Minireview

Statistical analysis of denaturing gel electrophoresis (DGE) fingerprinting patterns

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Summary

Technical developments in molecular biology have found extensive applications in the field of microbial ecology. Among these techniques, fingerprinting methods such as denaturing gel electrophoresis (DGE), including the three options: DGGE, TGGE and TTGE) has been applied to environmental samples over this last decade. Microbial ecologists took advantage of this technique, originally developed for the detection of single mutations, for the analysis of whole bacterial communities. However, until recently, the results of these high quality fingerprinting patterns were restricted to a visual interpretation, neglecting the analytical potential of the method in terms of statistical significance and ecological interpretation. A brief recall is presented here about the principles and limitations of DGE fingerprinting analysis, with an emphasis on the need of standardization of the whole analytical process. The main content focuses on statistical strategies for analysing the gel patterns, from single band examination to the analysis of whole fingerprinting profiles. Applying statistical method make the DGE fingerprinting technique a promising tool. Numerous samples can be analysed simultaneously, permitting the monitoring of microbial communities or simply bacterial groups for which occurrence and relative frequency are affected by any environmental parameter. As previously applied in the fields of plant and animal ecology, the use of statistics provides a significant advantage for the non-ambiguous interpretation of the spatial and temporal functioning of microbial communities.

Fingerprinting techniques applied to microbial communities

Molecular approaches in microbial ecology

A major challenge in the field of microbial ecology is to assess the diversity of the microbial cells present in a defined habitat. Assessing the diversity of microbial communities (in terms of richness and structure) is a way to address how they evolve in their environment. In a more general way, it is a possible means to address the question of the modulation of microbial communities by environmental factors. Phylogenetically meaningful macromolecules, particularly 16S rDNA directly amplified from environmental DNA, are now widely used for such purposes (Ranjard et al., 2000a; O’Donnell et al., 2001; Schäfer and Muyzer, 2001).

However, information collected by these molecular tools quickly revealed the unsuspected complexity of whole bacterial communities (Ward et al., 1990). They were shown in turn to be limited in a practical way (O’Donnell et al., 2001). The amount of time and resources needed for the now classical ‘cloning-sequencing’ technique (which potentially supply an exhaustive description of microbial communities), coupled with the impracticability of complete counts of organisms at present (Dunbar et al., 2002), led to the development of alternative solutions. An original way was to separate polymerase chain reaction (PCR)-amplified fragment pools produced from whole microbial communities by electrophoresis techniques (Table 1). These fingerprinting methods are now widely adopted in the field of bacterial ecology and permit the simultaneous analysis of numerous samples (Ferrari and Hollibaugh, 1999).

DGE fingerprinting of microbial communities

Muyzer et al. (1993) first applied denaturing gel electrophoresis (DGE) techniques for the analysis of whole bacterial communities. Denaturing gel electrophoresis allows

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the separation of small polymerase chain reaction products, commonly up to 400 bp. The separation of the DNA fragments is achieved as a function of their different G + C content and distribution. Consequently, the fingerprinting pattern is built according to the melting behaviour of the sequences along a linear denaturing gradient (Myers et al., 1985). Such a gradient is obtained using either denaturing chemicals for denaturing gradient gel electrophoresis (DGGE) or heat for temperature gradient gel electrophoresis (TGGE) and temporal temperature gradient electrophoresis (TTGE).

The DGE techniques were applied using 16S rDNA fragments to the analysis of bacterial communities in numerous habitats such as soil and rhizosphere (Bruns et al., 1999; Yang and Crowley, 2000; Duineveld et al., 2001; Ibekwe et al., 2001; McCaig et al., 2001; Smalla et al., 2001) and aquatic environments (Murray et al., 1996; 1998; Ferrari and Hollibaugh, 1999; Moeseneder et al., 1999; van der Gucht et al., 2001; Schäfer and Muyzer, 2001; Schäfer et al., 2001). Interestingly, an increasing number of studies based on DGE are carried out on archaeal (Murray et al., 1998; Rölling et al., 2001) or eukaryal communities (van Hannen et al., 1999; van Elsas et al., 2000; Diez et al., 2001; Mohlenhoff et al., 2001).

