



A twisted Motohashi formula and Weyl-subconvexity for L -functions of weight two cusp forms

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Abstract We derive a Motohashi-type formula for the cubic moment of central values of L -functions of level q cusp forms twisted by quadratic characters of conductor q , previously studied by Conrey and Iwaniec and Young. Corollaries of this formula include Weyl-subconvex bounds for L -functions of weight two cusp forms twisted by quadratic characters, and estimates towards the Ramanujan–Petersson conjecture for Fourier coefficients of weight $3/2$ cusp forms.

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1 Introduction

Let f be a classical holomorphic cusp form of even positive weight κ , odd square-free level q , and trivial central character. Let χ the unique primitive Dirichlet character of conductor q corresponding to a quadratic field extension K/\mathbb{Q} . Consider the value of the L -function of $f \otimes \chi$ at its center point of symmetry: $L(1/2, f \otimes \chi)$. The Waldspurger formula [18] gives an arithmetic interpretation to this positive real number.

In this paper we study the below cubic moment of central values of L -functions, first considered by Conrey and Iwaniec [6]. For $\kappa \geq 12$ and any $\varepsilon > 0$ they prove that

$$\sum_{f \in \mathcal{F}_\kappa(q)} L(1/2, f \otimes \chi)^3 \ll_{\kappa, \varepsilon} q^{1+\varepsilon}. \quad (1)$$

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The Lindelöf-on-average estimate (1) stands out among the vast literature on moments of L -functions because it goes far beyond what one expects to be provable using the current technology. Conrey and Iwaniec obtain as corollaries of their estimate Weyl-subconvex bounds for several important families of L -functions, and the best currently-known bound on Fourier coefficients of $1/2$ -integral weight modular forms.

Here we revisit the cubic moment of Conrey and Iwaniec and derive a corresponding dual moment in Theorem 2 which is reminiscent of some formulas first derived by Motohashi. These Motohashi formulas relate the spectral cubic moment of (untwisted) GL_2 L -functions to an average of four Riemann zeta functions in t -aspect. See Motohashi chapter four [16], or also Michel and Venkatesh sections 4.5.4 and 4.5.5 of [15]. In Theorem 2 we give a twisted Motohashi-type formula for the dual sums of (1). This formula does not seem to follow in a straightforward way from the general arguments of Michel and Venkatesh.

The Motohashi-type formula of Theorem 2 is crucial in extending (1) to small weights $\kappa \geq 2$. We give our improved estimate for the cubic moment in Theorem 1. In Corollary 1 we give Weyl-subconvex bounds for the central values of L -functions for weights $\kappa \geq 2$. Previously, the best available estimates for small weights $\kappa \geq 2$ were apparently special cases of the results of Blomer and Harcos [1, 2], which are quite general and of Burgess quality in q . The present paper is in some sense a counterpart to the recent work of Young [19] who gives estimates for the same cubic moment which are uniform as $\kappa \rightarrow \infty$.

Additionally, the Motohashi-type formula allows us to replace the epsilons appearing in the previous results [6, 19] with explicit powers of $\log q$ and the divisor function of q . This gives the best currently-known estimates. As in the original work of Conrey and Iwaniec we focus on the case of holomorphic forms, but our results carry over to the case of non-holomorphic Maass waveforms and Eisenstein series as well.

Now we describe our results more precisely. Let $\lambda_f(n)$ denote the Hecke eigenvalues of f normalized so that $|\lambda_f(n)| \leq d(n)$ and let $\mathcal{F}_\kappa(q)$ be an orthonormal basis (with respect to the Petersson inner product) of Hecke eigenforms. Define for $\mathrm{Re}(s) > 1$ the L -series

$$L(s, f \otimes \chi) \stackrel{\mathrm{def}}{=} \sum_{n=1}^{\infty} \frac{\lambda_f(n) \chi(n)}{n^s},$$

the local L -function at the infinite place, and the completed L -function

$$\begin{aligned} L_\infty(s, f \otimes \chi) &\stackrel{\mathrm{def}}{=} \left(\frac{q}{2\pi}\right)^{s-1/2} \Gamma\left(s + \frac{\kappa-1}{2}\right) \\ \Lambda(s, f \otimes \chi) &\stackrel{\mathrm{def}}{=} L_\infty(s, f \otimes \chi) L(s, f \otimes \chi). \end{aligned}$$

We assume that $i^\kappa = \chi(-1)$ so that the sign of the functional equation is fixed to $+1$:

$$\Lambda(s, f \otimes \chi) = \Lambda(1-s, f \otimes \chi).$$

Let the Fourier coefficients of f be $a_f(n) = a_f(1)\lambda_f(n)$, and let ω_f be the standard harmonic weights necessary for the clean application of the Petersson trace formula, see [6, 12]. For example, when f is a newform we have

$$\omega_f = (4\pi)^{1-\kappa} \Gamma(\kappa - 1) |a_f(1)|^2,$$

and in general the ω_f do not vary too much. In fact, if f is holomorphic we have

$$\frac{1}{\kappa(q+1)(\log \kappa q)^3} \ll \omega_f \ll \frac{\log \kappa q + 1}{\kappa(q+1)}, \quad (2)$$

by [4, 7, 8]. The weight κ is always considered fixed, and all implicit constants may depend on κ . In this paper we prove the following refinement of (1).

Theorem 1 *Suppose $\kappa \geq 2$ and q odd square-free with all $\operatorname{Re}(\alpha_i) \ll 1/\log q$. Let $v(q)$ denote the number of prime factors of q . There exists an absolute constant $C > 0$ for which we have that*

$$\begin{aligned} & \sum_{f \in \mathcal{F}_\kappa(q)} \omega_f \Lambda\left(\frac{1}{2} + \alpha_1, f \otimes \chi\right) \Lambda(1/2 + \alpha_2, f \otimes \chi) \Lambda(1/2 + \alpha_3, f \otimes \chi) \\ & \ll C^{v(q)} (\log(v(q) + 1))^{2+4/C} \\ & \times \begin{cases} (\log q)^4 & \text{Case A} \\ (\log q)^3 |\zeta(1 + 2i|\alpha_i|)| & \text{Case B} \\ (\log q)^2 |\zeta(1 + i|\alpha_i| + i|\alpha_j|)| |\zeta(1 + i|\alpha_i| - i|\alpha_j|)| & \text{Case C.} \end{cases} \end{aligned}$$

where

$$\begin{cases} \text{Case A:} & \text{all } |\alpha_i| \leq 1/\log q \\ \text{Case B:} & \text{for all } i, j, \quad ||\alpha_i| - |\alpha_j|| \leq 1/\log q \text{ and } |\alpha_i| > 1/\log q \\ \text{Case C:} & \text{there exists } i \neq j \text{ such that } ||\alpha_i| - |\alpha_j|| > 1/\log q. \end{cases}$$

If $\kappa = 2$ then the powers of $\log q$ above are increased to 5, 4, 3 in cases A, B, C, respectively.

The most interesting case of Theorem 1 is of course $\alpha_1 = \alpha_2 = \alpha_3 = 0$. The local L -function at the archimedean place L_∞ is constant across the family, and so Theorem 1 and (2) immediately give a new estimate for (1) without epsilons and which is valid for all $\kappa \geq 2$.

The main new idea which leads us to Theorem 1 is a ‘‘Motohashi-type formula’’ for the dual sums produced by applying trace formulas. The moment under study in the above proposition breaks up into the expected main term, the dual moment described in the next proposition, and a small error term. In Theorem 2 we only write the dual moment for prime levels q for ease of exposition.

Theorem 2 (Motohashi-type formula) *Let $\kappa \geq 2$, q prime, and $\alpha_1, \alpha_2, \alpha_3$ be three complex numbers with $\operatorname{Re}(\alpha_i) < 1/\log q$. The group $(\mathbb{Z}/2\mathbb{Z})^3$ acts on the set of triples $\alpha = (\alpha_1, \alpha_2, \alpha_3)$ by multiplication by ± 1 on each entry. Let \sum^+ and \sum^- denote sums over the primitive even, resp. odd, Dirichlet characters of conductor q , $\varepsilon(\psi)$ be the sign of the functional equation of $L(s, \psi)$, and $\varphi(q)$ be the number of primitive Dirichlet characters of conductor q .*

For χ the quadratic character of prime conductor q let $g(\chi, \psi)$ be the character sum

$$g(\chi, \psi) = \sum_{u, v \pmod{q}} \chi(uv(u+1)(v+1))\psi(uv-1)$$

which satisfies $|g(\chi, \psi)/q| \leq C$ for an absolute constant C . We have that

$$\begin{aligned} & \sum_{f \in \mathcal{F}_\kappa(q)} \omega_f \Lambda\left(\frac{1}{2} + \alpha_1, f \otimes \chi\right) \Lambda(1/2 + \alpha_2, f \otimes \chi) \Lambda(1/2 + \alpha_3, f \otimes \chi) \\ &= \sum_{\sigma \in (\mathbb{Z}/2\mathbb{Z})^3} MT(\mathcal{F}, \sigma\alpha) + DM(\mathcal{F}, \sigma\alpha) + O(q^{-1/3+\varepsilon}), \end{aligned}$$

where

$$\begin{aligned} MT(\mathcal{F}, \alpha) &\stackrel{\text{def}}{=} \zeta_q(1 + \alpha_1 + \alpha_2) \zeta_q(1 + \alpha_2 + \alpha_3) \zeta_q(1 + \alpha_3 + \alpha_1) \\ &\quad \times L_\infty(1/2 + \alpha_1, f \otimes \chi) L_\infty(1/2 + \alpha_2, f \otimes \chi) L_\infty(1/2 + \alpha_3, f \otimes \chi) \end{aligned}$$

and

$$\begin{aligned} DM(\mathcal{F}, \alpha) &\stackrel{\text{def}}{=} \sum_{\pm} \frac{1}{(2\pi i)^4} \int_{(1/2)} \iiint_{(\varepsilon)} \frac{U^\pm(s, u_1, u_2, u_3) q^{2s+u_1+u_2+u_3-1}}{(u_1 - \alpha_1)(u_2 - \alpha_2)(u_3 - \alpha_3)} \\ &\quad \times \frac{1}{\varphi(q)} \sum_{\psi \pmod{q}}^\pm \frac{g(\chi, \psi)}{q} \frac{\overline{\varepsilon(\psi)}^2}{q} L(s, \psi) L(s + u_1 + u_2, \psi) \\ &\quad \times L(s + u_2 + u_3, \psi) L(s + u_3 + u_1, \psi) du_1 du_2 du_3 ds. \end{aligned}$$

The two functions $U^\pm(s, u_1, u_2, u_3)$ are each holomorphic in the region

$$\begin{aligned} \max(\operatorname{Re}(u_i)) - 1/2 &< \operatorname{Re}(s + u_1 + u_2 + u_3) < \kappa/2 \\ -\kappa/2 &< \operatorname{Re}(u_i), \end{aligned}$$

symmetric in the u_i variables, and satisfy the bounds

$$U^\pm \ll_\varepsilon (1 + |s|)^\ell \exp(-(\pi/2 - \varepsilon)|\operatorname{Im}(u_1 + u_2 + u_3)|)$$

for any $\varepsilon > 0$, where

$$\ell = \max(\operatorname{Re}(s + u_1 + u_2 + u_3) - (\kappa + 1)/2, -3/2).$$

Moving the u_i contours to the lines $\operatorname{Re}(u_i) = 1/\log q$ and applying the multiplicative large sieve (see Sect. 5) one derives Theorem 1 from Theorem 2.

As predicted by the generalized Riemann hypothesis, the values of these L -functions at $s = 1/2$ are in fact known to satisfy

$$L(1/2, f \otimes \chi) \geq 0 \quad (3)$$

due to the well-known result of Waldspurger [18]. See also the classical work-out as an explicit formula for full level due to Kohnen and Zagier [14], and for general level due to Kohnen [13]. As a consequence of positivity (3), the bounds on harmonic weights (2), and our main result Theorem 1 we derive the following strengthened form of the subconvex bound found in Conrey and Iwaniec as their Corollary 1.2.

Corollary 1 *Let f be a primitive holomorphic cusp form of weight $\kappa \geq 2$ with level dividing q , and let $\chi \pmod{q}$ be the quadratic character of conductor q . Then*

$$L(1/2, f \otimes \chi) \ll_{\kappa} q^{1/3} (\log q)^{7/3} C^{v(q)/3} (\log(v(q) + 1))^{(2+4/C)/3}.$$

If $\kappa = 2$ the power of $\log q$ above is increased to $8/3$.

Consider the case that f is of level q and weight $\kappa \geq 2$. Then f corresponds under the Shimura lift to a half-integral cusp form F of weight $(\kappa + 1)/2$ and level $4q$. We write the Fourier expansion as

$$F(z) = \sum_{n=1}^{\infty} c_F(n) n^{(k-1)/4} e(nz),$$

so that the Ramanujan–Petersson conjecture gives that the Fourier coefficients are $c_F(n) \ll_{\varepsilon} n^{\varepsilon}$, for n odd square-free and $(q, n) = 1$. Via e.g. Corollary 1 of Kohnen [13] we derive the following estimate.

Corollary 2 *Let F be a level $4q$ half-integral weight $(\kappa + 1)/2$ cusp form with $\kappa \geq 2$. If n odd square-free and relatively prime to q with $\chi_n(-1) = i^{\kappa}$ then*

$$c_F(n) \ll_F n^{1/6} (\log n)^{7/6} C^{v(n)/6} (\log(v(n) + 1))^{(2+4/C)/3}.$$

If $\kappa = 2$ the power of $\log n$ above is increased to $4/3$.

Corollary 2 has applications to the rate of equidistribution of integral points on ellipsoids, including the most interesting case of those lying in \mathbb{R}^3 . See Iwaniec [10] chapter 11.

As in Conrey and Iwaniec's original paper [6] we have given complete proofs only in the case of holomorphic forms, as the most interesting application of the Motohashi-like formula in Theorem 2 is the case of small weight $\kappa = 2$. Nonetheless, the proofs should carry over to the case of Maass forms of weight 0 and Eisenstein series by replacing the Petersson formula with the Kuznetsov formula. Making this substitution changes the J -Bessel function to a more general integral transform of the chosen

weight function on the spectral side. The formula (29) for the J -Bessel function that we use in Sect. 4 has an analogue which is needed in the Kuznetsov case, in which the interior sin in (29) is replaced by any of $\pm\{\cos, \sin, \cosh, \sinh\}$. See the work of Young [19] where a similar stationary phase argument is carried out in the generality needed for the Kuznetsov formula.

2 Standard initial steps

Let

$$V_{1/2+\alpha_i}(x) \stackrel{\text{def}}{=} \frac{1}{2\pi i} \int_{(2)} \frac{\Gamma(s + \kappa/2)}{(s - \alpha_i)} (2\pi)^{-s} x^{-s} ds \quad (4)$$

and

$$\Lambda_0(\alpha_i, \chi) \stackrel{\text{def}}{=} \sum_{n=1}^{\infty} \frac{\lambda_f(n) \chi(n)}{n^{1/2}} V_{1/2+\alpha_i}(n/q).$$

We then have a standard approximate functional equation.

Proposition 1 (Approximate functional equation) *We have*

$$\Lambda(1/2 + \alpha_i, f \otimes \chi) = \Lambda_0(\alpha_i, \chi) + \Lambda_0(-\alpha_i, \chi).$$

Proof See Iwaniec and Kowalski [11] section 5.2. □

Applying this we obtain

$$\begin{aligned} & \sum_{f \in \mathcal{F}_\kappa(q)} \omega_f \Lambda(1/2 + \alpha_1, f \otimes \chi) \Lambda(1/2 + \alpha_2, f \otimes \chi) \Lambda(1/2 + \alpha_3, f \otimes \chi) \\ &= \sum_{\sigma \in (\mathbb{Z}/2\mathbb{Z})^3} \Delta(\mathcal{F}, \sigma \alpha), \end{aligned}$$

where

$$\Delta(\mathcal{F}, \alpha) \stackrel{\text{def}}{=} \prod_{i=1}^3 \sum_{n_i=1}^{\infty} \frac{\chi(n_i)}{n_i^{1/2}} V_{1/2+\alpha_i}(n_i/q) \sum_{f \in \mathcal{F}_\kappa(q)} \omega_f \lambda_f(n_1) \lambda_f(n_2) \lambda_f(n_3).$$

We work with a single $\Delta(\mathcal{F}, \alpha)$ and leave the sum over $(\mathbb{Z}/2\mathbb{Z})^3$ to the end.

