Unconventional orbital ordering and emergent dimensional reduction in fulleride superconductors

Shintaro Hoshino, Philipp Werner, and Ryotaro Arita

1Department of Physics, Saitama University, Shimo-Okubo, Saitama 338-8570, Japan
2Department of Physics, University of Fribourg, 1700 Fribourg, Switzerland
3Department of Applied Physics, University of Tokyo, Hongo, Tokyo 113-8656, Japan
4RIKEN Center for Emergent Matter Science (CEMS), Wako, Saitama 351-0198, Japan
5JST, ERATO, Isobe Degenerate π-Integration Project, Hongo, Tokyo 113-0033, Japan

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I. INTRODUCTION

Unconventional superconducting states are found in a broad range of materials with $p$, $d$, or $f$ electrons, and the exploration of the complex physical properties of these compounds is a central topic in condensed matter physics. Fullerene-based superconductors [1–5], which exhibit a superconducting dome in the vicinity of a Mott insulating phase, are an interesting example [6–11]. In $A_3C_{60}$, three electrons are doped onto each fullerene molecule from intercalated alkaline metals denoted by $A$ [12]. In the metallic compounds, the $t_{1u}$ orbitals form three half-filled narrow bands, and the electronic correlations are strong [13]. Even though the symmetry of the pairing state is $s$ wave, the superconductivity is different from that of conventional BCS superconductors [10,14]. An important ingredient in the superconducting mechanism is an effectively sign-reversed Hund’s coupling [15,16]. This antiferromagnetic Hund’s coupling favors the low-spin ($S = 1/2$) state rather than the high-spin ($S = 3/2$) state, which is favored by the usual Hund’s rule coupling. The low-spin state has doubly occupied orbitals, which can act as a seed for superconductivity [14,17–19].

Recent experiments have revealed the existence of a highly anomalous metallic state near the Mott transition [20–23]. Once the electrons are localized in the Mott phase, the electron-phonon coupling leads to a deformation of the fullerene molecule, which has been detected by IR spectroscopy in the kHz frequency range. On the other hand, in the conventional metallic regime, the molecules exhibit a nearly spherical shape. In the unconventional metallic regime close to the Mott phase, called the Jahn-Teller metal (JTM) [23], a deformation of the fullerene molecules has been detected. Furthermore, recent experiments have also been performed under a magnetic field [24] and a very large upper critical field reaching 90 T has been identified in the superconducting region at temperatures within the JTM regime.

In order to clarify the microscopic origin of the JTM, the three-orbital Hubbard model has been investigated using dynamical mean-field theory (DMFT) [25]. This study proposed that the Jahn-Teller metal state may be interpreted as a spontaneous orbital-selective Mott (SOSM) state, in which two of the three $t_{1u}$ orbitals are spontaneously selected to become Mott insulating, while the third one stays metallic, explaining the basic properties of the JTM [25,26]. Figure 1 schematically illustrates the SOSM state for fcc fullerenes. In the figure, intraorbital electron pairs are formed in the $x$ and $y$ orbitals, which pair-hop (with a transition rate $J_{\text{pair-hop}}/\hbar$) to the other orbitals. These pairs are spatially localized, which results in a Mott insulator. Doubly occupied orbitals are favored by the antiferromagnetic Hund’s coupling originating from the coupling to Jahn-Teller anisotropic phonon modes [15,27]. The remaining $z$ orbital is metallic, so that the resulting state is a SOSM state. We note that conventional orbital-selective Mott states are realized in systems with an originally broken orbital symmetry, while here it occurs spontaneously in a system with three degenerate orbitals. Of course, the $x$ or $y$ orbitals could equally well be selected as the metallic orbital, since the three orbitals are degenerate in a cubic structure. Utilizing this degeneracy, it has furthermore been proposed that the ordered state can be switched on the electronic timescale by electric field pulses [28]. The orbital ordering has also been
This paper is organized as follows. In the next section, we first review the nonlocal order parameters previously proposed, to clarify the novel aspect of this work. We introduce the model that we use in Sec. III. In Sec. IV, we analyze the order parameters, excitation spectrum, and static/dynamical transport coefficients. We discuss in Sec. V several aspects of the unconventional diagonal orders and summarize the results in Sec. VI. In Appendix A, the symmetry properties are summarized. Additional data for the frequency-dependent orbital moment is shown in Appendix B.

