamkeitsprüfung (TAP).⁴⁴

Assessment of Positive and Negative Psychotic Symptoms

The Brief Psychiatric Rating Scale Expanded (BPRS-E)^{45,46} was used to assess positive and negative psychotic symptoms. The positive psychotic symptom scale was based on the 4 items hallucinations, suspiciousness, unusual thought content, and conceptual disorganization and the negative psychotic symptom scale was based on the items blunted affect, psychomotor retardation and emotional withdrawal, as defined by Velligan et al.⁴⁷

Statistical Analyses

In order to identify the CSD differences between groups (ARMS-NT vs HC, ARMS-T vs HC, ARMS-NT vs ARMS-T), we used statistical nonparametric mapping (SnPM).⁴⁸ The use of SnPM in eLORETA has been validated^{49,50} and utilized in previous clinical studies.^{41,51} Differences in cortical oscillations between groups in each frequency band were assessed by voxel-by-voxel independent sample F -ratio-tests with a frequency wise normalization. To correct for multiple comparisons across all voxels and all frequencies, a total of 5000 permutations were used to calculate the critical probability threshold (5% probability level).

Next, CSD values were extracted at those ROI that differed between groups and their association with LPS-3 and 2-back tasks performance scores was assessed by linear regression models using neuropsychological performance scores as dependent variables and CSD values, diagnostic group, age, and years of education as independent variables. To test whether the associations between CSD values and neuropsychological performance differed between groups, an interaction term between group and CSD values was included. In addition to this ROI approach, a whole brain analysis was performed by correlating voxel-wise these performance measures with CSD. Furthermore, to correct for multiple testing, this whole brain analysis was based on 5000 permutations to

determine the empirical probability distribution for the maximal statistics under the null hypothesis.^{41,52}

To assess group differences in lagged phase synchronization, we fitted a linear mixed-effects model using lagged phase synchronization of the ROI pairs (171 pairs) as the dependent variable and Euclidian distance (within-subjects) and group (between-subjects) along with their interaction as independent variables. The model also included an intercept term that randomly varied per individual. To investigate the impact of positive and negative symptoms on the lagged phase synchronization as a function of anatomical distances, we applied linear mixed-effects models that additionally included BPRS positive and negative symptoms as independent variables. Furthermore, these analyses were repeated for each of the seven different frequencies and corrected for multiple comparison using the Benjamini–Hochberg method.⁵³

Results

Sample Description

Until August 2013, 134 ARMS patients and 97 HC were recruited for the *FePsy* study. Of these, 63 ARMS

and 29 HC had sufficient EEG and follow-up data to be included in the present study. Twenty-three of the included ARMS patients had made a transition to psychosis (ARMS-T) during the follow up and 40 had not (ARMS-NT). None of those who made a transition converted to psychotic mood disorder. The 71 ARMS individuals that were excluded from this study did not differ from the included ARMS individuals with regard to gender, sex, years of education, and BPRS total and positive symptoms scores. Demographic and clinical characteristics of the 3 groups (ie, HC, ARMS-T, and ARMS-NT) are shown in [table 1](#). There was a small overall difference in age ($P = .046$), which was due to a lower age in HC compared to ARMS-NT, significant at a trend level ($P = .053$). Furthermore, ARMS-T patients had higher positive symptoms than ARMS-NT ($P = .005$). Almost all ARMS individuals were antipsychotic naïve; only 4 ARMS individuals (4/63) had received low doses of second-generation antipsychotic medication during no more than 3 weeks for behavioral control by the referring psychiatrist or general practitioner prior to study inclusion.