The sensitivity of DGE analysis can be refined with the targeting of precise (and even non-dominant) taxonomic groups, by using specific PCR primers (Heuer et al., 1997; Nübel et al., 1997; Helling et al., 2002) or by identifying community members by hybridization of blotted DGE gels with group-specific oligonucleotide probes (Heuer et al., 1999). Other developments were based on the use of 16S rRNA as a target (Felske and Akkermans, 1998; Kowalchuk et al., 1999; Duineveld et al., 2001; Schäfer et al., 2001) to highlight metabolically active populations only. Functional genes (Watanabe et al., 1998; Bruns et al., 1999; Lovell et al., 2000; Fjellbirkeland et al., 2001) or even their transcripts (Wawer et al., 1997) were also analysed, which heralds very interesting prospects in clarifying the functioning of microbial communities.

Guidelines for the interpretation of DGE fingerprinting patterns

Some features of the fingerprinting techniques have to be considered before applying statistics for the analysis of DGE profiles.

In DGE analysis, the generated banding pattern is considered as an ‘image’ of the whole bacterial community. An individual discrete band refers to an unique ‘sequence type’ or phylotype (Muyzer et al., 1995; van Hannen et al., 1999), which is treated in turn as a discrete bacterial population. The term population classically refers to a group of bacterial cells present in a specified habitat and belonging to the same species. We are expecting that PCR fragments generated from a single population to display identical electrophoretic mobility in the analysis. This was confirmed by Kowalchuk et al. (1997) who showed that co-migrating bands generally corresponded to identical sequences. However, it was shown that rDNA fragments of closely related bacteria are not necessarily resolved (Buchholz-Cleven et al., 1997) or may produce separated bands (Jackson et al., 2001). Moreover, non-related sequences might co-migrate at an identical position (Vallaeyes et al., 1997), especially when treating complex community patterns (Kowalchuk et al., 1997; Ben Omar and Ampe, 2000). In this case, the question of the resolution of the gel needs to be addressed. Crowding of the gel has been discussed already and algorithms to assess it were proposed by Nübel et al. (1999a). Degenerated primers should be avoided also as one single bacterial strain, or even a single clone, may generate a multiple band pattern (Kowalchuk et al., 1997; Piceno et al., 1999). Some authors have also detected artificial bands when analysing complex DNA templates, probably induced by heteroduplex molecules (Ferris and Ward, 1997). Consequently, care should be taken in assigning a single band to a single bacterial population.

Another assumption for DGE fingerprinting interpretation is that the band intensity is directly related to the density of corresponding bacterial phylotypes within the sample. Results obtained by Murray et al. (1996) suggested a relationship between band intensity and relative abundance of the corresponding phylotype in the template DNA mixture. Such an assumption implies that no bias was obtained during the whole extraction–amplification procedure of the bacterial genomes (Muyzer et al., 1993; Wang and Wang, 1997; Garcia-Pichel et al., 2001). The DGE analysis should probably be restricted to samples treated using identical methods, in which DNA extraction and amplification biases are supposed to occur homogeneously. Moreover, it is commonly accepted that the main populations only (those representing more than 0.1–1% of the target organisms in terms of relative proportion) are

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Table 1. Fingerprinting methods used for the characterization of microbial communities, with recent publications in the related field.

<table>
<thead>
<tr>
<th>Method</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>Amplified ribosomal DNA restriction analysis (ARDRA)</td>
<td>Smit et al. (1997); Tiedje et al. (1999)</td>
</tr>
<tr>
<td>Denaturing gel electrophoresis (DGE)</td>
<td>Muyzer and Smalla (1998)</td>
</tr>
<tr>
<td>Ribosomal intergenic spacer analysis (RISA)</td>
<td>Fisher and Triplet (1999); Ranjard et al. (2000b)</td>
</tr>
<tr>
<td>Single strand conformation polymorphism (SSCP)</td>
<td>Schwieger and Tebbe (1998); Dabert et al. (2001)</td>
</tr>
<tr>
<td>Terminal restriction fragment length polymorphism (T-RFLP)</td>
<td>Moeseneder et al., (1999); Dollhopf et al. (2001)</td>
</tr>
</tbody>
</table>
displayed in the profiles (Muyzer et al., 1993; Murray et al., 1996). As a result, all populations present within a habitat do not appear on DGE banding patterns. When assessing the above considerations, the image of the communities which is provided by DGE fingerprinting patterns probably relates more to its structure, i.e. to the relative abundance of the main bacterial populations, than to its total richness (Muyzer and Smalla, 1998). These features and restrictions are nevertheless common to all PCR-based approaches (Lee et al., 1996; Fisher and Triplett, 1999; Schäfer and Muyzer, 2001).