Next we apply the Petersson trace formula to $\Delta(\mathcal{F}, \alpha)$. Following Conrey and Iwaniec formulae (2.9) and (2.11) we set

$$J(x) \stackrel{\text{def}}{=} 4\pi i^\kappa x^{-1} J_{\kappa-1}(2\pi x)$$

with $J_\nu(y)$ the standard J -Bessel function of the first kind. The Petersson formula is

$$\sum_{f \in \mathcal{F}_\kappa(q)} \omega_f \lambda_f(m) \lambda_f(n) = \delta_{m=n} + \sqrt{mn} \sum_{\substack{c \equiv 0 \\ (\bmod q)}} \frac{S(m, n, c)}{c^2} J(2\sqrt{mn}/c), \quad (5)$$

where

$$S(m, n, c) \stackrel{\text{def}}{=} \sum_{\substack{a \pmod{c} \\ (a, c) = 1}} e\left(\frac{am + \bar{a}n}{c}\right)$$

is the standard Kloosterman sum. Let $e_c(x) = e(x/c) = e^{2\pi i x/c}$ and define

$$V(x_1, x_2, x_3) \stackrel{\text{def}}{=} V_{1/2+\alpha_1}(x_1) \sum_{(d, q)=1} \frac{1}{d} V_{1/2+\alpha_2}(dx_2) V_{1/2+\alpha_3}(dx_3).$$

Using Hecke multiplicativity and the Petersson formula (5), we find that

$$\Delta(\mathcal{F}, \alpha) = \mathcal{D}_\alpha + \sum_{c \equiv 0(q)} \frac{\mathcal{S}_\alpha(c)}{c^2}, \quad (6)$$

where the diagonal is given by

$$\mathcal{D}_\alpha \stackrel{\text{def}}{=} \sum_{(n_1, q)=1} \frac{1}{n_1} \sum_{n_1=n_2 n_3} V\left(\frac{n_1}{q}, \frac{n_2}{q}, \frac{n_3}{q}\right)$$

and the off-diagonal is given by

$$\mathcal{S}_\alpha(c) \stackrel{\text{def}}{=} \sum_{(n_1, n_2, n_3) \in \mathbb{N}^3} \chi(n_1 n_2 n_3) S(n_1, n_2 n_3, c) J\left(2 \frac{\sqrt{n_1 n_2 n_3}}{c}\right) V\left(\frac{n_1}{q}, \frac{n_2}{q}, \frac{n_3}{q}\right).$$

We now apply Poisson summation 3 times to $\mathcal{S}_\alpha(c)$ and change variables to find that

$$\mathcal{S}_\alpha(c) = \sum_{(m_1, m_2, m_3) \in \mathbb{Z}^3} G(m_1, m_2, m_3, c) \check{W}_\alpha(m_1, m_2, m_3, c), \quad (7)$$

where following the notation of Conrey and Iwaniec, we have defined

$$G(m_1, m_2, m_3, c) \stackrel{\text{def}}{=} \sum_{(a_1, a_2, a_3) \in (\mathbb{Z}/c\mathbb{Z})^3} \chi(a_1 a_2 a_3) S(a_1, a_2 a_3, c) e_c(m_1 a_1 + m_2 a_2 + m_3 a_3)$$

and

$$\check{W}_\alpha(m_1, m_2, m_3, c) \stackrel{\text{def}}{=} \iiint_{\mathbb{R}_{>0}^3} J(2\sqrt{cx_1x_2x_3}) V\left(\frac{cx_1}{q}, \frac{cx_2}{q}, \frac{cx_3}{q}\right) \times e(-m_1x_1 - m_2x_2 - m_3x_3) dx_1 dx_2 dx_3. \quad (8)$$

The $G(m_1, m_2, m_3, c)$ here is identical to that of Conrey and Iwaniec studied in sections 10, 11, 13 and 14 of their paper, and is independent of α . We study \check{W}_α extensively in Sect. 4. The formula (7) gives a decomposition of $\mathcal{S}_\alpha(c)$ into archimedean and non-archimedean parts, that is to say, G is purely arithmetic and \check{W}_α is purely analytic.

3 The main terms

In this section we prove that

$$\begin{aligned} & \sum_{\sigma \in (\mathbb{Z}/2\mathbb{Z})^3} \left(\mathcal{D}_{\sigma\alpha} + \sum_{c \equiv 0 \pmod{q}} \frac{1}{c^2} \sum_{m_1 m_2 m_3 = 0} G(m_1, m_2, m_3, c) \check{W}_{\sigma\alpha}(m_1, m_2, m_3, c) \right) \\ &= \sum_{\sigma \in (\mathbb{Z}/2\mathbb{Z})^3} MT(\mathcal{F}, \sigma\alpha) + O_\varepsilon(q^{-1/3+\varepsilon}). \end{aligned}$$

Set

$$L(u_1, u_2, u_3) \stackrel{\text{def}}{=} (u_1 + u_2)(u_2 + u_3)(u_3 + u_1) MT(\mathcal{F}, u). \quad (9)$$

The function L is holomorphic, symmetric, and rapidly decaying in vertical strips in the region

$$\{(u_1, u_2, u_3) \in \mathbb{C}^3 \mid \operatorname{Re}(u_i) > -\kappa/2 \text{ for } i = 1, 2, 3\}.$$

One has

$$\begin{aligned} \mathcal{D}_\alpha &= \frac{1}{(2\pi i)^3} \int_{(1/3)} \int_{(5/12)} \int_{(1/2)} \\ &\quad \times \frac{L(u_1, u_2, u_3)}{(u_1 - \alpha_1)(u_2 - \alpha_2)(u_3 - \alpha_3)(u_1 + u_2)(u_2 + u_3)(u_3 + u_1)} du_3 du_2 du_1. \end{aligned} \quad (10)$$

Shifting the contours in (10) produces terms of the form

$$M(\alpha, \beta, \gamma) \stackrel{\text{def}}{=} \frac{1}{2\pi i} \int_{(1/3)} \frac{L(u, -u, \gamma)}{(u - \alpha)(-u - \beta)(\gamma - u)(\gamma + u)} du. \quad (11)$$

The function $L(u, -u, \gamma)$ is at least constant-sized on any vertical strip, so we cannot resolve $M(\alpha, \beta, \gamma)$ by contour shifting. However, if we set

$$N(\alpha, \beta, \gamma) \stackrel{\text{def}}{=} M(\alpha, \beta, \gamma) + \frac{L(\beta, -\beta, \gamma)}{(-\beta - \alpha)(\gamma + \beta)(\gamma - \beta)} + \frac{L(\gamma, -\gamma, \gamma)}{(\gamma - \alpha)(-\gamma - \beta)(2\gamma)}$$

then shifting contours gives

$$N(\alpha, \beta, \gamma) = N(\beta, \alpha, \gamma). \quad (12)$$

An intricate but elementary contour shift calculation shows that

$$\begin{aligned} \mathcal{D}_\alpha &= MT(\mathcal{F}, \alpha) + N(\alpha_2, \alpha_3, \alpha_1) + N(\alpha_1, \alpha_3, \alpha_2) + N(\alpha_1, \alpha_2, \alpha_3) \\ &\quad + \frac{1}{2} \frac{L(0, 0, 0)}{(-\alpha_1)(-\alpha_2)(-\alpha_3)} + O_\varepsilon(q^{-1/3+\varepsilon}). \end{aligned} \quad (13)$$

The resulting asymptotic formula (13) for \mathcal{D}_α is symmetric in $\alpha_1, \alpha_2, \alpha_3$ due to (12). The terms of (13) given by N and $L(0, 0, 0)$ do not appear in the final answer predicted by the conjectures of the five authors [5]. Some of these terms cancel out after introducing the sum over $(\mathbb{Z}/2\mathbb{Z})^3$, and others will be cancelled by off-diagonal main terms.

Our next goal in this section is to calculate the contribution of those terms of the off-diagonal [see (6)]

$$\begin{aligned} &\sum_{c \equiv 0 \pmod{q}} \frac{\mathcal{S}_\alpha(c)}{c^2} \\ &= \sum_{c \equiv 0 \pmod{q}} \frac{1}{c^2} \sum_{(m_1, m_2, m_3) \in \mathbb{Z}^3} G(m_1, m_2, m_3, c) \check{W}_\alpha(m_1, m_2, m_3, c) \end{aligned}$$

whose indices satisfy $m_1 m_2 m_3 = 0$, i.e. which lie on one of the coordinate planes in \mathbb{Z}^3 .

We use the calculation of the arithmetic sum G from Conrey and Iwaniec. For the full statement of their calculation of G , see Lemma 9 in Sect. 6. In this section we record only the following special cases. Let $R_k(m) = S(0, m, k)$ denote the Ramanujan sum, and assume $m_i \neq 0$ for $i = 1, 2, 3$.

$$G(0, 0, 0, rq) = \begin{cases} \chi(-1)q\phi(q)^2 & r = 1 \\ 0 & r > 1, \end{cases} \quad (14)$$

$$G(m_1, 0, 0, rq) = \chi(-1)r^2 q \phi(q) R_q(m_1) \mathbb{1}_{(m_1 q, r)=1}, \quad (15)$$

$$G(0, m_2, 0, rq) = \begin{cases} \chi(-1)q\phi(q) R_q(m_2) & r = 1 \\ 0 & r > 1, \end{cases} \quad (16)$$

and symmetrically for $G(0, 0, m_3, rq)$,

$$G(0, m_2, m_3, rq) = \begin{cases} \chi(-1)q R_q(m_2) R_q(m_3) & r = 1 \\ 0 & r > 1, \end{cases} \quad (17)$$

$$G(m_1, m_2, 0, rq) = \chi(-1)r^2 q R_q(m_1) R_q(m_2) \mathbb{1}_{(m_1 q, r)=1}, \quad (18)$$

and symmetrically $G(m_1, 0, m_3, rq)$.

To calculate the analytic part, we use the following easily established Mellin transforms.

$$J(2\sqrt{cx_1x_2x_3}) = \frac{\chi(-1)}{2\pi i} \int_{(3/4)} (2\pi)^{2s} \frac{\Gamma(\kappa/2 - s)}{\Gamma(\kappa/2 + s)} (cx_1x_2x_3)^{s-1} ds \quad (19)$$

which is valid à priori on vertical lines $1/4 < \operatorname{Re}(s) < \kappa/2$, see for example formula 17.43.16 of Gradshteyn and Ryzhik [9].

$$e(-mx) = \frac{1}{2\pi i} \int_{(1/2)} \frac{\Gamma(s)}{(2\pi im)^s} x^{-s} ds$$

valid à priori on vertical lines $0 < \operatorname{Re}(s) < 1$, see formulas 17.43.3 and 17.43.4 of [9]. We have

$$V_{1/2+\alpha}(nx) = \frac{1}{2\pi i} \int_{(2)} \frac{\Gamma(\kappa/2 + u)}{(u - \alpha)} (2\pi n)^{-u} x^{-u} du$$

for $n \in \mathbb{N}$ by definition, and

$$\int_0^\infty V_{1/2+\alpha}(nx) e(-mx) x^s \frac{dx}{x} = \frac{1}{2\pi i} \int_{(1/4)} \frac{\Gamma(\kappa/2 + u)}{(u - \alpha)} (2\pi n)^{-u} \frac{\Gamma(s - u)}{(2\pi im)^{s-u}} du \quad (20)$$

by Mellin convolution. Shifting the contour sufficiently far to the left, such a formula is valid for any $\operatorname{Re}(s) > \operatorname{Re}(\alpha)$. One can rigorously justify the interchange of integrations by splitting the x -integral in two and applying integration by parts (i.e. the below Lemma 4) to the tail.

Given these formulae, one easily establishes the following Mellin inversion formulae for the integral $\check{W}_\alpha(m_1, m_2, m_3, c)$ assuming $m_i \neq 0$ for $i = 1, 2, 3$.

$$\check{W}_\alpha(0, 0, 0, q) = \frac{\chi(-1)}{q} \frac{1}{2\pi i} \int_{(3/4)} \frac{\Gamma(\kappa/2 - s) \Gamma(\kappa/2 + s)^2 (q/2\pi)^s}{(s - \alpha_1)(s - \alpha_2)(s - \alpha_3)} \zeta_q(1 + 2s) ds, \quad (21)$$

$$\begin{aligned} \check{W}_\alpha(m_1, 0, 0, rq) &= \frac{\chi(-1)}{rq} \frac{1}{2\pi i} \int_{(3/4)} \frac{\Gamma(\kappa/2 - s) \Gamma(\kappa/2 + s)}{(s - \alpha_2)(s - \alpha_3)} \zeta_q(1 + 2s) \left(\frac{q}{r|m_1|} \right)^s \\ &\quad \times \frac{1}{2\pi i} \int_{(1/4)} \frac{\Gamma(\kappa/2 + u)}{(u - \alpha_1)} \left(\frac{|m_1|}{2\pi r} \right)^u \frac{\Gamma(s - u)}{(2\pi i \operatorname{sgn} m_1)^{s-u}} du ds, \end{aligned} \quad (22)$$

$$\begin{aligned} \check{W}_\alpha(0, m_2, 0, q) &= \frac{\chi(-1)}{q} \frac{1}{2\pi i} \int_{(3/4)} \frac{\Gamma(\kappa/2 - s) \Gamma(\kappa/2 + s)}{(s - \alpha_1)(s - \alpha_3)} \left(\frac{q}{|m_2|} \right)^s \\ &\quad \times \frac{1}{2\pi i} \int_{(1/4)} \frac{\Gamma(\kappa/2 + u)}{(u - \alpha_2)} \left(\frac{|m_2|}{2\pi} \right)^u \frac{\Gamma(s - u)}{(2\pi i \operatorname{sgn} m_2)^{s-u}} du ds, \end{aligned} \quad (23)$$

and similarly if the roles of m_2 and m_3 are reversed,

$$\begin{aligned} & \check{W}_\alpha(0, m_2, m_3, q) \\ &= \frac{\chi(-1)}{q} \frac{1}{2\pi i} \int_{(3/4)} \frac{\Gamma(\kappa/2 - s)}{(s - \alpha_1)} \left(\frac{2\pi q}{|m_2 m_3|} \right)^s \frac{1}{(2\pi i)^2} \iint_{(1/4)} \prod_{i=2}^3 \frac{\Gamma(u_i + \kappa/2)}{(u_i - \alpha_i)} \\ & \quad \times \left(\frac{|m_i|}{2\pi} \right)^{u_i} \frac{\Gamma(s - u_i)}{(2\pi i \operatorname{sgn} m_i)^{s - u_i}} \zeta_q(1 + u_2 + u_3) du_i ds, \end{aligned} \quad (24)$$

$$\begin{aligned} & \check{W}_\alpha(m_1, m_2, 0, r q) \\ &= \frac{\chi(-1)}{r q} \frac{1}{2\pi i} \int_{(3/4)} \frac{\Gamma(\kappa/2 - s)}{(s - \alpha_3)} \left(\frac{2\pi q}{|m_1 m_2|} \right)^s \frac{1}{(2\pi i)^2} \iint_{(1/4)} \prod_{i=1}^2 \frac{\Gamma(u_i + \kappa/2)}{(u_i - \alpha_i)} \\ & \quad \times \left(\frac{|m_i|}{2\pi r} \right)^{u_i} \frac{\Gamma(s - u_i)}{(2\pi i \operatorname{sgn} m_i)^{s - u_i}} \zeta_q(1 + s + u_1) du_i ds, \end{aligned} \quad (25)$$

and similarly if the role of m_2 is played by m_3 instead. Note in particular that all of holomorphic functions appearing above are rapidly decaying in the appropriate vertical strips, so we are free to use contour shift arguments in the following.

Recall the definition of $L(u_1, u_2, u_3)$ from (9).

Lemma 1 *We have as $q \rightarrow \infty$ that*

$$\begin{aligned} & \sum_{r=1}^{\infty} \frac{1}{r^2 q^2} G(0, 0, 0, r q) \check{W}_\alpha(0, 0, 0, r q) \\ &= \frac{L(\alpha_1, \alpha_1, -\alpha_1)}{(\alpha_1 - \alpha_2)(\alpha_1 - \alpha_3)(2\alpha_1)} + \frac{L(\alpha_2, \alpha_2, -\alpha_2)}{(\alpha_2 - \alpha_1)(\alpha_2 - \alpha_3)(2\alpha_2)} \\ & \quad + \frac{L(\alpha_3, \alpha_3, -\alpha_3)}{(\alpha_3 - \alpha_1)(\alpha_3 - \alpha_2)(2\alpha_3)} + \frac{1}{2} \frac{L(0, 0, 0)}{(-\alpha_1)(-\alpha_2)(-\alpha_3)} + O(q^{-1/2}). \end{aligned}$$

Proof Follows directly from (14), (21), and a contour shift. \square

Now recall the definition of $M(u_1, u_2, u_3)$ from (11).