Table 1. Demographic and Clinical Characteristics of HC, ARMS-T, and ARMS-NT Individuals

	HC N = 29	ARMS-NT N = 40	ARMS-T N = 23	P Value
Gender				.597
Women	14 (48.3%)	15 (37.5%)	11 (47.8%)	
Men	15 (51.7%)	25 (62.5%)	12 (52.2%)	
Age	22.4 (5.02)	26.5 (8.42)	26.3 (7.13)	.046
Years of education	11.9 (1.93)	11.6 (3.49)	11.2 (2.41)	.693
Antidepressants currently				1.000
No		30 (75.0%)	17 (73.9%)	
Yes		10 (25.0%)	6 (26.1%)	
Antipsychotics currently				.619
No		38 (95.0%)	21 (91.3%)	
Yes		2 (5.00%)	2 (8.70%)	
Mood stabilizer currently				.365
No		40 (100%)	22 (95.7%)	
Yes		0 (0.00%)	1 (4.35%)	
Tranquilizer currently				.713
No		35 (87.5%)	19 (82.6%)	
Yes		5 (12.5%)	4 (17.4%)	
BPRS positive symptoms		6.33 (2.39)	8.67 (2.71)	.001
BPRS negative symptoms		5.60 (2.72)	5.40 (2.74)	.795
BPRS total score		37.7 (10.5)	42.1 (9.89)	.137
Risk group				.116
Prepsychotic only (APS or BLIPS)		25 (62.5%)	18 (78.3%)	
Genetic risk only		3 (7.50%)	0 (0.00%)	
Mixed prepsychotic + genetic		6 (15.0%)	5 (21.7%)	
Unspecific only		6 (15.0%)	0 (0.00%)	
LPS (nonverbal IQ)	119 (9.31)	115 (10.6)	112 (14.3)	.204
2-back task correct responses	13.5 (1.46)	12.0 (3.24)	11.2 (2.51)	.044
Days between EEG and transition to psychosis			423 (449)	

Note: HC, healthy controls; ARMS-NT, at-risk mental state patients without later transition to psychosis; ARMS-T, at-risk mental state patients with later transition to psychosis; BPRS, Brief Psychiatric Rating Scale; LPS, Leistungsprüfungssystem; APS, attenuated psychotic symptoms; BLIPS, brief, limited intermittent psychotic symptoms. Categorical and continuous variables were compared by Pearson χ^2 (or Fisher's exact tests if any expected cell frequencies were <5) and ANOVAs, respectively.