The last consideration about this analytical technique is about the reproducibility of the DGE analysis. Reproducibility of sample analysis depends on the upstream analytical steps (from the sampling to the DNA extraction and amplification procedures) as well as the care brought to the DGE gels themselves. A thorough standardization at each level of the experiments results in very high reproducibility. The use of reference patterns, the loading of precise amounts of PCR-amplified fragments and the precision of gel staining are required. As a consequence, identical samples loaded on a single gel display identical patterns (Simpson et al., 1999; Schäfer et al., 2001; Yang et al., 2001) and patterns from different gels can be compared with a high degree of confidence. The analysis of large numbers of samples can be exploited for the characterization of the intrinsic variability of the bacterial community structures. This large amount of data can be analysed in turn with statistical tools, which provide a significant advantage for the non-ambiguous interpretation of the observed variability (Morris et al., 2002).

Analysis and comparison of DGE community profiles

Denaturing gel electrophoresis techniques have been extensively used to monitor bacterial communities in space and time (Ferris and Ward, 1997; Murray et al., 1998; Nübel et al., 1999b; van der Gucht et al., 2001) or to evaluate the impact of environmental disturbances (Ibekwe et al., 2001; Müller et al., 2001). The variations between DGE profiles were classically described visually on a single DGE gel by the disappearance, the appearance or the changes in the intensity of selected bands. However, an increasing number of studies propose statistical investigations of DGE banding patterns, which undoubtedly lead to refined results. These advanced analyses are based on a computer-assisted characterization of the banding patterns and the subsequent treatment of the data using a statistical approach.

An example of computer-assisted guideline for the analysis of fingerprinting profiles was proposed by Rademaker et al. (1999) using the GelCompar software package (Applied Maths, Kortrijk, Belgium). Briefly, banding patterns were first standardized with a reference pattern included in all gels. Each band was described by its position (Y, in pixel on the image file) and its relative intensity in the profile \( P_i \), which could be calculated by the relative surface of the peak in the profile \( P_i = n/N \), where \( n \) is the surface of the peak \( i \), and \( N \) is the sum of the surfaces for all the peaks within the profile). Using these data various statistical methods can be applied, based either on single band or on whole DGE profile analysis.

**Analysis of DGE profiles based on single bands**

One way to analyse DGE fingerprinting patterns is to observe the possible changes in the presence/absence or in the variation of intensity of a single band (Murray et al., 1996). Putative indicator bands highlighted in this way can be excised from the gels and their sequences analysed using a cloning–sequencing procedure (Kowalchuk et al., 1997; Watanabe et al., 1998; Ibekwe et al., 2001).

The variation in band presence or intensity can be exploited in two different ways. First of all, the relevance of indicator bands can be evaluated by testing their occurrence in relation with various biological and physicochemical parameters (Widmer et al., 2001) as well as with the presence or absence of other bands in the patterns. In the example shown in Table 2 16S rDNA TTGE banding patterns of 30 raw milk samples were analysed in this way. The occurrence of each TTGE band was tested against qualitative descriptors using a Fisher’s exact test and bands found at the positions \( Y = 230 \) and \( Y = 300 \) were positively correlated to the cleaning frequency of the milking device and to the hygienic status of the cow tits respectively.