Lemma 2 *We have as $q \rightarrow \infty$ that*

$$\sum_{r=1}^{\infty} \sum_{m_2 \neq 0} \frac{G(0, m_2, 0, r q)}{r^2 q^2} \check{W}_\alpha(0, m_2, 0, r q) = -M(\alpha_1, -\alpha_3, \alpha_2) + O(q^{-1/2}),$$

and similarly

$$\sum_{r=1}^{\infty} \sum_{m_3 \neq 0} \frac{G(0, 0, m_3, r q)}{r^2 q^2} \check{W}_\alpha(0, 0, m_3, r q) = -M(\alpha_1, -\alpha_2, \alpha_3) + O(q^{-1/2}).$$

Proof We prove only the first formula as the second is identical after swapping m_2 and m_3 . Putting (16) and (23) together we are led to consider the Dirichlet series associated with the Ramanujan sums $R_q(m)$. We have the nice formula

$$\sum_{m \geq 1} \frac{R_q(m)}{m^s} = \zeta(s) q^{1-s} \prod_{p|q} \left(1 - p^{-(1-s)}\right), \quad (26)$$

and in fact we have

$$\frac{\Gamma(s-u)}{(2\pi i)^{s-u}} \sum_{m_2 \geq 1} \frac{R_q(m_2)}{m_2^{s-u}} + \frac{\Gamma(s-u)}{(-2\pi i)^{s-u}} \sum_{m_2 \leq -1} \frac{R_q(m_2)}{|m_2|^{s-u}} = \zeta_q(1-s+u) q^{1-(s-u)}$$

using the asymmetric functional equation. Then we have that

$$\begin{aligned} & q^{-2} \sum_{m_2 \neq 0} G(0, m_2, 0, q) \check{W}_\alpha(0, m_2, 0, q) \\ &= \frac{\phi(q)}{q} \frac{1}{2\pi i} \int_{(3/4)} \frac{\Gamma(\kappa/2-s)\Gamma(\kappa/2+s)}{(s-\alpha_1)(s-\alpha_3)} \frac{1}{2\pi i} \int_{(1/4)} \frac{\Gamma(k/2+u)}{(u-\alpha_2)} \\ & \quad \times \left(\frac{q}{2\pi}\right)^u \zeta_q(1+s+u) \zeta_q(1-s+u) du ds. \end{aligned}$$

We may now shift the u integral to $\operatorname{Re}(u) = -1/2$ and pick up the residue at $u = \alpha_2$ to conclude the lemma. \square

Lemma 3 *We have as $q \rightarrow \infty$ that*

$$\sum_{r=1}^{\infty} \frac{1}{r^2 q^2} \sum_{m_1 \neq 0} G(m_1, 0, 0, rq) \check{W}_\alpha(m_1, 0, 0, rq) = -M(\alpha_2, -\alpha_3, \alpha_1) + O(q^{-1/2}).$$

Proof In similar fashion to the proof of the previous lemma we combine formulas (15) and (22). The sum over m_1 leads us to use the formula

$$\sum_{\substack{m_1 \neq 0 \\ (m_1, r)=1}} \frac{R_q(m_1)}{|m_1|^{s-u}} \frac{\Gamma(s-u)}{(2\pi i \operatorname{sgn} m_1)^{s-u}} = \zeta_q(1-s+u) \prod_{p|r} \left(1 - p^{-(s-u)}\right) q^{1-(s-u)}.$$

We next use the formula

$$\sum_{\substack{r \geq 1 \\ (r, q)=1}} \frac{1}{r^{1+s+u}} \prod_{p|r} \left(1 - p^{-(s-u)}\right) = \frac{\zeta_q(1+s+u)}{\zeta_q(1+2s)}$$

to evaluate the sum over r . Assembling these pieces we have that

$$\begin{aligned}
& \sum_{r=1}^{\infty} \frac{1}{r^2 q^2} \sum_{m_1 \neq 0} G(m_1, 0, 0, r q) \check{W}_{\alpha}(m_1, 0, 0, r q) \\
&= \frac{\phi(q)}{q} \frac{1}{2\pi i} \int_{(3/4)} \frac{\Gamma(\kappa/2 - s) \Gamma(\kappa/2 + s)}{(s - \alpha_2)(s - \alpha_3)} \\
&\quad \times \frac{1}{2\pi i} \int_{(1/4)} \frac{\Gamma(\kappa/2 + u)}{(u - \alpha_1)} \left(\frac{q}{2\pi}\right)^u \zeta_q(1 + s + u) \zeta_q(1 - s + u) du ds.
\end{aligned}$$

We may now shift the u integral to $\operatorname{Re}(u) = -1/2$ and pick up the residue at $u = \alpha_1$ to conclude the lemma. \square

We have now found all of the main terms for this cubic moment which are predicted by the conjectures of Conrey et al. [5]. Re-introducing the sum over $(\mathbb{Z}/2\mathbb{Z})^3$ from Sect. 2 the reader will observe the cancellation of many terms from (13) and Lemmas 1, 2 and 3.

Although not contributing to the main terms, observe the terms which come from (m_1, m_2, m_3) lying on a coordinate plane but not on a coordinate axis also are easily estimable by the techniques of this section. For example combining equations (17), (24) and formulas similar to (26) one is led to

$$\begin{aligned}
& q^{-2} \sum_{\substack{m_2 \neq 0 \\ m_3 \neq 0}} G(0, m_2, m_3, q) \check{W}_{\alpha}(0, m_2, m_3, q) \\
&= \frac{1}{2\pi i} \int_{(3/4)} (2\pi)^s \frac{\Gamma(\kappa/2 - s)}{(s - \alpha_1)} q^{-s} \frac{1}{(2\pi i)^2} \iint_{(1/8)} \prod_{i=2}^3 \frac{\Gamma(\kappa/2 + u_i)}{(u_i - \alpha_i)} \left(\frac{q}{2\pi}\right)^{u_i} \\
&\quad \times \zeta_q(1 - s + u_i) \zeta_q(1 + u_2 + u_3) du_i ds \ll q^{-1/2}.
\end{aligned}$$

One may treat in exactly the same fashion the sums arising from the terms (18) and (25) and likewise find that these are $\ll q^{-1/2}$.

4 An integral

In this section we give Mellin formulas for the $m_1 m_2 m_3 \neq 0$ case of the integral $\check{W}_{\alpha}(m_1, m_2, m_3, c)$ (cf. Lemma 8.1 of [6] or [19]). Recall the definition of \check{W}_{α} :

$$\begin{aligned}
\check{W}_{\alpha} &= \iiint_{\mathbb{R}^3} J(2\sqrt{cx_1 x_2 x_3}) V\left(\frac{cx_1}{q}, \frac{cx_2}{q}, \frac{cx_3}{q}\right) \\
&\quad \times e(-m_1 x_1 - m_2 x_2 - m_3 x_3) dx_1 dx_2 dx_3.
\end{aligned}$$

For large arguments the function $J(x)$ oscillates with unit period. We run an elaborate stationary phase argument on the Bessel function and the complex exponentials above to derive a Mellin formula for \check{W}_{α} . In the cases where no stationary point of the phase exists we are able to compute the Mellin transforms directly. Our stationary phase argument is based on section 8 of a paper of Blomer et al. [3] but adapted to three variables using ideas from Stein [17] chapter VIII.

Proposition 2 Suppose that either all $m_i > 0$ or all $m_i < 0$. We have that

$$\check{W}_\alpha(m_1, m_2, m_3, c) = T_1 + T_2 + O\left(\min\left(q^{-2014}, \frac{q^{12}}{c^9} \frac{1}{|m_1 m_2 m_3|^2}\right)\right) \quad (27)$$

where

$$T_1 = e\left(-\frac{m_1 m_2 m_3}{c}\right) \frac{\chi(-1)}{c} \frac{1}{(2\pi i)^4} \int_{(3/4)} \iiint_{(1/16)} \frac{U_1(s, u_1, u_2, u_3) \zeta_q(1+u_2+u_3)}{(u_1-\alpha_1)(u_2-\alpha_2)(u_3-\alpha_3)} \\ \times \left(\frac{c}{|m_1 m_2 m_3|}\right)^s \left(\frac{|m_2 m_3|}{q}\right)^{-u_1} \left(\frac{|m_1 m_3|}{q}\right)^{-u_2} \left(\frac{|m_1 m_2|}{q}\right)^{-u_3} du_1 du_2 du_3 ds$$

for a holomorphic function U_1 rapidly decaying in the vertical strips

$$-\frac{1}{2} - \operatorname{Re}\left(\sum u_i\right) < \operatorname{Re}(s) < 1 \\ -\frac{\kappa}{2} < \operatorname{Re}(u_i).$$

Similarly

$$T_2 = \frac{\chi(-1)}{c} \frac{1}{(2\pi i)^4} \int_{(3/4)} \iiint_{(1/16)} \frac{U_2(s, u_1, u_2, u_3) \zeta_q(1+u_2+u_3)}{(u_1-\alpha_1)(u_2-\alpha_2)(u_3-\alpha_3)} \\ \times \left(\frac{c}{|m_1 m_2 m_3|}\right)^s \left(\frac{|m_2 m_3|}{q}\right)^{-u_1} \left(\frac{|m_1 m_3|}{q}\right)^{-u_2} \left(\frac{|m_1 m_2|}{q}\right)^{-u_3} du_1 du_2 du_3 ds$$

for a holomorphic function U_2 rapidly decaying in the vertical strips

$$\max_{i \neq j} \left(-\operatorname{Re}(u_i + u_j), -\operatorname{Re}\left(\sum u_i\right)\right) < \operatorname{Re}(s) < \frac{\kappa}{2} - \operatorname{Re}\left(\sum u_i\right) \\ -\frac{\kappa}{2} < \operatorname{Re}(u_i). \quad (28)$$

Moreover, U_2 has a meromorphic continuation with a simple polar divisor at $s + u_1 + u_2 + u_3 = \kappa/2$.

Suppose now that the m_i are of mixed signs. We have

$$\check{W}_\alpha(m_1, m_2, m_3, c) = T_3(m_1, m_2, m_3, c)$$

where T_3 has the same definition as T_2 , but where the holomorphic function also depends on the signs of the m_i . Otherwise each of these holomorphic functions have exactly the same above properties as U_2 .

Proof We consider first the most difficult case when all $m_i > 0$ or all $m_i < 0$. Computing directly the Mellin transform of \check{W}_α in these cases gives a function which does not decay rapidly in vertical strips. We instead apply the method of stationary phase. We use the formula

$$J_{\kappa-1}(x) = \frac{1}{\pi} \int_0^\pi \cos(x \sin \theta - (\kappa - 1)\theta) d\theta, \quad (29)$$

valid for integral $\kappa - 1$, from which we find

$$J(x) = 2\chi(-1) \sum_{\pm} \int_0^\pi e(\mp(\kappa - 1)\theta/2\pi) \frac{e(\pm x \sin \theta)}{x} d\theta.$$

We insert this formula for J in the definition of \check{W}_α and pull the integrals over θ outside. Let $w_1(t)$ denote a smooth function on $\mathbb{R}_{>0}$ which is identically 0 for $t \leq 1/2$ and identically 1 for $t \geq 1$. Set $c_\theta = c \sin^2 \theta$. Split the 3 defining integrals of \check{W}_α at $c_\theta x_1 x_2 x_3 = 1$ using the function w_1 . Below the hyperboloid $c_\theta x_1 x_2 x_3 = 1$ we move the θ integrals back inside. On a first reading the reader will lose no essential details by taking $\theta = \pi/2$ so that $c_\theta = c$, as this is the “true” phase of the Bessel function for large x anyhow. These maneuvers result in the decomposition:

$$\begin{aligned} \check{W}_\alpha &= \check{R}_\alpha + 2i^\kappa \int_0^\pi e(-(\kappa - 1)\theta/2\pi) (\sin \theta) \check{U}_\alpha^+(\theta) d\theta \\ &\quad + 2i^\kappa \int_0^\pi e((\kappa - 1)\theta/2\pi) (\sin \theta) \check{U}_\alpha^-(\theta) d\theta, \end{aligned} \quad (30)$$

where:

- The term \check{R}_α represents the integral under the hyperbola, i.e.

$$\begin{aligned} \check{R}_\alpha &\stackrel{\text{def}}{=} \iiint_{\mathbb{R}^3} R(2\sqrt{cx_1 x_2 x_3}) V\left(\frac{cx_1}{q}, \frac{cx_2}{q}, \frac{cx_3}{q}\right) \\ &\quad \times e(-m_1 x_1 - m_2 x_2 - m_3 x_3) dx_1 dx_2 dx_3 \end{aligned}$$

with

$$R(x) \stackrel{\text{def}}{=} \frac{4\chi(-1)}{x} \int_0^\pi \cos(2\pi x \sin \theta - (\kappa - 1)\theta) \left(1 - w_1\left((x \sin \theta)^2/4\right)\right) d\theta.$$

The function $R(x)$ is identically equal to $J(x)$ when $x < \sqrt{2}$, satisfies the bound $x^n R^{(n)}(x) \ll_n 1$ for all $n \in \mathbb{N}$, and is $\ll_\kappa x^{-2}$ for large x .

- The $\check{U}_\alpha^\pm(\theta)$ are oscillatory integrals to which we apply the method of stationary phase. Specifically, define the amplitude

$$V(\mathbf{x}) \stackrel{\text{def}}{=} V\left(\frac{cx_1}{q}, \frac{cx_2}{q}, \frac{cx_3}{q}\right) \frac{w_1(c_\theta x_1 x_2 x_3)}{2\sqrt{c_\theta x_1 x_2 x_3}} \quad (31)$$

and the phase

$$f^\pm(\mathbf{x}) \stackrel{\text{def}}{=} \pm 2\sqrt{c_\theta x_1 x_2 x_3} - m_1 x_1 - m_2 x_2 - m_3 x_3.$$

Then the oscillatory integrals are given by

$$\check{U}_\alpha^\pm \stackrel{\text{def}}{=} \iiint_{\mathbb{R}_{>0}^3} V(\mathbf{x}) e(f^\pm(\mathbf{x})) d\mathbf{x}.$$

The amplitude function is non-oscillatory, i.e. it satisfies

$$x_1^{n_1} x_2^{n_2} x_3^{n_3} \partial^{(n_1, n_2, n_3)} V(\mathbf{x}) \ll_{n_1, n_2, n_3, A} \left(1 + \frac{c|x_1|}{q}\right)^{-A} \left(1 + \frac{c|x_2|}{q}\right)^{-A} \left(1 + \frac{c|x_3|}{q}\right)^{-A}.$$

We will be able to give the Mellin transform of \check{R}_α directly so we instead first focus on the oscillatory integrals \check{U}_α^\pm . A critical point of $f^+(\mathbf{x})$ exists in the positive octant of $\mathbf{x} \in \mathbb{R}^3$ if and only if all $m_i > 0$. Similarly a critical point of $f^-(\mathbf{x})$ exists in the positive octant of $\mathbf{x} \in \mathbb{R}^3$ if and only if all $m_i < 0$. We focus on the \check{U}_α^+ and “all $m_i > 0$ ” case until further notice, the “all $m_i < 0$ ” case being treated similarly. In the \check{U}_α^+ case the unique critical point occurs at $x_0 = (\frac{m_2 m_3}{c_\theta}, \frac{m_1 m_3}{c_\theta}, \frac{m_1 m_2}{c_\theta})$ and we apply the method of stationary phase to \check{U}_α^+ at x_0 .

Before all else, if any of the m_i or c are extremely large relative to q , we may integrate \check{U}_α^\pm by parts several times to obtain the error term in (27). This allows us to keep track of only the q dependence in the error terms in the following application of the stationary phase method.

We recall an extremely useful Lemma of Blomer, Khan and Young from [3]. The essence of this Lemma is “integration by parts”.