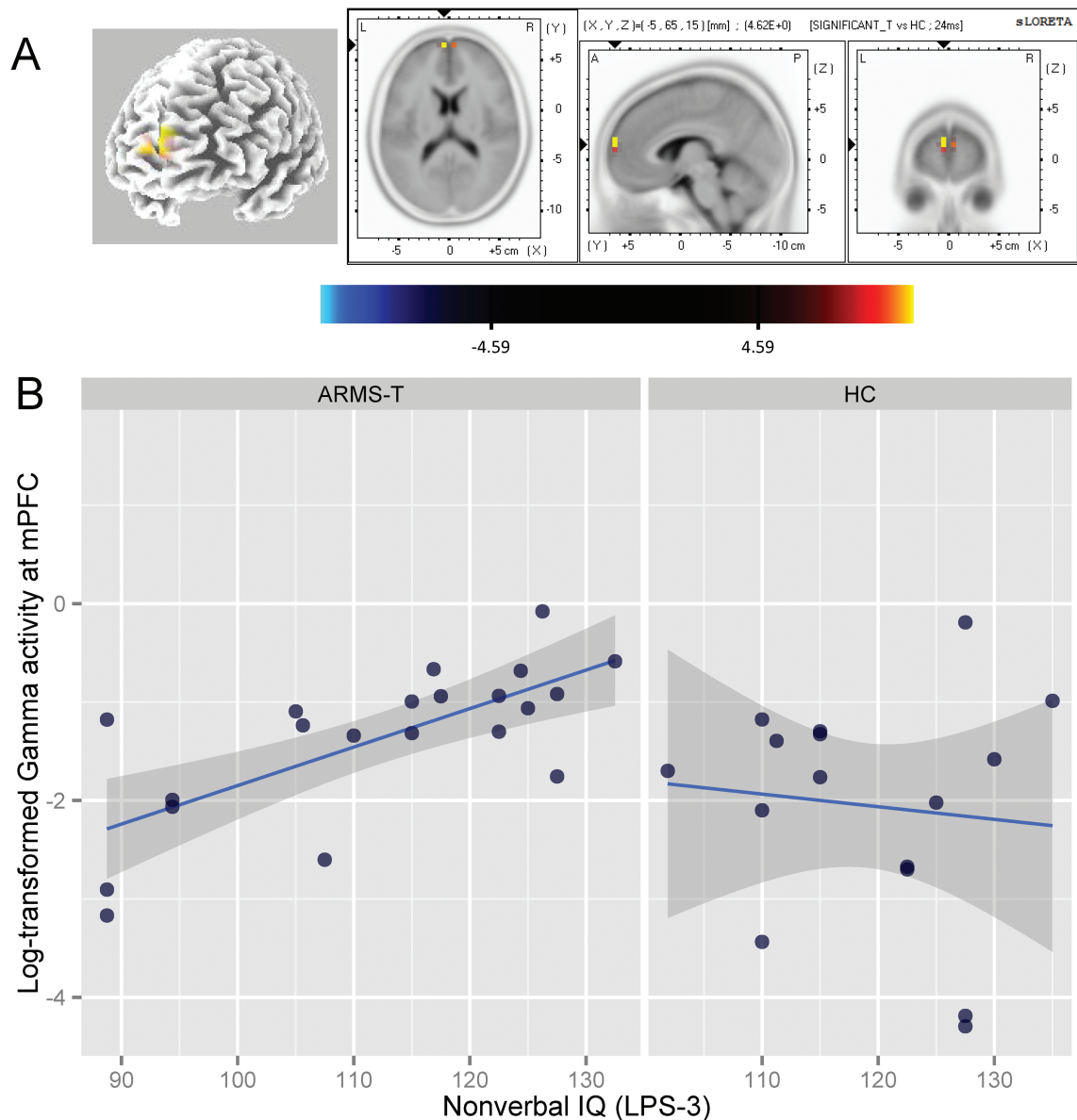


Fig. 2. (A) eLORETA statistical map of gamma band differences between ARMS-T and HC and (B) correlations between gamma activity ($\mu\text{A}/\text{mm}^2$) at the medial prefrontal cortex (mPFC) and LPS-3 performance in ARMS-T and HC.

of beta1 oscillations ($P < .001$, corrected), which was due to a stronger decrease of lagged phase synchronization with increasing anatomical distance in the ARMS-T group compared to the ARMS-NT and HC groups (see [figure 3](#)).

Moreover, a linear mixed-effect model that additionally included BPRS positive symptoms as an independent variable revealed a significant second order interaction between lagged phase synchronization, distance and BPRS positive symptoms in the beta1 frequency band ($P = .002$, corrected), indicating that higher positive symptoms in the ARMS-T group was associated with a particular strong decrease of lagged phase synchronization with increasing distance (see [figure 4a](#)). The same interaction occurred with negative symptoms ($P = .022$,

corrected) (see [figure 4b](#)). In both models, the interaction between Euclidian distance and group remained significant, indicating that this interaction was not due to different psychopathology in ARMS-T and ARMS-NT.

Discussion

In this study, we assessed by means of electrophysiological neuroimaging methods the CSD distribution and lagged-phase synchronization of neural oscillations across brain areas in patients at-risk for psychosis and HC. Consistent with our predictions, we found: (1) in comparison to HC, increased CSD of frontal gamma oscillations (30–50 Hz) in those patients who later transitioned to psychosis. Moreover, in ARMS-T gamma activity was positively