Second, single band analysis can also be used for computing a regression between band intensity (dependent quantitative variable) and an environmental descriptor (independent quantitative variable). In the example

<table>
<thead>
<tr>
<th>Descriptors</th>
<th>Position of the band (in pixels on Y axis)</th>
<th>Frequency of cleaning of the milking device</th>
<th>Hygienic status of the cow tits before milking</th>
<th>Identification of 16S rDNA fragment (% identity)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Y = 230</td>
<td>( P = 0.0001 )</td>
<td>No correlation</td>
<td>Bacillus sp. (&gt;95%)</td>
<td></td>
</tr>
<tr>
<td>Y = 300</td>
<td>No correlation</td>
<td>( P = 0.004 )</td>
<td>Pseudomonas sp. (&gt;95%)</td>
<td></td>
</tr>
</tbody>
</table>

**Table 2.** Significant correlation \((P < 0.05, \text{ Fisher's exact test})\) between the presence of a selected band within a gel pattern and a qualitative descriptor. The bands were identified using a cloning-sequencing procedure (P. Rossi, unpublished data).
given above, the TTGE patterns were analysed by plotting the relative intensities ($P_i$) of each band versus various physical parameters measured from the same samples. A positive correlation ($R^2 = 0.69$) was found between the relative intensity of the band $Y = 300$ (identified as *Pseudomonas* sp.; Table 2) and the per cent of fat measured in the raw milk (Fig. 1).

**Whole profile analysis**

The second approach for a comparative analysis of DGE patterns is based on the whole set of bands present within the profiles. The total number of bands (called sometimes ‘band richness’) in each sample pattern is related to the number of dominant phylotypes, and can be used for comparison purposes (Müller *et al.*, 2001; van der Gucht *et al.*, 2001). Comparison of profiles can be refined by taking into account the relative intensity of each band ($P_i$). Thus, diversity indices, such as Shannon-Weaver and Evenness indices (Nübel *et al.*, 1999a; Simpson *et al.*, 1999; Kocherginskaya *et al.*, 2001; McCaig *et al.*, 2001; Ogino *et al.*, 2001), can be calculated to describe possible changes in the dominance among phylotypes. An interesting feature is to combine these indices with other sets of environmental data. For instance, Nübel *et al.* (1999a) found a positive linear correlations between Shannon-Weaver indices calculated from both DGE patterns and carotenoid types in oxygenic-phototrophic microbial communities.

**Computation of similarity matrix**

When considering the presence/absence of the bands, similarities between banding patterns, taken in pairs, can be expressed as a percentage value of a similarity coefficient such as Jaccard (Diez *et al.*, 2001) or Dice (van der Gucht *et al.*, 2001) coefficient, or a distance coefficient such as Euclidean measure (McSpadden Gardener and Lilley, 1997). Other coefficients, such as the Steinhais coefficient (Fig. 2) or the product moment, also named Pearson correlation coefficient (Rölling *et al.*, 2001; Smalla *et al.*, 2001), allow to take into consideration the relative intensity ($P_i$) of each band (Legendre and Legendre, 1998; Rademaker *et al.*, 1999). As noticed by Murray *et al.* (1998), the use of these similarity coefficients for the calculation of pair-wise levels of similarity between patterns does not require a one-to-one correspondence between the number of bands and the number of

![Figure 1](image1.png)

**Fig. 1.** Regression analysis between the relative intensity (ln) of the band at the $Y = 300$ position and the percentage of fat found in the corresponding raw milk samples (P. Rossi, unpublished data).

![Figure 2](image2.png)

**Fig. 2.** UPGMA clustering of 10 samples taken along a vertical gradient from the small eutrophic Lake Loclat (Neuchâtel, Switzerland). Samples are ranked by depth: 1, corresponds to the surface; 10, to the bottom of the lake (8.7 m).

A. Clustering according to DGGE data (using Steinhais coefficient).
B. Clustering according to 23 physical and chemical variables using Euclidean distance (Forestier *et al.*, 2002). Linkage levels were computed using the R package (Casgrain and Legendre, 2001).
sequence types. Similarity or distance matrices can be displayed graphically as a dendrogram, but also give way to clustering and ordination methods.

**Clustering techniques**

Clustering techniques, such as the unweighted pair group method using arithmetic averages (UPGMA), are applied to the DGE profiling with the aim of identifying the samples which generate similar patterns (Ibekwe et al., 2001; Yang et al., 2001; Boon et al., 2002). One advantage of this presentation is that the coherence of the fingerprinting patterns can be assessed rapidly.