Lemma 4 (Blomer–Khan–Young) *Let $Y \geq 1$, $X, Q, U, R > 0$, and suppose that w is a smooth function with support on $[\alpha, \beta]$, satisfying*

$$w^{(j)}(t) \ll_j XU^{-j}.$$

Suppose h is a smooth function on $[\alpha, \beta]$ such that

$$|h'(t)| \geq R$$

for some $R > 0$, and

$$h^{(j)}(t) \ll_j YQ^{-j}, \quad \text{for } j = 2, 3, \dots$$

Then the integral I defined by

$$I = \int_{-\infty}^{\infty} w(t) e^{ih(t)} dt$$

satisfies

$$I \ll_A (\beta - \alpha) X [(QR/\sqrt{Y})^{-A} + (RU)^{-A}],$$

where the implied constants depend only on A .

Apply a smooth dyadic partition of unity to the integral \check{U}_α^+ which localizes the variable x_i at X_i . In Lemma 4 we take

$$\begin{aligned} X &= 1, \\ U &= X_i, \\ R &= X_i^{-1} \left(\sqrt{c_\theta X_1 X_2 X_3} - m_i X_i \right) \\ Y &= \sqrt{c_\theta X_1 X_2 X_3} \\ Q &= X_i. \end{aligned}$$

Let $\varepsilon_1, \varepsilon_2 > 0$ be small real numbers. Lemma 4 says that the integral \check{U}_α^+ on the X_1, X_2, X_3 -piece of the partition is extremely small if any of the following three hold:

$$\begin{aligned} \left| \sqrt{c_\theta X_1 X_2 X_3} - m_1 X_1 \right| &> q^{\varepsilon_1} \text{ and } \left| \sqrt{c_\theta X_1 X_2 X_3} - m_1 X_1 \right| > q^{\varepsilon_2} (c_\theta X_1 X_2 X_3)^{1/4} \\ \left| \sqrt{c_\theta X_1 X_2 X_3} - m_2 X_2 \right| &> q^{\varepsilon_1} \text{ and } \left| \sqrt{c_\theta X_1 X_2 X_3} - m_2 X_2 \right| > q^{\varepsilon_2} (c_\theta X_1 X_2 X_3)^{1/4} \\ \left| \sqrt{c_\theta X_1 X_2 X_3} - m_3 X_3 \right| &> q^{\varepsilon_1} \text{ and } \left| \sqrt{c_\theta X_1 X_2 X_3} - m_3 X_3 \right| > q^{\varepsilon_2} (c_\theta X_1 X_2 X_3)^{1/4}. \end{aligned} \quad (32)$$

We study the complimentary set to these inequalities where Lemma 4 is not applicable. The description of this set and the behavior of our integral naturally breaks into two cases depending on the size of $m_1 m_2 m_3 / c_\theta$.

Lemma 5 (Localization 1) *Suppose that $m_1 m_2 m_3 / c_\theta > q^\delta$ for some small $\delta > 0$. Let $R_1 \subset \mathbb{R}_{>0}^3$ be the region surrounding the point*

$$x_0 = \left(\frac{m_2 m_3}{c_\theta}, \frac{m_1 m_3}{c_\theta}, \frac{m_1 m_2}{c_\theta} \right)$$

cut out by the inequalities

$$\begin{aligned} \left| \frac{m_2 m_3}{c_\theta} - x_1 \right| &\leq \frac{q^{\delta/2}}{m_1} \sqrt{\frac{m_1 m_2 m_3}{c_\theta}} \\ \left| \frac{m_1 m_3}{c_\theta} - x_2 \right| &\leq \frac{q^{\delta/2}}{m_2} \sqrt{\frac{m_1 m_2 m_3}{c_\theta}} \\ \left| \frac{m_1 m_2}{c_\theta} - x_3 \right| &\leq \frac{q^{\delta/2}}{m_3} \sqrt{\frac{m_1 m_2 m_3}{c_\theta}}. \end{aligned}$$

Let $w_0(t)$ denote a smooth function identically 1 if $|t| \leq 1/2$ and identically 0 if $|t| \geq 1$ and

$$L_1 = \iiint_{\mathbb{R}^3} V(\mathbf{x}) e(f^+(\mathbf{x})) \prod_{i=1}^3 w_0 \left(\frac{\left| \frac{m_1 m_2 m_3}{c_\theta} - m_i x_i \right|}{q^{\delta/2} \sqrt{m_1 m_2 m_3 / c_\theta}} \right) d\mathbf{x}.$$

We have for sufficiently large q that

$$\check{U}_\alpha^+ = L_1 + O_\delta(q^{-2014}).$$

Proof We apply Lemma 4 to the integral \check{U}_α^+ . Let

$$A_{1,j} \stackrel{\text{def}}{=} |\sqrt{c_\theta x_1 x_2 x_3} - m_j x_j| \leq q^{\varepsilon_1}$$

and

$$A_{2,j} \stackrel{\text{def}}{=} |\sqrt{c_\theta x_1 x_2 x_3} - m_j x_j| \leq q^{\varepsilon_2} (c_\theta x_1 x_2 x_3)^{1/4}$$

be regions in $\mathbb{R}_{>0}^3$. The complement in $\mathbb{R}_{>0}^3$ of the region defined by (32) is

$$\bigcup_{\substack{i_1=1,2 \\ i_2=1,2 \\ i_3=1,2}} A_{i_1,1} \cap A_{i_2,2} \cap A_{i_3,3} \quad (33)$$

for any positive ε_1 and ε_2 . The integrand of \check{U}_α^+ is supported within $c_\theta x_1 x_2 x_3 > 1/2$, so taking $\varepsilon = \varepsilon_2 = \varepsilon_1 + \log 2 / \log q$ the region $A_{2,1} \cap A_{2,2} \cap A_{2,3}$ contains all of the other regions in (33). Therefore it suffices to study the region

$$\{c_\theta x_1 x_2 x_3 > 1/2\} \cap A_{2,1} \cap A_{2,2} \cap A_{2,3}. \quad (34)$$

We split $\mathbb{R}_{>0}^3$ into two disjoint cases: all $m_i x_i < 3(c_\theta x_1 x_2 x_3)^{1/4} q^\varepsilon$ or at least one $m_i x_i \geq 3(c_\theta x_1 x_2 x_3)^{1/4} q^\varepsilon$. The former case defines a region which lies completely under the hyperboloid $c_\theta x_1 x_2 x_3 < 1/2$ when $\varepsilon \leq \delta/3 - \frac{\log 2^{1/12}/3}{\log q}$ so we assume this restriction on ε and focus on the case that at least one $m_i x_i \geq 3(c_\theta x_1 x_2 x_3)^{1/4} q^\varepsilon$. By applying the triangle inequality to pairs $|m_i x_i - m_j x_j|$ and (34) we have

$$\begin{aligned} \sqrt{c_\theta x_1 x_2 x_3} &\geq q^\varepsilon (c_\theta x_1 x_2 x_3)^{1/4} \\ m_i x_i &\geq q^\varepsilon (c_\theta x_1 x_2 x_3)^{1/4} \end{aligned} \quad (35)$$

for $i = 1, 2, 3$. By multiplying together the inequalities (34) we have

$$\begin{aligned} \left| \frac{m_1 m_2 m_3}{c_\theta} - m_i x_i \right| &\leq q^\varepsilon (c_\theta x_1 x_2 x_3)^{1/4} \left(1 + \frac{m_1 m_2 x_1 x_2}{c_\theta x_1 x_2 x_3} + \frac{m_1 m_3 x_1 x_3}{c_\theta x_1 x_2 x_3} + \frac{m_2 m_3 x_2 x_3}{c_\theta x_1 x_2 x_3} \right. \\ &\quad \left. + q^\varepsilon \left(\frac{m_1 x_1}{(c_\theta x_1 x_2 x_3)^{3/4}} + \frac{m_2 x_2}{(c_\theta x_1 x_2 x_3)^{3/4}} + \frac{m_3 x_3}{(c_\theta x_1 x_2 x_3)^{3/4}} \right) + q^{2\varepsilon} \frac{1}{(c_\theta x_1 x_2 x_3)^{1/2}} \right). \end{aligned}$$

The inequalities (34) and (35) together imply

$$0 \leq \frac{m_i x_i}{\sqrt{c_\theta x_1 x_2 x_3}} \leq 2$$

for all $i = 1, 2, 3$, and we obtain

$$\left| \frac{m_1 m_2 m_3}{c_\theta} - m_i x_i \right| \leq 13q^\varepsilon (c_\theta x_1 x_2 x_3)^{1/4} + 7q^{2\varepsilon}.$$

Now we also have by multiplying the inequalities (34) that

$$\sqrt{c_\theta x_1 x_2 x_3} \leq \frac{m_1 m_2 m_3}{c_\theta} + 12(c_\theta x_1 x_2 x_3)^{1/4} q^\varepsilon + 7q^{2\varepsilon},$$

so that

$$\left| \frac{m_1 m_2 m_3}{c_\theta} - m_i x_i \right| \leq 13q^\varepsilon \sqrt{\frac{m_1 m_2 m_3}{c_\theta}} + (13(6 + \sqrt{43}) + 7)q^{2\varepsilon}. \quad (36)$$

This region lies strictly above the hyperboloid $c_\theta x_1 x_2 x_3 = 1$ as soon as

$$\frac{m_1 m_2 m_3}{c_\theta} \left(\frac{m_1 m_2 m_3}{c_\theta} - 13q^\varepsilon \sqrt{\frac{m_1 m_2 m_3}{c_\theta}} - (13(6 + \sqrt{43}) + 7)q^{2\varepsilon} \right)^3 > 1,$$

which must become true for sufficiently large q with our previous restriction on ε , and moreover also the region defined by (36) is contained within the one stated in the Lemma.

Thus we may pass to a sufficiently fine partition of unity and apply the stationary phase Lemma 4 to localize the integral \check{U}_α to the region R_1 . \square

Lemma 6 (Localization 2) *Suppose that $m_1 m_2 m_3 / c_\theta \leq q^{\delta_2}$ for some small $\delta_2 > 0$. Let $R_2 \subset \mathbb{R}_{>0}^3$ be the region surrounding the point*

$$x_0 = \left(\frac{m_2 m_3}{c_\theta}, \frac{m_1 m_3}{c_\theta}, \frac{m_1 m_2}{c_\theta} \right)$$

cut out by the inequalities

$$\begin{aligned} \left| \frac{m_2 m_3}{c_\theta} - x_1 \right| &\leq \frac{q^{\delta_2}}{m_1} \\ \left| \frac{m_1 m_3}{c_\theta} - x_2 \right| &\leq \frac{q^{\delta_2}}{m_2} \\ \left| \frac{m_1 m_2}{c_\theta} - x_3 \right| &\leq \frac{q^{\delta_2}}{m_3}. \end{aligned}$$

Let $w_0(t)$ denote a smooth function identically 1 if $|t| \leq 1/2$ and identically 0 if $|t| \geq 1$ and let

$$L_2 = \iiint_{\mathbb{R}^3} V(\mathbf{x}) e(f^+(\mathbf{x})) \prod_{i=1}^3 w_0 \left(\left| \frac{m_1 m_2 m_3}{c_\theta} - m_i x_i \right| q^{-\delta_2} \right) d\mathbf{x}.$$

For sufficiently large q we have that

$$\check{U}_\alpha = L_2 + O_{\delta_2}(q^{-2014}).$$

Proof The proof is similar to that of Lemma 5 so we omit it. \square

Our next goal is to give an asymptotic formula for the integrals L_i in Lemmas 5 and 6. We begin by making a change of variables. Let $\mathcal{U} \subset \mathbb{R}^3$ be the eighth-space defined by

$$\left\{ (v_1, v_2, v_3) \in \mathbb{R}^3 \left| v_1 > -\sqrt{\left(v_2 + m_3\sqrt{\frac{m_1}{c_\theta}}\right)\left(v_3 + m_2\sqrt{\frac{m_1}{c_\theta}}\right)}, \right. \right. \\ \left. \left. v_2 > -\sqrt{\frac{m_1}{c_\theta}}m_3, v_3 > -\sqrt{\frac{m_1}{c_\theta}}m_2 \right\}.$$

Let the change-of-variables diffeomorphism $\varphi : \mathcal{U} \xrightarrow{\sim} \mathbb{R}_{>0}^3$ be defined by

$$\begin{aligned} x_1 &= \frac{1}{m_1} \left(v_1 + \sqrt{\frac{x_2 x_3 c_\theta}{m_1}} \right)^2 \\ x_2 &= \sqrt{\frac{m_1}{c_\theta}} \left(v_2 + m_3 \sqrt{\frac{m_1}{c_\theta}} \right) \\ x_3 &= \sqrt{\frac{m_1}{c_\theta}} \left(v_3 + m_2 \sqrt{\frac{m_1}{c_\theta}} \right). \end{aligned}$$

The change of variables φ transforms the phase function $f^+(\mathbf{x})$ to

$$f^+(\varphi(\mathbf{v})) = -\frac{m_1 m_2 m_3}{c_\theta} - v_1^2 + v_2 v_3,$$

and moves the critical point to the origin

$$\varphi(0) = x_0.$$

This is the same change of variables as found in Conrey and Iwaniec Lemma 8.1. Such a change of variables is guaranteed to exist (locally) by Morse's lemma, see Stein [17] chapter VIII, section 2.3.2. Geometrically, the box R_1 given by Lemma 5 is, up to absolute constants, of size

$$\frac{q^{\delta/2}}{m_1} \sqrt{\frac{m_1 m_2 m_3}{c_\theta}} \times \frac{q^{\delta/2}}{m_2} \sqrt{\frac{m_1 m_2 m_3}{c_\theta}} \times \frac{q^{\delta/2}}{m_3} \sqrt{\frac{m_1 m_2 m_3}{c_\theta}}$$

around the critical point x_0 in the x -space, and the box R_2 given by Lemma 6 is up to absolute constants of size

$$\frac{q^{\delta_2}}{m_1} \times \frac{q^{\delta_2}}{m_2} \times \frac{q^{\delta_2}}{m_3}$$

around the critical point x_0 in the x -space. The regions $\varphi^{-1}(R_1)$ and $\varphi^{-1}(R_2)$ are also right-angle parallelepipeds in v -space. The boxes $\varphi^{-1}(R_1)$ and $\varphi^{-1}(R_2)$ both surround the origin in v -space of are up to absolute constants of size

$$q^{\delta/2} \times q^{\delta/2} \sqrt{\frac{m_3}{m_2}} \times q^{\delta/2} \sqrt{\frac{m_2}{m_3}},$$

and

$$q^{\delta_2} \sqrt{\frac{c_\theta}{m_1 m_2 m_3}} \times \frac{q^{\delta_2}}{m_2} \sqrt{\frac{c_\theta}{m_1}} \times \frac{q^{\delta_2}}{m_3} \sqrt{\frac{c_\theta}{m_1}},$$

respectively (although the origin is no longer in the center of the box in the v_1 direction). The determinant of the Jacobian of the change of variables is

$$(\det \text{Jac } \varphi)(\mathbf{v}) = \frac{2}{c_\theta} \left(v_1 + \sqrt{\left(v_3 + m_2 \sqrt{\frac{m_1}{c_\theta}} \right) \left(v_2 + m_3 \sqrt{\frac{m_1}{c_\theta}} \right)} \right) = \frac{2\sqrt{m_1 x_1}}{c_\theta}.$$

In particular at the stationary point we have

$$(\det \text{Jac } \varphi)(0) = 2 \sqrt{\frac{m_1 m_2 m_3}{c_\theta^3}}.$$

Recall the definition of w_0 from Lemma 5 and $V(\mathbf{x})$ from (31), and set

$$g_1(\mathbf{v}) \stackrel{\text{def}}{=} V(\varphi(\mathbf{v})) |(\det \text{Jac } \varphi)(\mathbf{v})| w_0 \left(C_1 \frac{v_1}{q^{\delta/2}} \right) w_0 \left(\frac{v_2}{q^{\delta/2}} \sqrt{\frac{m_2}{m_3}} \right) w_0 \left(\frac{v_3}{q^{\delta/2}} \sqrt{\frac{m_3}{m_2}} \right) \quad (37)$$

and

$$g_2(\mathbf{v}) \stackrel{\text{def}}{=} V(\varphi(\mathbf{v})) |(\det \text{Jac } \varphi)(\mathbf{v})| w_0 \\ \times \left(C_2 \frac{v_1}{q^{\delta_2}} \sqrt{\frac{m_1 m_2 m_3}{c_\theta}} \right) w_0 \left(\frac{v_2}{q^{\delta_2}} \sqrt{\frac{m_1 m_2^2}{c_\theta}} \right) w_0 \left(\frac{v_3}{q^{\delta_2}} \sqrt{\frac{m_1 m_3^2}{c_\theta}} \right),$$

for some absolute constants C_1 and C_2 . The integrals L_i for $i = 1, 2$ are transformed to

$$L_i = e \left(-\frac{m_1 m_2 m_3}{c_\theta} \right) \iiint_{\mathbb{R}^3} g_i(\mathbf{v}) e(-v_1^2 + v_2 v_3) d\mathbf{v} + O(q^{-2014}).$$

The support of $g_1(\mathbf{v})$ is the right angle parallelepiped given by the functions w_0 in (37). If $m_1 m_2 m_3 / c_\theta > 1/4$ the support of $g_2(\mathbf{v})$ is a right-angle parallelepiped but with possibly also one corner, edge or face truncated by the hyperboloid cut-off by function $V(\varphi(\mathbf{v}))$. In particular, when $m_1 m_2 m_3 / c_\theta > 1/4$ the support of $g_2(\mathbf{v})$ at least contains the stationary point x_0 and $1/8$ -th of the box $\varphi^{-1}(R_2)$ which points in the totally positive direction in v -space. If $m_1 m_2 m_3 / c_\theta \leq 1/4$ then the point x_0 lies outside the support of $g_2(\mathbf{v})$.