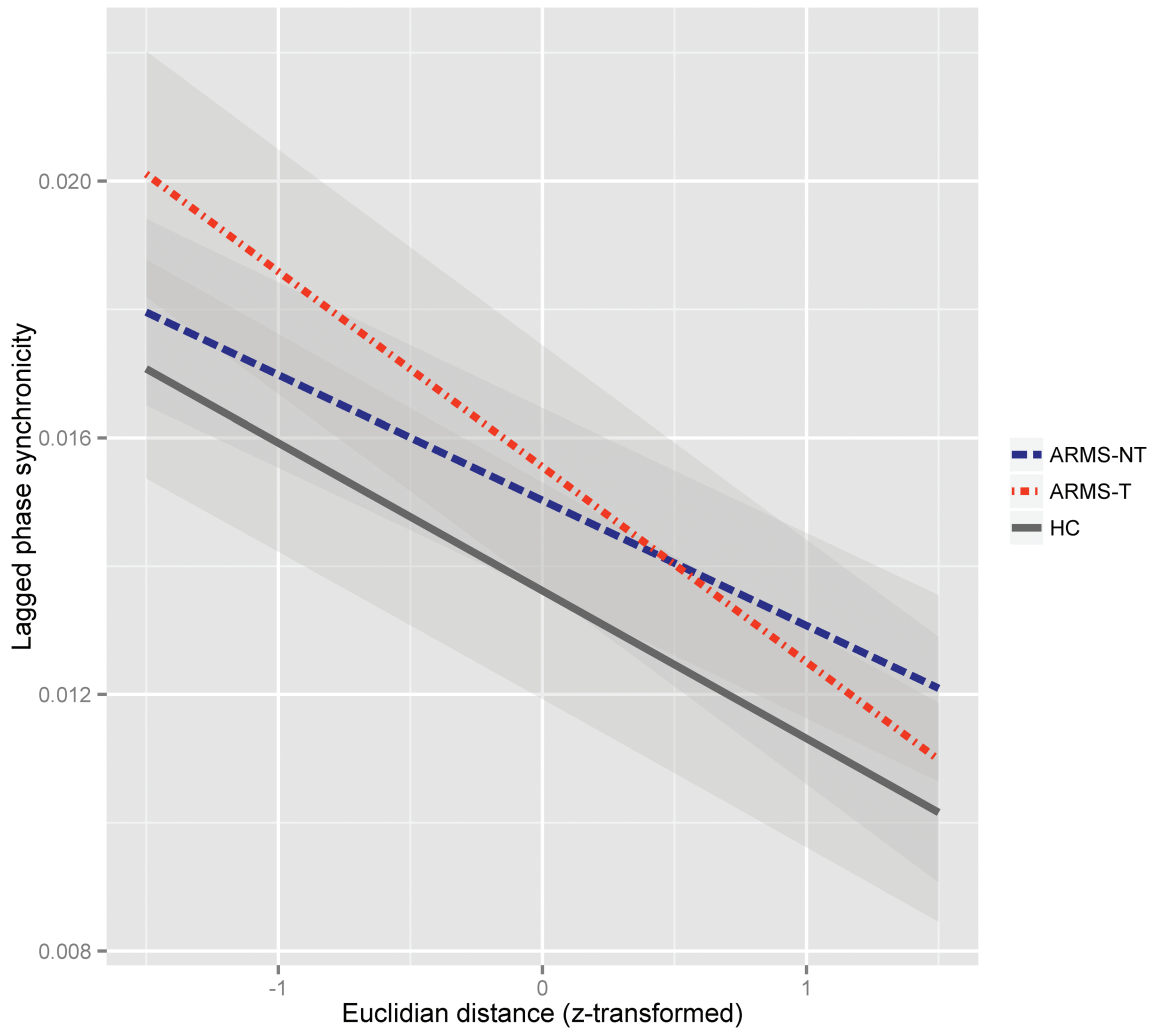


Fig. 3. The lagged phase-synchronization of the beta 1 frequency band as a function of distance. Shaded areas cover regression coefficients with ± 1 SE.

correlated with cognitive performance as assessed by the LPS-3. (2) We revealed that the inverse relationship between lagged phase synchronization and Euclidian distance was steeper in the ARMS-T patients than the other groups. This effect was most pronounced in patients with elevated negative and positive symptoms. These findings provide strong evidence that patients who will later make the transition to psychosis are characterized by impairments in neural oscillations.

CSD Analyses

The revealed alteration in mPFC gamma oscillations in ARMS-T patients is in line with numerous studies reporting abnormal gamma oscillations in schizophrenia^{2,54,55} and extends these findings by demonstrating that prefrontal gamma oscillations are already affected in high-risk patients that later transitioned to psychosis. Although both an increase and a decrease of gamma oscillations have extensively been documented in patients suffering

from psychosis, an increase has mostly been found in unmedicated patients exhibiting positive symptoms.¹¹ This is in line with our ARMS-T patients who fit these criteria and could explain the here revealed increase in the medial prefrontal gamma oscillations.