In the example given above (Forestier et al., 2002), 10 samples were taken from a holomictic eutrophic lake along a vertical gradient and were analysed for major ions, organic content, physical parameters and DGGE analysis of 16S rDNA fragment genes. Computation of the DGGE and environmental parameters matrices was carried out using the Euclidean distance and Steinhaus coefficient, respectively, and UPGMA was selected as a clustering method for the presentation of the results. The resulting dendrograms (Fig. 2) showed that the samples were clustered according to the depth of their sampling, in agreement with measured physical and chemical parameters.

**Ordination methods**

Another way of analysing DGE profiles is to bring out major tendencies of the variance of the samples for the whole set of descriptors using multivariate ordination methods. Legendre and Legendre (1998) provide a excellent review of these methods which are commonly used in the field of ecology. These methods are used for the integration of complex sets of data (i.e. bands in the DGE patterns) into new mathematical variables which can be projected into a few-dimension perspective (reduced space). The major advantage of these methods is to display the whole set of samples on a simple scheme, and to highlight the possible descriptors which are governing their dispersion (ter Braak et al., 1995). Of course, true correlation can only be deduced when sufficient amounts of data are provided: the results of proposed statistical analysis should be considered with care, as coincidence or convergence mechanisms cannot be excluded.

Common ordination methods include non-metric multi-dimensional scaling (NMDS), principal component analysis (PCA), correspondence analysis (CA), canonical variate analysis (CVA) and canonical correspondence analysis (CCA). Several complementary statistical procedures can be applied to analyse DGE data (Yang et al., 2001). Details on the specific underlying theory of each of these methods can be found elsewhere (McSpadden Gardener and Lilley, 1997; Legendre and Legendre, 1998).

Non-metric multidimensional scaling is an ordination method which reduces complex DGE patterns to a point in a two-dimensional space. By connecting the consecutive points, the relative changes in the bacterial community can be visualized. van Hannen et al. (1999) proposed to calculate Nei-Li distances from the binary data resulting from DGE profile analysis and to represent these distances using this ordination method. The authors showed that bacterial communities that developed on two distinct detritus substrates differed significantly: the distances calculated between communities from different substrates were greater (P < 0.05) than the distances calculated between the replicates for a given substrate. Non-metric multidimensional scaling was used elsewhere for the interpretation of DGE data (Diez et al., 2001; Schäfer et al., 2001). The advantage of NMDS is to represents the objects in two or three dimensions, with dissimilar objects far apart and similar objects close to one another in the ordination space.

Principal component analysis generates new variables, called principal components (linear components of the original variables), which explain the highest dispersion of the samples. This method was often used for the interpretation of DGE community fingerprinting analysis (van der Gucht et al., 2001; Müller et al., 2001; Ogino et al., 2001; Yang et al., 2001). As an example, Müller et al. (2001) used PCA to compare 16S rDNA DGGE profiles for bacterial communities present in mercury-contaminated soils. Their investigations showed that the DGGE approach generated more distinctive results than colony morphotyping and substrate utilization. van der Gucht et al. (2001) showed that the composition of bacterioplanktonic communities differed between two lakes and during seasons using a PCA applied to presence/absence of bands within 16S rDNA DGGE patterns. Using Spearman's rank correlation, the observed seasonal variations were found to be positively correlated with environmental variables such as temperature, nitrate concentration or microbial biomass. However, PCA is probably not the most suitable statistical approach for analysing DGE patterns, as its underlying model assumes that biological populations have a linear response curve along the axes of ecological variation. Niche theory tells us that populations have ecological preferences. An unimodal (i.e. bell-shaped) response distribution of the different bacterial populations present in a community is probably closer to reality, with more individuals near some optimal environmental values.

Correspondence analysis may be applied to any data table that is dimensionally homogenous. ter Braak (1985) showed that the underlying model was adapted to presence/absence or abundance data tables and consequently, that the analysis was well suited for populations
with unimodal distribution along environmental gradients. Using this statistical analysis, Jourdain-Miserez et al. (2001) analysed 16S rDNA gene fragments issued from milk samples on TTGE gels. The results clearly showed different community structures between organic and conventional farming practices (Fig. 3). Correspondence analysis was also used elsewhere for similar approaches (Ibekwe et al., 2001; Yang et al., 2001).