By Fourier inversion we have for any small $\varepsilon > 0$ that

$$g_i(\mathbf{v}) = \iiint_{\widehat{R}_i} \widehat{g}_i(\mathbf{y}) e(\mathbf{v} \cdot \mathbf{y}) d\mathbf{y} + O_{\varepsilon, \delta}(q^{-2014})$$

where

$$\widehat{R}_i \stackrel{\text{def}}{=} \begin{cases} \begin{cases} |y_1| \ll q^{\varepsilon - \delta/2} \\ |y_2| \ll \sqrt{\frac{m_2}{m_3}} q^{\varepsilon - \delta/2} \\ |y_3| \ll \sqrt{\frac{m_3}{m_2}} q^{\varepsilon - \delta/2} \end{cases} & \text{if } i = 1 \\ \begin{cases} |y_1| \ll \sqrt{\frac{m_1 m_2 m_3}{c_\theta}} q^{\varepsilon - \delta_2} \\ |y_2| \ll \sqrt{\frac{m_1 m_2^2}{c_\theta}} q^{\varepsilon - \delta_2} \\ |y_3| \ll \sqrt{\frac{m_1 m_3^2}{c_\theta}} q^{\varepsilon - \delta_2} \end{cases} & \text{if } i = 2 \text{ and } m_1 m_2 m_3 / c_\theta > 1/4 \\ \mathbb{R}_{>0}^3 & \text{if } i = 2 \text{ and } m_1 m_2 m_3 / c_\theta \leq 1/4. \end{cases}$$

We may swap orders of integration, complete the square, and evaluate the integral over the v_i to get

$$L_i = \frac{e(-1/8)}{\sqrt{2}} e \left(-\frac{m_1 m_2 m_3}{c_\theta} \right) \iiint_{\widehat{R}_i} \widehat{g}_i(\mathbf{y}) e \left(\frac{y_1^2}{4} - y_2 y_3 \right) d\mathbf{y} + O_{\varepsilon, \delta}(q^{-2014}). \quad (38)$$

Now we take $\varepsilon = \delta/4 = \delta_2/4$ and use Taylor expansions. Each of $|y_1|$ and $|y_2 y_3|$ are restricted to be small in (38), and we need only finitely many terms of the Taylor expansion. Quantitatively, if $m_1 m_2 m_3 / c_\theta > 1/4$ we take $N_1 = N_{23} = 8,065\delta^{-1}$ to obtain

$$e \left(\frac{y_1^2}{4} - y_2 y_3 \right) = \sum_{n_1=0}^{N_1} \sum_{n_{23}=0}^{N_{23}} \frac{(\pi i y_1^2/2)^{n_1} (2\pi i y_2 y_3)^{n_{23}}}{n_1! n_{23}!} + O_\delta(q^{-2016}).$$

We now have

$$L_i = \frac{e(-1/8)}{\sqrt{2}} e\left(-\frac{m_1 m_2 m_3}{c_\theta}\right) \sum_{\substack{0 \leq n_1 \leq N_1 \\ 0 \leq n_{23} \leq N_{23}}} \frac{(\pi i/2)^{n_1} (2\pi i)^{n_{23}}}{n_1! n_{23}!} \\ \times \iiint_{\widehat{R}_i} \widehat{g}_i(\mathbf{y}) y_1^{2n_1} (y_2 y_3)^{n_{23}} d\mathbf{y} + O_\delta(q^{-2014}).$$

We now may extend the integrals in the above formulas for L_1 and L_2 to all of \mathbb{R}^3 without making new error terms. We have that

$$\iiint_{\mathbb{R}^3} \widehat{g}_i(\mathbf{y}) y_1^{2n_1} (y_2 y_3)^{n_{23}} d\mathbf{y} = \frac{g_i^{(2n_1, n_{23})}(0)}{(2\pi i)^{2n_1 + 2n_{23}}},$$

for $i = 1, 2$. In the case $m_1 m_2 m_3 / c_\theta \leq 1/4$ all of the derivatives vanish identically and we therefore ignore this range of $m_1 m_2 m_3 / c_\theta$. The germs of $g_1(\mathbf{v})$ and $g_2(\mathbf{v})$ at $\mathbf{v} = 0$ are identical and so we may drop the distinction between these two functions. Let e.g. $\delta = 1/10$. We therefore have that whenever all $m_i > 0$ and $m_1 m_2 m_3 / c_\theta > 1/4$ that

$$\check{U}_\alpha^+ = \frac{e(-1/8)}{\sqrt{2}} e\left(-\frac{m_1 m_2 m_3}{c_\theta}\right) \sum_{\substack{0 \leq n_1 \leq N_1 \\ 0 \leq n_{23} \leq N_{23}}} \frac{g^{(2n_1, n_{23})}(0)}{4^{n_1} (2\pi i)^{n_1 + n_{23}} n_1! n_{23}!} + O(q^{-2014}) \quad (39)$$

with $N_1 = N_{23} = 80,650$. If $m_1 m_2 m_3 / c_\theta \leq 1/4$ then \check{U}_α^+ is identically 0.

The same exact argument works with minor changes to evaluate \check{U}_α^- in the case that all $m_i < 0$. In particular we define the change of variables φ replacing every instance of m_i with $|m_i|$ to find that

$$f^-(\varphi(\mathbf{v})) = -\frac{m_1 m_2 m_3}{c_\theta} + v_1^2 - v_2 v_3.$$

The rest of the proof goes through as above with absolute values added around each m_i in the definition of g and we get exactly the same formula as (39) for \check{U}_α^- but with $e(1/8)$ in place of $e(-1/8)$.

Now we return to our initial decomposition (30) and insert (39) for \check{U}_α^\pm . We evaluate the integrals over θ using the following 1-variable stationary phase Lemma.

Lemma 7 *Let $s_u(\theta)$ be a $C^\infty([0, \pi])$ function of θ for fixed u and a holomorphic function of u for $\operatorname{Re}(u) > -1/2$. Let $M \in \mathbb{R}_{>0}$ and suppose that*

- (i) *For fixed θ the function $s_u(\theta)$ is polynomially bounded in vertical strips $\operatorname{Re}(u) \geq \delta > -1/2$ for any such fixed δ .*
- (ii) *The function $s_u(\theta)$ is bounded and non-oscillatory as $\theta \rightarrow 0$ or π . Specifically,*

$$(\sin \theta)^n \frac{d^n}{d\theta^n} s_u(\theta) \ll_{n,u} (\sin^2 \theta)^{\operatorname{Re}(u)+1/2},$$

where the dependence on u is polynomial in vertical strips, and uniform as $\operatorname{Re}(u) \geq \delta > -1/2$ for any such fixed δ .

Define

$$S_u(M) \stackrel{\text{def}}{=} \int_0^\pi s_u(\theta) w(M^2/\sin^4 \theta) e(-M \cot^2 \theta) d\theta,$$

where $w(x)$ is any smooth bounded non-oscillatory function on $\mathbb{R}_{>0}$ which is moreover identically 0 for $x < 1/2$. Then the function $S_u(M)$ is

- (a) holomorphic and polynomially bounded as a function of u for fixed M
- (b) $C^\infty(\mathbb{R}_{>0})$ as a function of M for each fixed u and non-oscillatory, i.e. satisfies

$$M^n \frac{d^n}{dM^n} S_u(M) \ll_{n,u} 1,$$

with polynomial dependence on u in vertical strips.

(c)

$$\begin{cases} \ll M^{1+\operatorname{Re}(u)} & M \ll 1 \\ \frac{s_{M,u}(\pi/2)\sqrt{\pi}e(1/8)}{\sqrt{M}} + O_u(M^{-1}) & M \gg 1. \end{cases}$$

Proof The bounds in the hypothesis of the Lemma are given in terms of $\sin \theta$ because we are concerned with the behavior as $\theta \rightarrow 0$ or π . Near 0 we approximate $\sin \theta$ by θ and $\cot^2 \theta$ by θ^{-2} . Those θ near π are treated symmetrically.

First, consequence (a) is easily established by the absolute convergence of the integral $S_u(M)$. To establish consequences (b) and (c) we split the integral into three ranges using a smooth partition of unity

$$S_u(M) = \left(\int_{\text{I}} + \int_{\text{II}} + \int_{\text{III}} \right) s_u(\theta) w(M^2/\sin^4 \theta) e(-M \cot^2 \theta) d\theta, \quad (40)$$

where the support of each component of the partition of unity is restricted to

$$\begin{aligned} \text{I} &\stackrel{\text{def}}{=} \{\theta \mid \sin \theta \leq \min(8M^{1/2+\varepsilon}, 1/25)\} \\ \text{II} &\stackrel{\text{def}}{=} \{\theta \mid \min(4M^{1/2+\varepsilon}, 1/50) < \sin \theta \leq \min(4M^{1/2}, 1/50)\} \\ \text{III} &\stackrel{\text{def}}{=} \{\theta \mid \sin \theta \geq \min(2M^{1/2}, 1/100)\}. \end{aligned}$$

The value of ε will be chosen in terms of the number of derivatives n we take to establish (b). To establish (b) and (c) we need to control the behavior of $S_u(M)$ both as $M \rightarrow 0$ or ∞ , and note that the range II is empty when $M \rightarrow \infty$ and that on range III the integral is identically 0 if $M \rightarrow 0$.

First we consider the range III, and assume $M > 1$. We may differentiate under the integral as many times as we like, and thus this part of the integral is C^∞ . As $M \rightarrow \infty$, we differentiate under the integral and run a standard stationary phase

argument, say Proposition 8.2 of [3], on each of the derivatives. Each differentiation produces a factor of $-\cot^2 \theta$ in the integrand which gives additional zeros at $\theta = \pi/2$. By stationary phase these zeros give us additional decay in each derivative as $M \rightarrow \infty$, demonstrating parts (b) and (c).

Next we consider the range II, and assume $M < 1$, otherwise the set II is empty. We may differentiate under the integral as often as we like and trivial bounds show that this part of (40) is C^∞ and $O(M^{1+\operatorname{Re}(u)})$ as $M \rightarrow 0$, matching the claim in (c). To show (b) let us differentiate in M under the integral n times. If $2n \leq 2\operatorname{Re}(u) + 1$ then trivial bounds suffice to show $M^n \frac{d^n}{dM^n} S_u(M) \ll_n 1$. When $2n > 2\operatorname{Re}(u) + 1$ we take $\varepsilon = 1/4n$ to find that $M^n \frac{d^n}{dM^n} S_u(M) \ll_n M^{1/2+1/2n} \ll_n 1$ when $M < 1$.

Lastly we consider the range I. In this range we may *not* differentiate under the integral. Instead we insert a dyadic partition of unity to the integral over the range I which descends into the trouble points 0 and π . Consider the point 0. We let our partition of unity be formed by smooth functions $W(x)$ supported in $[1/4, 1]$ satisfying $x^j W^{(j)}(x) \ll_j 1$. Then the half of the integral in the range I near 0 is

$$\sum_{i=0}^{\infty} \int_{2^{-i} \min/4}^{2^{-i} \min} W(\theta 2^i \min) s_u(\theta) w(M^2/\sin^4 \theta) e(-M \cot^2 \theta) d\theta, \quad (41)$$

where $\min = \min(8M^{1/2+\varepsilon}, 1/25)$. Let $\Theta_i = 2^{-i} \min$. Then we may differentiate each integral in (41) in M under the integral sign n times, getting

$$\int_{\Theta_i/4}^{\Theta_i} W(\theta 2^i \min) s_u(\theta) w(M^2/\sin^4 \theta) (-2\pi i \cot^2 \theta)^n e(-M \cot^2 \theta) d\theta, \quad (42)$$

which converges absolutely. Now we may apply Lemma 4 with $X = \Theta_i^{2\operatorname{Re}(u)+1-2n}$, $U = \Theta_i$, $R = M/\Theta_i^3$, $Y = M/\Theta_i^2$, $Q = \Theta_i$, to find that each of the integrals (42) is

$$\ll_A \Theta_i^{2(\operatorname{Re}(u)+1-n)} (M/\Theta_i^2)^{-A}, \quad (43)$$

for any $A > 0$. Therefore the infinite series (41) converges absolutely, the interchange of summation and differentiation is justified, and one easily establishes the lemma for range I. Putting the ranges I, II and III together we conclude the Lemma. \square

Now we use Lemma 7 to evaluate the combination of (30) and (39) for both \check{U}_α^\pm . Take for example the first term of (39):

$$\begin{aligned} g(0) &= \frac{w_1((m_1 m_2 m_3/c_\theta)^2)}{\sqrt{c_\theta m_1 m_2 m_3}} \frac{1}{(2\pi i)^3} \iiint_{(1/16)} \prod_{i=1}^3 \frac{\Gamma(\kappa/2 + u_i) (2\pi)^{-u_i}}{(u_i - \alpha_i)} \zeta_q(1 + u_2 + u_3) \\ &\quad \times \left(\frac{m_2 m_3}{q \sin^2 \theta} \right)^{-u_1} \left(\frac{m_1 m_3}{q \sin^2 \theta} \right)^{-u_2} \left(\frac{m_1 m_2}{q \sin^2 \theta} \right)^{-u_3} du_1 du_2 du_3. \end{aligned}$$

We exchange the three u_i integrals here with the theta integral from (39). Abbreviate $m_1 m_2 m_3/c = M$ and artificially multiply by $e(-M)e(M)$, bringing the latter of these two inside the θ integral. The resulting integral to be evaluated is

$$\int_0^\pi \sin((\kappa - 1)\theta)(\sin^2 \theta)^{u_1+u_2+u_3} w_1(M^2/\sin^4 \theta) e(-M \cot^2 \theta) d\theta,$$

to which we apply Lemma 7 with $s_u(\theta) = \sin((\kappa - 1)\theta)(\sin^2 \theta)^{u_1+u_2+u_3}$, and $w = w_1$. By Lemma 7 the resulting function of M is bounded by $\ll \min(M^{1+\operatorname{Re}(u)}, M^{-1/2})$, and has controlled derivatives. Therefore we may take its Mellin transform to obtain a term of the form T_1 in the statement of Proposition 2.

More generally, for the higher terms in the Taylor series (39) one has

$$\begin{aligned} & g^{(2n_1, n_{23}, n_{23})}(0) \\ &= \frac{w_{n_1, n_{23}}^* ((m_1 m_2 m_3 / c_\theta)^2)}{\sqrt{c_\theta m_1 m_2 m_3}} \left(\frac{c_\theta}{m_1 m_2 m_3} \right)^{n_1 + n_{23}} \frac{1}{(2\pi i)^3} \iiint_{(1/16)} \zeta_q(1 + u_2 + u_3) \\ & \quad \times \frac{U_{n_1, n_{23}}(u_1, u_2, u_3)}{(u_1 - \alpha_1)(u_2 - \alpha_2)(u_3 - \alpha_3)} \left(\frac{m_2 m_3}{q \sin^2 \theta} \right)^{-u_1} \left(\frac{m_1 m_3}{q \sin^2 \theta} \right)^{-u_2} \left(\frac{m_1 m_2}{q \sin^2 \theta} \right)^{-u_3} du, \end{aligned}$$

for some holomorphic functions $U_{n_1, n_{23}}$ which decay rapidly in the vertical strips $\operatorname{Re}(u_i) > -\kappa/2$, and $w_{n_1, n_{23}}^*$ are some bounded C^∞ functions which are identically zero when their arguments are $< 1/2$. Therefore we may apply Lemma 7 to amplitude functions of the form

$$s_u(\theta) = (\sin^2 \theta)^{n_1 + n_{23} + u} \sin((\kappa - 1)\theta).$$

In this fashion we evaluate each term of the series (39) for \check{U}_α^+ separately and add all of the finitely many terms of the form T_1 together to conclude that the second term of (30) in the case all $m_i > 0$ is equal to T_1 plus a small error term. The treatment of the \check{U}_α^- term when all $m_i < 0$ is very similar. This concludes our use of the stationary phase method.