As the mPFC has been shown to be modulated by gamma oscillations⁵⁶ and to be associated with seemingly disparate cognitive functions such as detecting high-order relationships,⁵⁷⁻⁶⁰ planning and visualizing the future⁶¹ and constructing social and emotional judgments,⁶² our finding of increased gamma activity suggests that ARMS-T patients, already at baseline, have an impaired mPFC that could potentially explain cognitive abnormalities.^{63,64} Indeed, we found that gamma oscillation in mPFC [Brodmann area (BA) 10] correlated with neurocognitive performance in the LPS-3, a task in which patients are asked to find which item does not belong to a series of shapes. Such a detection of a higher-order relationship between individual entities was previously found to be associated with activation in BA 10 in semantic^{57,59}

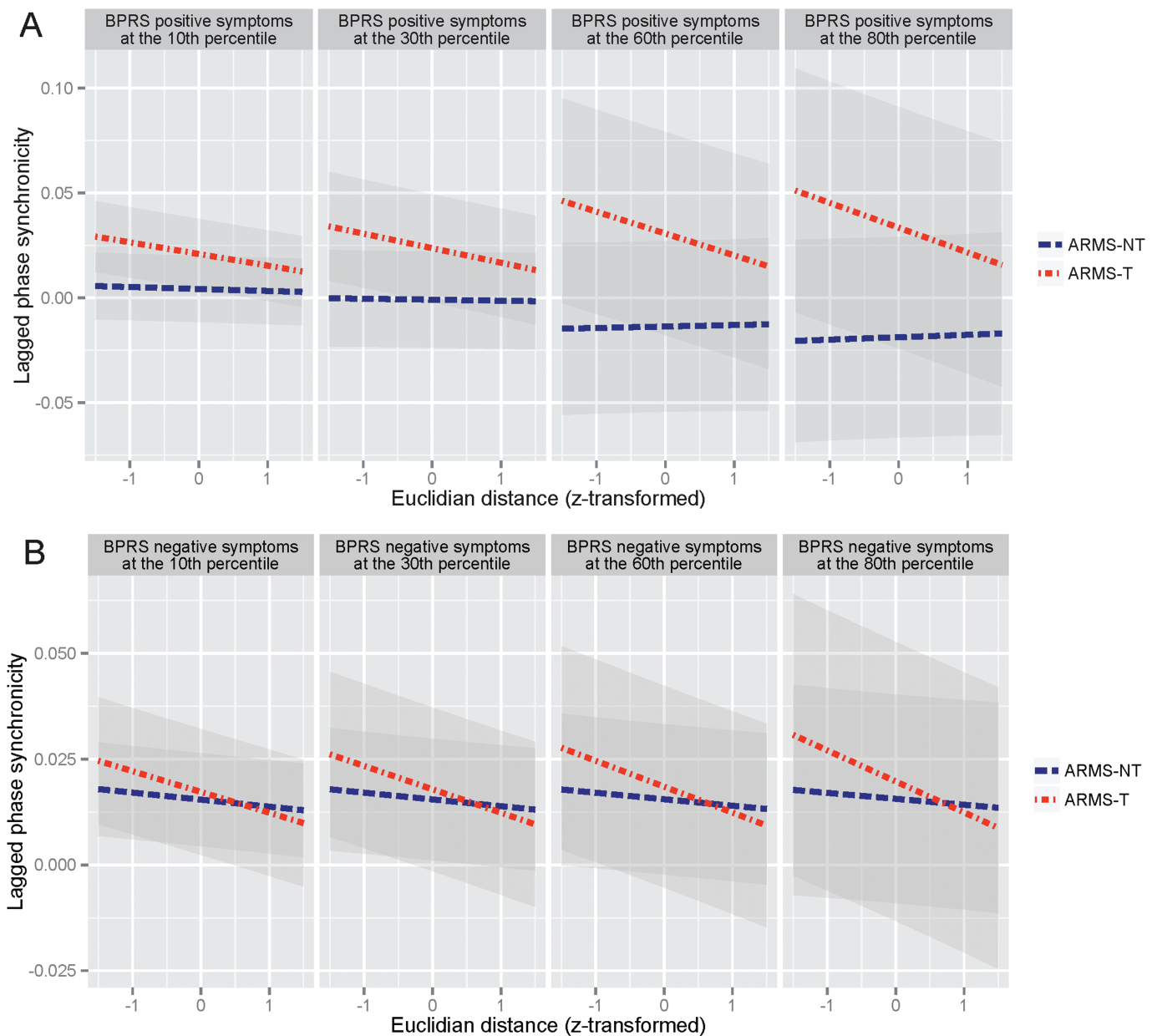


Fig. 4. (A) The lagged phase-synchronization of the beta 1 frequency band as a function of distance for each of 4 different values of BPRS positive symptoms. (B) The lagged phase-synchronization of the beta 1 frequency band as a function of distance for each of 4 different values of BPRS negative symptoms. Shaded areas cover regression coefficients with ± 1 SE.

and visual-based tasks^{58,60} which is in line with the here revealed association between LPS-3 performance and mPFC gamma oscillations. However, the correlation between LPS-3 performance and gamma oscillations was positive, suggesting that increased medial prefrontal gamma oscillations in the ARMS-T group may be an adaptive and compensatory process. A speculative explanation would be that patients with a high capacity to detect higher-order relationships, as indexed by the LPS-3 test and by gamma oscillation in the BA10, are more cognitively equipped to make sense of their altered psychological state.

Lagged Phase Synchronization Across Distributed Brain Regions

We revealed that ARMS-T patients show stronger decreasing lagged phase synchronicity with increasing Euclidian distance than ARMS-NT and HC (figure 3). This negative association is particularly present in patients with high positive symptoms (figure 4).

Thus, through the increased synchronization in the shorter inter-regional distances of the brain characterized in ARMS-T individuals, the influence of the long-range synchronicity is reduced. This could result from the disruption in the volume and organization of anatomical