**Interpretation of DGE patterns with environmental variables**

From our point of view, the greatest opportunity of the statistical analysis of DGE patterns is offered when the community profiles are combined in a joint analysis with environmental data sets. The relevant question here is to know whether the variations observed between different banding patterns could be associated with the variations of measured environmental variables.

McCaig et al. (2001) applied multivariate analysis to reduce the original data for grassland DGGE community patterns into six principal components. They showed clear differences between improved and non-improved grassland communities using CVA. This method requires an *a priori* definition of groups and finds linear combinations of variables that maximize the ratio between-group variation to within-group variation.

The 'community matrix' obtained from DGE profiles can be tested also against a second matrix obtained from environmental data sets measured on the same samples. Canonical correspondence analysis is a powerful canonical ordination technique (multivariate direct gradient analysis) allowing the explanation of the structure of a 'species' data table by quantitative environmental descriptors and assuming unimodal distributions of species (ter Braak, 1986). Using this technique, Yang and Crowley (2000) compared bacterial rhizospheric communities associated to barley plants under iron-limiting and iron-sufficient growth conditions. As a result, they showed that about 40% of the variation between microbial communities could be attributed to plant iron nutritional status. Figure 4 presents a CCA of a DGGE analysis carried out on samples taken from the water column of Lake Loclat (Forestier et al., 2002). In this case, five environmental variables were selected according to their high probability of correlation with the samples (*P* < 0.05) using Mantel tests (Mantel, 1967). This test is based on the linear correlation between two distance or similarity matrices obtained from independent data. As shown in the Fig. 4, the redox and the dissolved organic carbon were the variables which influenced mostly the dispersion of the samples. The first five samples taken from the aerobic zone (samples 1–5) are closely related, defining a homogenous bacterial structure. The samples taken from the anaerobic section of the water column (points 6–10) were displayed according to depth indicating a continuum of different bacterial communities.
Conclusions

Denaturing gel electrophoresis fingerprinting techniques are very effective methods for the characterization of bacterial community structures. These techniques are convenient for the simultaneous analysis of numerous samples. They are consequently well suited for the monitoring of whole communities, focusing on phylotypes for which the occurrence and/or the relative frequency are affected by any environmental change. As shown above, emphasis should be brought to the standardization of the whole analytical procedure as a means for increasing the reliability of the method and the reproducibility of the patterns. For instance computer-assisted analysis of the profiles should be generalized, escaping the merely qualitative reading of the fingerprinting patterns.

The exploratory aspect of the statistical techniques applied to DGE patterns that we present here is the consequence of statistical developments brought to the field of plant and animal ecology. It is now possible to approach causality in microbial ecology with statistical methods using experimental designs which were impossible to conceive a few years ago, principally because of the time and resources needed for the analysis of high number of samples. Examples provided above showed that it is possible to apply statistical tools to DGE data sets efficiently. The first result is in the validation of the interpretation of the patterns, such as shifts in the microbial community structure or the identification of key-populations which may be affected by changing conditions. Moreover, whole pattern data generated by the DGE analysis can be directly tested for correlation analysis against any single or combination of environmental sets of variables.

However, care should be taken in the choice of the statistical analytical procedure. As shown above, the underlying theoretical model should be carefully assessed before any attempt of application. Some analysis used up to now were probably not well suited to this type of data sets. On the contrary, CA is particularly well suited for abundance data sets, and PCA (normalized using correlation) is perfectly adapted for the analysis of environmental data sets (standardized descriptors).

The complementation of DGE analysis with a statistical approach leads to the definition of new hypotheses and to new prospects in terms of spatial or temporal functioning of microbial systems. Statistical methods reveal putative correlations between different sets of variables. They do not permit, however, conclusions to be drawn regarding the causality of these correlations. Therefore, statistical analyses should not be considered alone, but in a dialectic relationship with an ecological hypothesis. Automated pattern recognition and mechanistic dynamic modeling (combined with field and laboratory experiments) will probably very soon be the future steps in this field. In this sense, it will be conceivable to describe more precisely the relations between the observed diversity of the organisms and their ecological niches, leading to the development of the promising concept of ‘bacterial sociology’.

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References