We still need to give the Mellin transforms for several remaining cases: the term of (30) containing \check{U}_α^+ when all $m_i < 0$, the corresponding \check{U}_α^- term when all $m_i > 0$, and the term \check{R}_α . We compute these transforms directly and all of these terms are of the form T_2 .

We start with the term of (30) containing \check{U}_α^+ and the assumption that all $m_i < 0$. Let $\widetilde{w}_1(v)$ denote the Mellin transform of w_1 , which is convergent and rapidly decaying in vertical strips whenever $\operatorname{Re}(v) < 0$. We have then that for any choice of $\operatorname{Re}(v) = \ell < 0$ the Mellin transform of $w_1(x)e(2\sqrt{x})$ is given by

$$\begin{aligned} \int_0^\infty w_1(x) e(2\sqrt{x}) x^s \frac{dx}{x} &= \frac{1}{2\pi i} \int_{(\ell)} \frac{4^{-(s-v)} \Gamma(2(s-v))}{(-2\pi i)^{2(s-v)}} \widetilde{w}_1(v) dv \\ &\stackrel{\text{def}}{=} \frac{1}{(-2\pi i)^{2s}} u(s). \end{aligned}$$

The Mellin transform defines a holomorphic function $u(s)$ for any $\operatorname{Re}(s) < 1/2$ that is rapidly decaying in vertical strips. A change of variables $s \rightarrow 1/2 - s$ and (20) show that when all $m_i < 0$ we have

$$\begin{aligned}\check{U}_\alpha^+ &= \frac{(-4\pi i)^{-1}}{c_\theta} \frac{1}{2\pi i} \int_{(3/4)} \frac{u(1/2-s)}{(-2\pi i)^{-2s}} \left(\frac{c_\theta}{|m_1 m_2 m_3|} \right)^s \\ &\quad \times \frac{1}{(2\pi i)^3} \iiint_{(1/16)} \prod_{i=1}^3 \frac{\Gamma(\kappa/2 + u_i)}{(u_i - \alpha_i)} \\ &\quad \times \left(\frac{|m_i|q}{2\pi c} \right)^{u_i} \frac{\Gamma(s - u_i)}{(-2\pi i)^{s-u_i}} \zeta_q(1 + u_2 + u_3) du_1 du_2 du_3 ds.\end{aligned}$$

The parts of the above integrand which depend on s are

$$\frac{u(1/2-s)}{(-2\pi i)^{-2s}} \prod_{i=1}^3 \frac{\Gamma(s - u_i)}{(-2\pi i)^{s-u_i}} = \frac{u(1/2-s)\Gamma(s - u_1)\Gamma(s - u_2)\Gamma(s - u_3)}{(-2\pi i)^s}.$$

We make a change of variables $s \rightarrow s + u_1 + u_2 + u_3$ and collect the resulting function $U_3(s, u_1, u_2, u_3)$ which is holomorphic and rapidly decaying in any vertical strips satisfying

$$\begin{aligned}\operatorname{Re}(s) &> \max_{i \neq j}(-\operatorname{Re}(u_i + u_j), -\operatorname{Re}(u_1 + u_2 + u_3)) \\ \operatorname{Re}(u_i) &> -\kappa/2.\end{aligned}$$

We have that

$$\begin{aligned}\check{U}_\alpha^+ &= \frac{1}{c} \frac{1}{(2\pi i)^4} \int_{(3/4)} \iiint_{(1/16)} \frac{U_3(s, u_1, u_2, u_3) \zeta_q(1 + u_2 + u_3)}{(u_1 - \alpha_1)(u_2 - \alpha_2)(u_3 - \alpha_3)} (\sin^2 \theta)^{s+u_1+u_2+u_3-1} \\ &\quad \times \left(\frac{c}{|m_1 m_2 m_3|} \right)^s \left(\frac{|m_2 m_3|}{q} \right)^{-u_1} \left(\frac{|m_1 m_3|}{q} \right)^{-u_2} \left(\frac{|m_1 m_2|}{q} \right)^{-u_3} du ds.\end{aligned}$$

Finally, we re-introduce the integral over θ . By e.g. [9] formulas 3.631.1 and 8.384.1 we have when $\operatorname{Re}(z) > 0$ that

$$\begin{aligned}2\chi(-1) \int_0^\pi e(-(\kappa-1)\theta/2\pi) (\sin^2 \theta)^{z-1/2} d\theta \\ = 2\chi(-1) \frac{2^{-2z}\Gamma(2z)}{\Gamma(z + \kappa/2)\Gamma(z - \kappa/2 + 1)}.\end{aligned}$$

Setting $z = s + u_1 + u_2 + u_3$ and

$$U_4(s, u_1, u_2, u_3) \stackrel{\text{def}}{=} 2 \frac{2^{-2z}\Gamma(2z)}{\Gamma(z + \kappa/2)\Gamma(z - \kappa/2 + 1)} U_3(s, u_1, u_2, u_3),$$

which is holomorphic and rapidly decaying in the same vertical strips as U_3 . The result is that when all $m_i < 0$ the term

$$2\chi(-1) \int_0^\pi e(-(\kappa-1)\theta/2\pi) (\sin \theta) \check{U}_\alpha^+(\theta) d\theta$$

is of the form T_2 in the statement with U_4 in place of U_2 . The integral over θ involving \check{U}_α^- when all $m_i > 0$ is treated similarly.

Next we compute the Mellin transform of the term \check{R}_α from the decomposition (30). Recall that the function $R(x)$ defined at the beginning of the proof of Proposition 2 is identically equal to $J(x)$ when $x < \sqrt{2}$, satisfies the bound $x^n R^{(n)}(x) \ll_n 1$ for all $n \in \mathbb{N}$, and is $\ll_\kappa x^{-2}$ for large x . Let \tilde{R} denote the Mellin transform of R . Then with a change of variables we have the inversion formula

$$R(2\sqrt{cx_1x_2x_3}) = \frac{\chi(-1)}{2} \frac{1}{2\pi i} \int_{(1/2)} 4^s \tilde{R}(2-2s)(cx_1x_2x_3)^{s-1} ds. \quad (44)$$

the integrand of (44) is rapidly decaying on any vertical strip $0 < \operatorname{Re}(s) < \kappa/2$, with a simple pole at $\kappa/2$. Then inserting formula (44) in the definition of \check{R}_α and evaluating the x integrals with (20) we have that

$$\begin{aligned} \check{R}_\alpha &= \frac{\chi(-1)}{2c} \frac{1}{2\pi i} \int_{(3/4)} 4^s \tilde{R}(2-2s) \left(\frac{c}{|m_1m_2m_3|} \right)^s \\ &\quad \times \frac{1}{(2\pi i)^3} \iiint_{(1/16)} \prod_{i=1}^3 \frac{\Gamma(\kappa/2 + u_i)}{(u_i - \alpha_i)} \left(\frac{|m_i|q}{2\pi c} \right)^{u_i} \\ &\quad \times \frac{\Gamma(s - u_i)}{(2\pi i \operatorname{sgn} m_i)^{s-u_i}} \zeta_q(1 + u_2 + u_3) du_1 du_2 du_3 ds. \end{aligned}$$

Change $s \rightarrow s + u_1 + u_2 + u_3$ and set

$$\begin{aligned} U_5(s, u_1, u_2, u_3) &\stackrel{\text{def}}{=} \frac{1}{2} 4^{s+u_1+u_2+u_3} \\ &\quad \times \tilde{R}(2 - 2(s + u_1 + u_2 + u_3)) \prod_{i=1}^3 \Gamma(\kappa/2 + u_i) (2\pi)^{-u_i} \\ &\quad \times \frac{\Gamma(s + u_1 + u_2 + u_3 - u_i)}{(2\pi i \operatorname{sgn} m_i)^{s+u_1+u_2+u_3-u_i}}, \end{aligned}$$

which is which is holomorphic and rapidly decaying in the vertical strips allowed by (28). Then we have that \check{R}_α is of the form T_2 with $U = U_5$. Setting $U_2 = U_4 + U_5$ if all m_i are of the same sign, this concludes the proof of Proposition 2 if all $m_i > 0$ or all $m_i < 0$.

Finally we compute the Mellin transform of \check{W}_α when the m_i are of mixed signs. Suppose without loss of generality that $m_1 < 0$ and $m_2, m_3 > 0$; the other 5 mixed-sign cases are treated similarly. Recall the formulas (19) and (20) from Sect. 3. Putting these together we find

$$\begin{aligned}\check{W}_\alpha &= \frac{\chi(-1)}{c} \int_{(3/4)} (2\pi)^{2s} \frac{\Gamma(\kappa/2 - s)}{\Gamma(\kappa/2 + s)} c^s \frac{1}{(2\pi i)^3} \\ &\times \iiint_{(1/16)} \prod_{i=1}^3 \frac{\Gamma(\kappa/2 + u_i)}{(u_i - \alpha_i)} \left(\frac{q}{2\pi c}\right)^{u_i} \frac{\Gamma(s - u_i)}{(2\pi i m_i)^{s-u_i}} \\ &\times \zeta_q(1 + u_2 + u_3) du_1 du_2 du_3 ds.\end{aligned}$$

Observe that

$$\prod_{i=1}^3 \frac{\Gamma(s - u_i)}{(2\pi i m_i)^{s-u_i}} = \frac{|m_1|^{u_1} |m_2|^{u_2} |m_3|^{u_3}}{|m_1 m_2 m_3|^s} \frac{\Gamma(s - u_1)}{(2\pi)^s (-2\pi i)^{-u_1}} \frac{\Gamma(s - u_2)}{(2\pi)^s (2\pi i)^{-u_2}} \frac{\Gamma(s - u_3)}{(2\pi i)^{s-u_3}}$$

is a rapidly decaying function of s in vertical strips uniformly in u_i . We set

$$\begin{aligned}U_6(s, u_1, u_2, u_3) &\stackrel{\text{def}}{=} \frac{\Gamma(\kappa/2 - s)}{\Gamma(\kappa/2 + s)} \prod_{i=1}^3 \Gamma(\kappa/2 + u_i) (2\pi)^{-u_i} \frac{\Gamma(s - u_1)}{(-2\pi i)^{-u_1}} \\ &\times \frac{\Gamma(s - u_2)}{(2\pi i)^{-u_2}} \frac{\Gamma(s - u_3)}{(2\pi i)^{s-u_3}},\end{aligned}$$

and after a change of variables $s \rightarrow s + u_1 + u_2 + u_3$ set

$$U_7(s, u_1, u_2, u_3) \stackrel{\text{def}}{=} U_6(s + u_1 + u_2 + u_3, u_1, u_2, u_3).$$

The function U_7 is holomorphic and rapidly decaying in any vertical strips (28). We get when m_i are of mixed signs that \check{W}_α is of the form T_2 with $U = U_7$ if $m_1 < 0$ and $m_2, m_3 > 0$. The other mixed-sign cases have similar formulas. \square

5 A large sieve inequality for L -functions

Lemma 8 (Large sieve for L -functions) *We have for q odd square-free and t_1, t_2 with $|t_1|, |t_2| \ll q^{100}$ and $|\operatorname{Im}(t_1, t_2)| \leq 1/\log q$ that*

$$\begin{aligned}\frac{1}{\phi(q)} \sum_{\psi \pmod{q}} |L(1/2 + it_1, \psi) L(1/2 + it_2, \psi)|^2 &\ll (\log q)^2 (\log(\omega(q) + 1))^2 \\ &\times \begin{cases} (\log q)^2 & \text{if } |t_1 - t_2| \leq 1/\log q \\ |\zeta(1 + i|t_1 - t_2|)|^2 & \text{if } 1/\log q < |t_1 - t_2|. \end{cases} \quad (45)\end{aligned}$$

Proof Note that we have stated the lemma as a sum over all characters modulo q , both primitive and imprimitive. If ψ is not primitive, let q^* denote the conductor of q and let ψ^* denote the primitive character mod q^* which induces ψ . Then for an

non-primitive character ψ we have

$$L(s, \psi) = \prod_{p|q/q^*} (1 - \psi^*(p)p^{-s}) L(s, \psi^*).$$

Let \sum^+ and \sum^- denote sums over primitive even (resp. odd) characters. One has by orthogonality of characters and positivity that

$$\sum_{\psi \pmod{q}}^+ \left| \sum_{n \asymp N} a_n \psi(n) \right|^2 \ll (q + N) \sum_{n \asymp N} |a_n|^2, \quad (46)$$

where $n \asymp N$ denotes a sum over n whose length is $\ll N$ and $\gg N$, and similarly for \sum^- . We can split the moment in the statement of the Lemma over primitive characters of a given parity to find that the left hand side of (45) is bounded by

$$\begin{aligned} &\leq \frac{1}{\phi(q)} \sum_{q^*|q} \prod_{p|q/q^*} \left(1 + \frac{1/e}{\sqrt{p}}\right)^4 \left(\sum_{\psi^* \pmod{q^*}}^+ + \sum_{\psi^* \pmod{q^*}}^- \right) \\ &\quad \times |L(1/2 + it_1, \psi^*) L(1/2 + it_2, \psi^*)|^2. \end{aligned} \quad (47)$$

Next we write an approximate functional equation for the product of two L -functions. Let $\sigma_{t_1, t_2}(n) = \sum_{n=n_1 n_2} n_1^{-it_1} n_2^{-it_2}$. Following say, Theorem 5.3 of [11] we have that

$$\begin{aligned} &L(1/2 + it_1, \psi^*) L(1/2 + it_2, \psi^*) \\ &= \sum_{n \geq 1} \frac{\sigma_{t_1, t_2}(n) \psi^*(n)}{n^{1/2}} F_{t_1, t_2}(n/q^*) \\ &\quad + \varepsilon(\psi, t_1, t_2) \sum_{n \geq 1} \frac{\sigma_{-t_1, -t_2}(n) \overline{\psi^*(n)}}{n^{1/2}} F_{-t_1, -t_2}(n/q^*), \end{aligned}$$

where $|\varepsilon(\psi, t_1, t_2)| = 1$ and $F_{t_1, t_2}(x)$ is a C^∞ and rapidly decaying function of x for fixed t_1, t_2 and bounded in vertical strips as a function of t_1 and t_2 . By Cauchy–Schwarz we have

$$\begin{aligned} &|L(1/2 + it_1, \psi^*) L(1/2 + it_2, \psi^*)|^2 \\ &\leq 2 \left| \sum_{n \geq 1} \frac{\sigma_{t_1, t_2}(n) \psi^*(n)}{n^{1/2}} F_{t_1, t_2}(n/q^*) \right|^2 + 2 \left| \sum_{n \geq 1} \frac{\sigma_{-t_1, -t_2}(n) \overline{\psi^*(n)}}{n^{1/2}} F_{-t_1, -t_2}(n/q^*) \right|^2. \end{aligned}$$

Inserting this to (47) we find the left hand side of (45) is bound by a sum of four terms, one of which is

$$\frac{2}{\phi(q)} \sum_{q^*|q} \prod_{p|q/q^*} \left(1 + \frac{1/e}{\sqrt{p}}\right)^4 \sum_{\psi^* \pmod{q^*}}^+ \left| \sum_{n \geq 1} \frac{\sigma_{t_1, t_2}(n) \psi^*(n)}{n^{1/2}} F_{t_1, t_2}(n/q^*) \right|^2, \quad (48)$$

and the other three are of a similar form. By the large sieve (46), the upper bound (48) is

$$\ll \frac{1}{\phi(q)} \sum_{q^*|q} q^* \prod_{p|q/q^*} \left(1 + \frac{1/e}{\sqrt{p}}\right)^4 \sum_{n \geq 1} \frac{|\sigma_{t_1, t_2}(n)|^2}{n} |F_{t_1, t_2}(n/q^*)|^2.$$

We evaluate this last sum by the Dirichlet series and Mellin inversion technique. We have

$$\sum_{n \geq 1} \frac{|\sigma_{t_1, t_2}(n)|^2}{n^s} = \zeta(s + it_1 - i\bar{t}_1) \zeta(s - i\bar{t}_1 + it_2) \zeta(s + it_1 - i\bar{t}_2) \zeta(s + it_2 - i\bar{t}_2),$$

so that

$$\sum_{n \geq 1} \frac{|\sigma_{t_1, t_2}(n)|^2}{n} |F_{t_1, t_2}(n/q^*)|^2 \ll q^* (\log q^*)^2 \begin{cases} (\log q^*)^2 & \text{if } |t_1 - t_2| \leq 1/\log q^* \\ |\zeta(1 + i|t_1 - t_2|)|^2 & \text{if } 1/\log q^* < |t_1 - t_2|. \end{cases}$$

Putting all of these pieces together, the left hand side of (45) is

$$\begin{aligned} &\ll \left(\sum_{q^*|q} q^{*-1} \prod_{p|q^*} \left(1 + \frac{1/e}{\sqrt{p}}\right)^4 \right) \frac{q}{\phi(q)} (\log q)^2 \\ &\quad \times \begin{cases} (\log q)^2 & \text{if } |t_1 - t_2| \leq 1/\log q \\ |\zeta(1 + i|t_1 - t_2|)|^2 & \text{if } 1/\log q < |t_1 - t_2|. \end{cases} \end{aligned}$$

The first factor in parentheses is seen by Merten's theorem to be $\ll \log(\omega(q) + 1)$, hence we conclude the Lemma. \square

6 The error terms: dual moment

In this section we assemble the remaining off-diagonal sum

$$\sum_{c \equiv 0 \pmod{q}} \sum_{m_1 m_2 m_3 \neq 0} c^{-2} G(m_1, m_2, m_3, c) \check{W}_\alpha(m_1, m_2, m_3, c)$$

into an average of Dirichlet L -functions of conductors dividing q on their critical lines, as per the “Motohashi-type formula” from the introduction. We assume $\kappa \geq 4$ throughout Sect. 6 and give details on the case $\kappa = 2$ in Sect. 7.