connections, which is supported by the findings of reduced grey matter volume in ARMS-T⁶⁵ and its association with beta oscillations.³ Therefore, this could lead to the situation that distributed cortical areas can no longer communicate efficiently and that psychological entities like perception and cognition are no longer adequately integrated. These findings support the increasingly accepted notion that the neuropsychological impairments associated with schizophrenic psychoses are due to distributed impairments involving the coordinated activity among numerous cortical areas.³ Importantly, given that we observed increased synchronization in the beta band already before transition to psychosis, this could indicate an increased liability for psychosis and thereby help to improve the prediction of psychosis.

Limitations

The results of the present study are constrained by a number of limitations: All data were acquired using a relatively low-density EEG system which is commonly used in the clinical field for practical reasons. Even though numerous recent studies have shown that CSD and connectivity analyses during resting-state could reliably be performed using a 19 channels EEG system,^{66–68} we believe that the true potential of the eLORETA analyses could not be fully utilized. Moreover, to control for the strong correlation between adjacent voxels in the phase synchronization analyses, we could only choose 19 ROIs that would be measured by 19 channels and yield only 171 connections. Therefore, future studies should conduct these analyses again using higher density EEG systems.

Conclusion

Taken together, our result of a heightened gamma activity in the mPFC in ARMS-T patients could potentially reveal the neural underpinnings for an abnormal cognitive integration. Moreover, the increased lagged phase synchronicity characterized across smaller inter-regional brain areas in the beta frequency suggests anatomical abnormalities that could be hindering the proper communication between various cortical areas. These findings provide strong evidence that patients who will later make the transition to psychosis are characterized by impairments in neural oscillations.

Supplementary Material

Supplementary material is available at <http://schizophreniabulletin.oxfordjournals.org>.

Funding

This work was supported by the Swiss National Science Foundation (3200-057216.99, 3200-0572216.99, PBB5B-106936, and 3232BO-119382); the Nora van Meeuwen-

Haefliger Stiftung, Basel (CH); and by unconditional grants from the Novartis Foundation, Bristol-Myers Squibb, GmbH (CH), Eli Lilly SA (CH), AstraZeneca AG (CH), Janssen-Cilag AG (CH), and Sanofi-Synthelabo AG (CH).

Acknowledgments

The authors thank all study participants and the referring specialists. The authors also would like to thank Claudine Pfister and Laura Egloff for their help with the preparation and submission of the manuscript. All authors declare not to have any conflicts of interest that might be interpreted as influencing the content of the manuscript.

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