The calculations below are quite intricate and involve large expressions because they are valid for all odd square-free q . The calculations become much cleaner fashion if one takes q to be prime and we suggest this assumption on a first reading.

Proof (Proof of main theorems) We computed the analytic component \check{W}_α in Proposition 2. The evaluation of the arithmetic component G by Conrey and Iwaniec is sufficient for our purposes. We recall their Lemma 10.2 as Lemma 9 below. Let $R_q(n) = S(0, n, q)$ be the Ramanujan sum, and define $H(w; q)$ to be the character sum

$$H(w; q) \stackrel{\text{def}}{=} \sum_{u, v \pmod{q}} \chi(uv(u+1)(v+1)) e_q((uv-1)w).$$

Lemma 9 (Conrey–Iwaniec) *Let $c = qr$ with q square-free. Suppose m_1, m_2, m_3 are integers with*

$$(m_1, r) = 1 \quad (m_2 m_3, q, r) = 1. \quad (49)$$

Then the character sum $G(m_1, m_2, m_3, c)$ satisfies

$$G = e_c(m_1 m_2 m_3) \chi_{k\ell}(-1) \frac{r^2 q h}{\phi(k)} R_k(m_1) R_k(m_2) R_k(m_3) H(\overline{r h k} m_1 m_2 m_3; \ell)$$

where $h = (r, q)$, $k = (m_1 m_2 m_3, q)$ and $\ell = q/hk$. If the co-primality conditions (49) are not satisfied then G vanishes.

Following Conrey and Iwaniec section 11 we expand the character sum H in multiplicative characters. The formulas (11.7), (11.9) and (11.10) of Conrey and Iwaniec together give

$$H(w; q) = \sum_{q_1 q_2 = q} \frac{\mu(q_1) \chi_{q_1}(-1)}{\phi(q_2)} \sum_{\psi \pmod{q_2}} \tau(\overline{\psi}) g(\chi, \psi) \psi(\overline{q_1} w), \quad (50)$$

where $g(\chi, \psi)$ is the hybrid character sum in the statement of Theorem 2.

Lemma 10 *For all Dirichlet characters ψ of odd square-free modulus q we have that*

$$g(\chi, \psi) \leq q C^{v(q)},$$

where $v(q)$ is the number of prime factors of q .

Proof Conrey and Iwaniec prove that if q is a prime that $g(\chi, \psi) \leq Cq$ for an absolute constant C . The character sum is multiplicative in the modulus, hence $g(\chi, \psi) \leq C^{v(q)} q$. One could give an explicit value to C using the exponential sum techniques of Katz. \square

The expressions for \check{W}_α and G have symmetry under $m_i \rightarrow -m_i$, so we now assume that all $m_i > 0$. The other 7 octants of m_i 's are treated separately and in the same way and then we add all contributions together at the end. Of the three terms in the evaluation of \check{W}_α given by Proposition 2, the contribution of the error term is negligible and we ignore it. Terms T_1 and T_2 of Proposition 2 are similar, but T_2 is more difficult and contains the issue of small weights, so we only give full details for $T_2 = T_2(m_1, m_2, m_3, c)$. That is to say, we restrict our attention to the sum

$$\sum_{r \geq 1} \sum_{\substack{m_i \geq 1 \\ i=1,2,3}} \frac{G(m_1, m_2, m_3, r) T_2(m_1, m_2, m_3, r, q)}{r^2 q^2} \quad (51)$$

for the remainder of this section.

The first step is to separate the sums over r and m_1, m_2, m_3 according to the parameters h and k in Lemma 9. Starting with r , we set $r = hr'$ with $(r', q/h) = 1$. We also split the sum over the m_i according to $k = (m_1 m_2 m_3, q)$, and we understand the condition $(m_1 m_2 m_3, q) = k$ as $(m_1 m_2 m_3, k) = k$ and $(m_i, q/k) = 1$ for each $i = 1, 2, 3$. We have that

$$\sum_{r \geq 1} \sum_{\substack{m_i \geq 1 \\ (m_1, r)=1 \\ (m_2 m_3, q, r)=1}} = \sum_{hk|q} \sum_{\substack{r' \geq 1 \\ (r', q/h)=1}} \sum_{\substack{(m_1 m_2 m_3, k)=k \\ (m_1, r')=1 \\ (m_1 m_2 m_3, qh/k)=1}}.$$

For k square-free there is a bijection between the sets

$$\{m_1, m_2, m_3 \text{ such that } (m_1 m_2 m_3, k) = k\} \longleftrightarrow \{(k_1, n_1), (k_2, n_2), (k_3, n_3) \text{ such that } k_i \mid k, k \mid k_1 k_2 k_3 \text{ and } (n_i, k/k_i) = 1\}$$

given by $k_i = (m_i, k)$ and $m_i = k_i n_i$. We split the sums further according to this bijection.

$$\sum_{r \geq 1} \sum_{\substack{m_i \geq 1 \\ (m_1, r)=1 \\ (m_2 m_3, q, r)=1}} = \sum_{hk|q} \sum_{\substack{r' \geq 1 \\ (r', q/h)=1}} \sum_{\substack{k_i \mid k \\ k \mid k_1 k_2 k_3 \\ (k_1, r')=1 \\ (k_1 k_2 k_3, qh/k)=1}} \sum_{\substack{(n_1, k/k_1)=1 \\ (n_1, qr'h/k)=1}} \sum_{\substack{(n_2, k/k_2)=1 \\ (n_2, qh/k)=1}} \sum_{\substack{(n_3, k/k_3)=1 \\ (n_3, qh/k)=1}}, \quad (52)$$

where $r = hr'$, $m_i = k_i n_i$ for $i = 1, 2, 3$. We may now combine the formulas for T_2 and G with this decomposition to get a large but tractable formula.

In T_2 we shift the lines of integration to $\text{Re}(s) = -1/16$ and $\text{Re}(u_i) = 5/8$ so that all of the Dirichlet series and Euler product calculations below occur in the region of absolute convergence.

We decompose the exponential $e_c(m_1 m_2 m_3)$ by treating it as an archimedean character. Note here if we were dealing instead with T_1 of Proposition 2 instead of T_2 this phase would cancel cleanly with the phase from T_1 , making the T_1 case easier. As it is, we use the formula

$$e\left(\frac{m_1 m_2 m_3}{c}\right) = 1 + \frac{1}{2\pi i} \int_{(-1/16)} \frac{\Gamma(v)}{(-2\pi i)^v} \left(\frac{m_1 m_2 m_3}{c}\right)^{-v} dv, \quad (53)$$

introducing an additional variable.

The two terms in (53) may be treated separately. In fact the contribution from the term 1 of (53) is of the same form as those terms where we take T_1 instead of T_2 . As this case is very similar and easier we ignore it. Let $G'(m_1, m_2, m_3, c)$ be defined as the expression of Lemma 9 with the second term of (53) in lieu of $e_c(m_1 m_2 m_3)$. Warning: our G' differs from that of Conrey and Iwaniec, but is similar in spirit. The modified sum

$$\sum_{r \geq 1} \sum_{\substack{m_i \geq 1 \\ i=1,2,3}} \frac{G'(m_1, m_2, m_3, r q) T_2(m_1, m_2, m_3, r, q)}{r^2 q^2} \quad (54)$$

is then equal to

$$\begin{aligned} & \frac{1}{(2\pi i)^5} \int_{(-1/16)} \int_{(-1/16)} \iiint_{(5/8)} \\ & \times \frac{\Gamma(v)}{(-2\pi i)^v} \frac{U_2(s, u_1, u_2, u_3) \zeta_q(1 + u_2 + u_3)}{(u_1 - \alpha_1)(u_2 - \alpha_2)(u_3 - \alpha_3)} q^{s+v+u_1+u_2+u_3-2} \\ & \times \sum_{hk|q} \chi_h(-1) \frac{h^{s+v}}{\phi(k)} \sum_{\ell_1 \ell_2 = \ell} \mu(\ell_1) \chi_{\ell_1}(-1) D(s, v, u_i, h, k, q) du_1 du_2 du_3 ds dv \end{aligned} \quad (55)$$

where $D = D(s + v, u_i, h, k, q)$ is

$$\begin{aligned} D & \stackrel{\text{def}}{=} \frac{1}{\phi(\ell_2)} \sum_{\psi \pmod{\ell_2}} \tau(\overline{\psi}) g(\chi, \psi) \psi(\overline{\ell_1 h^2 k}) \\ & \times \sum_{\substack{k_i | k \\ k | k_1 k_2 k_3 \\ (k_1 k_2 k_3, qh/k)=1}} \frac{\psi(k_1 k_2 k_3)}{k_1^{s+v+u_2+u_3} k_2^{s+v+u_1+u_3} k_3^{s+v+u_1+u_2}} \\ & \times \sum_{\substack{r' \geq 1 \\ (r', qk_1/h)=1}} \frac{\psi(r')}{r'^{1-(s+v)}} \sum_{\substack{(n_1, k/k_1)=1 \\ (n_1, qr'h/k)=1}} \frac{R_k(k_1 n_1) \psi(n_1)}{n_1^{s+v+u_2+u_3}} \sum_{\substack{(n_2, k/k_2)=1 \\ (n_2, qh/k)=1}} \frac{R_k(k_2 n_2) \psi(n_2)}{n_2^{s+v+u_1+u_3}} \\ & \times \sum_{\substack{(n_3, k/k_3)=1 \\ (n_3, qh/k)=1}} \frac{R_k(k_3 n_3) \psi(n_3)}{n_3^{s+v+u_1+u_2}}. \end{aligned} \quad (56)$$

Lemma 11 For $\operatorname{Re}(w) > 1$ and square-free k we have

$$\sum_{\substack{(n_i, k/k_i)=1 \\ (n_1, qh/k)=1}} \frac{R_k(k_i n_i) \psi(n_i)}{n_i^w} = \mu(k) \mu(k_i) \phi(k_i) L_{\frac{q}{k_i} h}(w, \psi),$$

where the subscript denotes primes dividing the subscript have been omitted.

Proof The Ramanujan sum $R_k(n)$ is not multiplicative in n but if k is square-free the function $\mu(k) R_k(n)$ is multiplicative in n . Define

$$\rho_{k_i}(n) \stackrel{\text{def}}{=} \prod_{\substack{p|n \\ p \nmid k_i}} \mu(k) R_k(p).$$

Then we have $R_k(k_i n_i) = \mu(k) \mu(k_i) \phi(k_i) \rho_{k_i}(n_i)$, and expanding into an Euler product establishes the Lemma. \square

Next we evaluate the sum over r' in (56) by an Euler product calculation to find

$$\begin{aligned} & \sum_{(r', \frac{q}{h} k_1)=1} \frac{\overline{\psi}(r')}{r'^{1-(s+v)}} L_{\frac{q}{k_1} h r'}(s+v+u_2+u_3, \psi) \\ &= \frac{L_{\frac{q}{h} k_1}(1-(s+v), \overline{\psi})}{\zeta_q(1+u_2+u_3)} L_{\frac{q}{k_1} h}(s+v+u_2+u_3, \psi). \end{aligned}$$

Note that the zeta function in the denominator here cancels exactly with the zeta function in the numerator of (55). This cancellation represents the resolution of the artificial use of Hecke multiplicativity from Sect. 2. Now take the sum over the k_i from (56) inside and denote the resulting sum by $K = K(s+v, u_i, q, h, k, \psi)$.

$$\begin{aligned} K & \stackrel{\text{def}}{=} \sum_{\substack{k_i | k \\ k_1 k_2 k_3 \\ (k_1 k_2 k_3, qh/k)=1}} \frac{\psi(k_1 k_2 k_3) \mu(k_1) \mu(k_2) \mu(k_3) \phi(k_1) \phi(k_2) \phi(k_3)}{k_1^{s+v+u_2+u_3} k_2^{s+v+u_1+u_3} k_3^{s+v+u_1+u_2}} \\ & \times \prod_{p|k_1} \left(1 - \psi(p) p^{-(s+v+u_2+u_3)}\right)^{-1} \\ & \times \prod_{p|k_2} \left(1 - \psi(p) p^{-(s+v+u_1+u_3)}\right)^{-1} \prod_{p|k_3} \left(1 - \psi(p) p^{-(s+v+u_1+u_2)}\right)^{-1}. \end{aligned}$$

Lemma 12 For k square-free the function K is holomorphic when $\operatorname{Re}(s+v+u_i+u_j) > 0$ for all $i \neq j$. Moreover it satisfies the bound

$$K \ll (k/(k, h))^{3-3\operatorname{Re}(s+v)-2\operatorname{Re}(u_1+u_2+u_3)}.$$

Proof We have

$$|K| \leq \sum_{\substack{k_i | k \\ k | k_1 k_2 k_3 \\ (k_1 k_2 k_3, qh/k)=1}} \prod_{p|k_1} \left| \frac{p-1}{p^{s+v+u_2+u_3} - \psi(p)} \right| \prod_{p|k_2} \left| \frac{p-1}{p^{s+v+u_1+u_3} - \psi(p)} \right| \\ \times \prod_{p|k_3} \left| \frac{p-1}{p^{s+v+u_1+u_2} - \psi(p)} \right|$$

all of whose terms are positive. Therefore we may drop the condition $k | k_1 k_2 k_3$ on the sum to get that $|K|$ is

$$\leq \prod_{\substack{p|k \\ p \nmid \frac{qh}{k}}} \left(1 + \left| \frac{p-1}{p^{s+v+u_2+u_3} - \psi(p)} \right| \right) \left(1 + \left| \frac{p-1}{p^{s+v+u_1+u_3} - \psi(p)} \right| \right) \\ \times \left(1 + \left| \frac{p-1}{p^{s+v+u_1+u_2} - \psi(p)} \right| \right)$$

hence the claim. \square

The result of all of these manipulations is that

$$D = \zeta_q(1+u_2+u_3)^{-1} \frac{\mu(k)^3}{\phi(\ell_2)} \sum_{\psi \pmod{\ell_2}} \tau(\overline{\psi}) g(\chi, \psi) \overline{\psi(\ell_1 h^2 k)} K \\ \times L_{q/h}(1-(s+v), \overline{\psi}) L_q(s+v+u_2+u_3, \psi) \\ \times L_q(s+v+u_1+u_3, \psi) L_q(s+v+u_1+u_2, \psi). \quad (57)$$

For a primitive Dirichlet character ψ of conductor ℓ we write the asymmetric functional equation as

$$L(w, \psi) = \varepsilon(\psi) X(w) L(1-w, \overline{\psi})$$

where

$$X_\ell\left(\frac{1}{2}+u\right) \stackrel{\text{def}}{=} \left(\frac{\ell}{\pi}\right)^{-u} \frac{\Gamma\left(\frac{\frac{1}{2}-u+\alpha}{2}\right)}{\Gamma\left(\frac{\frac{1}{2}+u+\alpha}{2}\right)} \quad \text{and} \quad \alpha \stackrel{\text{def}}{=} \begin{cases} 0 & \psi \text{ even} \\ 1 & \psi \text{ odd.} \end{cases}$$

In the case that ψ is imprimitive we let ψ^* be the primitive character of conductor ℓ_2^* which induces ψ of modulus ℓ_2 . We collect the miscellaneous Euler factors as $P = P(s+v, u_i, q, h, \ell_2, \psi)$, i.e.

$$\begin{aligned}
P &\stackrel{\text{def}}{=} \prod_{\substack{p|\ell_2 \\ p \nmid \ell_2^*}} \left(1 - \overline{\psi^*}(p) p^{-(1-(s+v))}\right) \prod_{\substack{p|q/h \\ p \nmid \ell_2}} \left(1 - \overline{\psi}(p) p^{-(1-(s+v))}\right) \\
&\times \prod_{\substack{p|q \\ p \nmid \ell_2}} \left(1 - \psi(p) p^{-(s+v+u_2+u_3)}\right) \left(1 - \psi(p) p^{-(s+v+u_1+u_3)}\right) \\
&\times \left(1 - \psi(p) p^{-(s+v+u_1+u_2)}\right).
\end{aligned}$$

The function P is entire in all of its complex variables, and if $1 - (s + v) \geq \varepsilon > 0$ and $s + v + u_i + u_j \geq \varepsilon > 0$ for some small fixed ε for all $i \neq j$ then $P \ll 2^{v(q/\ell_2^*)}$. Then we have that

$$\begin{aligned}
&L_{q/h}(1-s, \overline{\psi}) L_q(s+u_2+u_3, \psi) L_q(s+u_1+u_3, \psi) L_q(s+u_1+u_2, \psi) \\
&= \overline{\varepsilon(\psi^*)} X_{\ell_2^*}(s)^{-1} P L(s, \psi^*) L(s+u_2+u_3, \psi) \\
&\times L(s+u_1+u_3, \psi) L(s+u_1+u_2, \psi). \tag{58}
\end{aligned}$$

We now shift the contours in (55) to $\text{Re}(v) = -3/4$, $\text{Re}(s) = 5/4$ and $\text{Re}(u_i) = 1/\log q$, encountering simple polar divisors only when ψ in (57) is the trivial character at $s + v = 0$ and $s + v + u_i + u_j = 1$ for each $i \neq j$. Because $\tau(\overline{\psi})g(\chi, \psi)$ is of absolute value 1 when ψ is the trivial character, the terms produced by these divisors are negligibly small. We replace $L(s + v, \psi^*)$ in (58) by $L(s + v, \psi)$ and absorb the resulting finitely many Euler factors into P , leaving the estimate $P \ll 2^{v(q/\ell_2^*)}$ unaffected.

We make a change of variables $s + v \rightarrow s$, and define

$$U_2^*(s, u_1, u_2, u_3) \stackrel{\text{def}}{=} \frac{1}{2\pi i} \int_{(-3/4)} \frac{\Gamma(v)}{(-2\pi i)^v} U_2(s - v, u_1, u_2, u_3) dv. \tag{59}$$

The function U_2^* is holomorphic in the region

$$\begin{aligned}
&\max(\text{Re}(u_i)) - 1 < \text{Re}(s + u_1 + u_2 + u_3) < \kappa/2 \\
&-\kappa/2 < \text{Re}(u_i),
\end{aligned}$$

symmetric in the u_i variables, and by shifting the contour satisfies the bounds

$$U_2^* \ll_\varepsilon (1 + |s|)^\ell \exp(-(\pi/2 - \varepsilon)|\text{Im}(u_1 + u_2 + u_3)|)$$

where

$$\ell = \max(\text{Re}(s + u_1 + u_2 + u_3) - (\kappa + 1)/2, -3/2).$$

As $\text{Re}(s) = 1/2$ and $\kappa \geq 4$ we may take $\ell = -3/2$. Pulling together (55), (57), (58), and (59) we get that (54) is equal to

$$\begin{aligned}
& \frac{1}{q^2} \sum_{hk|q} \chi_h(-1) \frac{\mu(k)^3}{\phi(k)} \sum_{\ell_1 \ell_2 = \ell} \mu(\ell_1) \chi_{\ell_1}(-1) \\
& \times \frac{1}{(2\pi i)^4} \int_{(1/2)} \iiint_{(1/\log q)} \frac{U_2^*(s, u_1, u_2, u_3) q^{s+u_1+u_2+u_3} h^s}{(u_1 - \alpha_1)(u_2 - \alpha_2)(u_3 - \alpha_3)} \\
& \times \frac{1}{\phi(\ell_2)} \sum_{\psi \pmod{\ell_2}} \tau(\bar{\psi}) g(\chi, \psi) \psi(\ell_1 h^2 k) \varepsilon(\bar{\psi}^*) K P X_{\ell_2^*}(s)^{-1} \\
& \times L(s, \psi) L(s + u_2 + u_3, \psi) \\
& \times L(s + u_1 + u_3, \psi) L(s + u_1 + u_2, \psi) du_1 du_2 du_3 ds + O(q^{-1/2}). \quad (60)
\end{aligned}$$

There are finitely many similar cases: m_i with different signs, the terms T_1 from Proposition 2 and the term 1 from (53), each of which are evaluated in the same way and can be expressed as a formula (60) but with a different choice of holomorphic function U in lieu of U_2^* . We add together these cases and specialize (60) to the case q prime to obtain Theorem 2.

Now we finish the proof of Theorem 1. Taking absolute values inside, (60) is bounded by

$$\begin{aligned}
& \ll \frac{1}{q^{3/2}} \sum_{hk|q} (hk)^{1/2} 2^{v(hk)} \sum_{\ell_1 \ell_2 = \ell} \ell_2^{3/2} C^{v(\ell_2)} \int_{(1/2)} \frac{1}{(1 + |s|)^{3/2}} \\
& \times \frac{1}{\phi(\ell_2)} \sum_{\psi \pmod{\ell_2}} |L(s, \psi) L(s + \alpha_2 + \alpha_3, \psi) L(s + \alpha_1 + \alpha_3, \psi) \\
& \times L(s + \alpha_1 + \alpha_2, \psi)| ds. \quad (61)
\end{aligned}$$

We can apply Cauchy–Schwarz and the large sieve estimate of Lemma 8 in three different ways to (61). The bounds produced by the large sieve in each of these cases depend only on the sizes of

$$\begin{cases} |\alpha_2 - \alpha_3|, |\alpha_2 + \alpha_3| & \text{if Cauchy–Schwarz applied as (12)(34)} \\ |\alpha_1 - \alpha_2|, |\alpha_1 + \alpha_2| & \text{if Cauchy–Schwarz applied as (14)(23)} \\ |\alpha_3 - \alpha_1|, |\alpha_3 + \alpha_1| & \text{if Cauchy–Schwarz applied as (13)(24),} \end{cases}$$

and do not depend on s . Note the symmetry $\alpha_i \rightarrow -\alpha_i$ here, a shadow of the functional equation symmetry in the original problem. In fact the bounds we obtain depend only on the $|\alpha_i|$ and not on their signs.

Suppose first that all of the $|\alpha_i|$ are within a neighborhood of radius $1/3 \log \ell_2$ of each other. Then the $\phi(\ell_2)^{-1} \sum_{\psi}$ in (61) is bounded by

$$\ll (\log \ell_2)^2 (\log(v(\ell_2) + 1))^2 \times \begin{cases} (\log \ell_2)^2 & \text{if all } |\alpha_i| \leq 1/\log \ell_2 \\ (\log \ell_2) |\zeta(1 + 2i|\alpha_i|)| & \text{if some } |\alpha_i| > 1/\log \ell_2. \end{cases}$$

If not there is a pair of $\alpha_i, \alpha_j, i \neq j$ with

$$||\alpha_i| - |\alpha_j|| > 1/\log \ell_2 \quad (62)$$

and we apply Cauchy–Schwarz in the manner which detects the α_i, α_j for which (62) holds to find that the $\phi(\ell_2)^{-1} \sum_{\psi}$ of (61) is

$$\ll (\log \ell_2)^2 (\log(\omega(\ell_2) + 1))^2 |\zeta(1 + i|\alpha_i| + i|\alpha_j|)\zeta(1 + i|\alpha_i| - i|\alpha_j|)|.$$

Applying these in (61), writing the sum of multiplicative functions as a product, and applying Merten’s theorem one derives Theorem 1 for $\kappa \geq 4$.

7 The case of weight two

When $\kappa = 2$ the function $J(2\sqrt{nn_2n_3}/c) V_{1/2+\alpha}(n/q)$ is not continuous at $n = 0$, nor is it of bounded variation when $\alpha \neq 0$, so the use of Poisson summation in Sect. 2 needs to be justified. Let $Q(x) = J(2\sqrt{x}) V_{1/2+\alpha}(x)$ and consider the toy case

$$\sum_{n \geq 1} \chi(n) S(n, 1, c) Q(n) = \sum_{a \pmod{c}} \chi(a) S(a, 1, c) \sum_{n=0}^{\infty} Q(a + nc).$$

The interior sum on the right hand side is periodic modulo c so it has a Fourier series

$$\frac{1}{c} \sum_{m \in \mathbb{Z}} \widehat{Q}(m/c) e\left(\frac{ma}{c}\right).$$

It suffices to show that the Fourier series converges to the correct value. Suppose first that it converges to some finite value for any $c \in \mathbb{N}$ and $a = 0, \dots, c - 1$. If $a = 0$ both sides vanish because $\chi(0) = 0$ and there is nothing to prove. If $a \neq 0$ then $\sum Q(a + nc)$ is continuous at a , so the series is Cesàro summable to the correct value by Fejér’s Theorem, hence pointwise summable to the correct value. To see that the Fourier series converges to some finite value, we may calculate \widehat{Q} explicitly using (19), (4), (20), and use Euler–Maclaurin summation.

Next note that the sums over m_i do not converge absolutely in Sect. 6 when $\kappa = 2$ (but the sum over r does). Absolute convergence was used in Sect. 6 to swap the sums over m_i with the integrals from the Mellin inverses in Proposition 2, so we need to instead justify the interchange by hand.

Let $\kappa = 2$ and return to the sum defined by equation (51). Consider the lengthy expression formed by applying Lemma 9 and formula (50) via the decomposition (52) to (51). However we now keep the functions $e_c(m_1 m_2 m_3)$ and $T_2(m_1, m_2, m_3, c)$ inside the sums over m_i . The problematic interior sums over $m_i = k_i n_i$ are

$$\sum_{\substack{(n_1, k/k_1)=1 \\ (n_1, q'r'h/k)=1}} R_k(k_1 n_1) \psi(n_1) \sum_{\substack{(n_2, k/k_2)=1 \\ (n_2, q'h/k)=1}} R_k(k_2 n_2) \psi(n_2) \sum_{\substack{(n_3, k/k_3)=1 \\ (n_3, q'h/k)=1}} R_k(k_3 n_3) \psi(n_3) \\ \times e_c(k_1 k_2 k_3 n_1 n_2 n_3) T_2(k_1 n_1, k_2 n_2, k_3 n_3, c).$$

We use the function $w_0(x)$ from Sect. 4 which, recall, is smooth, identically 1 for $x < 1/2$, and identically 0 for $x \geq 1$ to split the three sums over n_i at a very large parameter Z . Summing by parts in each of the three variables n_i , the tails of the series are equal to

$$-\iiint_{\mathbb{R}_{>0}^3} \Sigma(k, c, u_i) \frac{\partial^3}{\partial u_1 \partial u_2 \partial u_3} (T_2(k_1 u_1, k_2 u_2, k_3 u_3, c) \\ \times (1 - w_0(u_1/Z)w_0(u_2/Z)w_0(u_3/Z))) du, \quad (63)$$

where

$$\Sigma(k, c, u_i) \stackrel{\text{def}}{=} \sum_{n_i \leq u_i} \prod_{i=1}^3 R_k(k_i n_i) \psi(n_i) e_c(k_1 k_2 k_3 n_1 n_2 n_3).$$

From its definition as a Mellin inversion the function $T_2(k_1 u_1, k_2 u_2, k_3 u_3, c)$ is smooth and satisfies

$$u_1^{j_1} u_2^{j_2} u_3^{j_3} \frac{\partial^{j_1+j_2+j_3}}{\partial u_1^{j_1} \partial u_2^{j_2} \partial u_3^{j_3}} T_2 \ll_{h,k,q,\varepsilon} \min_{\lambda \in [\varepsilon, 1-\varepsilon]} (u_1 u_2 u_3)^{\lambda-1+\varepsilon/10} r'^{-3\lambda-\varepsilon/10}.$$

Lemma 13 *We have the estimate*

$$\Sigma(k, c, u_i) \ll_{\varepsilon} \max \left((u_1 u_2 u_3)^{\varepsilon}, r^{-3/4} (u_1 u_2 u_3)^{3/4+\varepsilon} \right).$$

Proof We use formula (53) from Sect. 6:

$$e\left(\frac{m_1 m_2 m_3}{c}\right) = 1 + \frac{1}{2\pi i} \int_{(-3/4)} \frac{\Gamma(v)}{(-2\pi i)^v} \left(\frac{m_1 m_2 m_3}{c}\right)^{-v} dv.$$

The integral converges absolutely. We have then that $\Sigma(k, c, u_i)$ is given by

$$\sum_{n_i \leq u_i} R_k(k_i n_i) \psi(n_i) + \frac{1}{2\pi i} \int_{(-3/4)} \frac{\Gamma(v)}{(-2\pi i)^v} c^v \sum_{n_i \leq u_i} R_k(k_i n_i) \psi(n_i) n_i^{-v}.$$

Lemma 11 along with standard Perron formula and contour shifting techniques show that the first of these two terms is $\ll_{q,h,k,\varepsilon} (u_1 u_2 u_3)^{\varepsilon}$, and the second is $\ll_{q,h,k,\varepsilon} r^{-3/4} (u_1 u_2 u_3)^{3/4+\varepsilon}$. \square

Evaluating the derivatives in (63) produces eight terms, the most difficult of which is

$$k_1 k_2 k_3 T_2^{(1,1,1)}(k_1 u_1, k_2 u_2, k_3 u_3, c)(1 - w_0(u_1/Z)w_0(u_2/Z)w_0(u_3/Z)) \\ \ll_{h,k,q,\varepsilon} \min \left((u_1 u_2 u_3)^{-3/2+\varepsilon} r'^{-3/2}, (u_1 u_2 u_3)^{-11/6+\varepsilon} r'^{-1/2} \right), \quad (64)$$

using $\lambda = 1/2$ or $\lambda = 1/6$. We may rigorously swap the main portion of the sums over n_i with the Mellin inversion integrals from Proposition 2. The tails of the sums over n_i are given by the integral (63). This integral converges absolutely by Lemma 13 and (64), and moreover is $\ll_{q,h,k,\varepsilon} r'^{-5/4} Z^{-1/12+\varepsilon}$. Now taking the limit $Z \rightarrow \infty$ one shows by a Mellin transform argument that the smoothed partial main portion sums converge to the correct L -functions from Lemma 11, and the tails go to 0. Thus we rigorously establish (60) and Theorem 2 in the case $\kappa = 2$.

Finally, to derive Theorem 1 from Theorem 2 we shift the contour to $\operatorname{Re}(s) = 1/2 - 1/\log q$ and $\operatorname{Re}(u_i) = 1/4 \log q$. Note that if we chose $\operatorname{Re}(s) = 1/2$ as we did in the cases $\kappa \geq 4$ then the integral (61) would not converge. With the choice $\operatorname{Re}(s) = 1/2 - 1/\log q$ we calculate

$$\int_{-\infty}^{\infty} \frac{dt}{(1 + |t|)^{1+1/4 \log q}} \ll \log q,$$

so we gain a single extra $\log q$ in the result when $\kappa = 2$. \square

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