II. OVERVIEW ON NONLOCAL ORDER PARAMETERS

Order parameters, which characterize spontaneous symmetry breaking, can generally be expressed in terms of the Green functions

\[ M_{\alpha\alpha'}(\mathbf{R}_i, \mathbf{R}_j, t - t') = \delta(Tc_{\alpha}(\mathbf{R}_i, t)c_{\alpha'}(\mathbf{R}_j, t')) , \tag{1} \]

where \( \mathbf{R}_i, \mathbf{R}_j \) are the spatial coordinates of lattice sites and \( \alpha, \alpha' \) are flavor (spin/orbital) indices. The symbol \( \delta \) on the right-hand side represents the deviation from the scalar part which exists without symmetry breaking, and \( T \) is the time ordering operator. The time dependence enters through the Heisenberg picture as \( c(t) = e^{i\mathbf{H}t}c_0 e^{-i\mathbf{H}t} \) and we note that Eq. (1) is a function of relative time only if we focus on the equilibrium state. The flavor dependence of \( M_{\alpha\alpha'} \) characterizes the symmetry breaking of the internal degrees of freedom. In the case of spins, the simplest examples are the Pauli matrices \( \mathbf{M} \propto \sigma \).

For conventional diagonal orders such as magnetic, charge and orbital orderings, the primary order parameters are considered to be local both in space and time. The corresponding local quantity can be written as \( M_{\alpha\alpha'}(\mathbf{R}_i, \mathbf{R}_j, 0) \), and its Fourier transform is given by

\[ M_{\alpha\alpha'}(\mathbf{Q}) = \frac{1}{N} \sum_i M_{\alpha\alpha'}(\mathbf{R}_i, \mathbf{R}_i, 0) e^{-i\mathbf{Q}\cdot\mathbf{R}_i} , \tag{2} \]

where \( N \) is the total number of sites. Here only the spatial modulation of this local quantity and its flavor structure matter, and the time dependence does not necessarily enter (locality in time). On the other hand, there also exists the possibility that the order parameter is nonlocal in space or time. We refer to this case as unconventional diagonal order, following the terminology for superconductivity.

With the nonlocality kept, the single-particle correlation functions (1) can be transformed using Fourier components as

\[ M_{\alpha\alpha'}(\mathbf{k}, \mathbf{Q}, \omega) = \frac{1}{N} \sum_i \int dt M_{\alpha\alpha'}(\mathbf{R}_i, \mathbf{R}_j, t) \times e^{-i\mathbf{k} \cdot (\mathbf{R}_i - \mathbf{R}_j)} e^{i\mathbf{Q} \cdot (\mathbf{R}_i + \mathbf{R}_j)/2} e^{i\omega t} , \tag{3} \]

where \( \mathbf{k} \) and \( \mathbf{Q} \) are wave vectors originating from the Fourier transform with respect to relative and center-of-mass spatial coordinates, respectively.

The historically first example of a nonlocal order parameter is the Pomeranchuk instability, which results in a spontaneous deformation of the Fermi surface [35,40,41]. This mechanism is based on a weak-coupling picture with well-defined Fermi surfaces, and the order parameter describing the symmetry

FIG. 1. Schematic illustration of the SOSM state in the fcc fullerides \( A_2C_{60} \). Three \( t_2g \) orbitals are schematically drawn for two fullerene molecules. The orange colored atoms represent the alkali metal \( A \). A part of this figure is reproduced from Ref. [33].

discussed in a two-dimensional system [29] and in the context of nonequilibrium superconductivity [30,31].

The first task in the discussion of spontaneous symmetry breaking is to identify order parameters which are zero outside the ordered state. Several order parameters have been proposed to characterize the SOSM state [25], such as the orbital-dependent kinetic energy or the orbital-dependent double occupancy [32]. We have also characterized the ordered state by an odd-time dependent orbital moment. However, the reason why these order parameters coexist is still not clear. Furthermore, in the previous studies we considered simple particle-hole symmetric conduction bands and we do not know how this artificial choice affects the values of the order parameters. Therefore, a systematic understanding of the order parameters of the SOSM state is still lacking.

Here, based on the realistic band structure for fulleride superconductors, we show that the recently proposed SOSM state is characterized by a nonlocal order parameter and can be regarded as an unconventional orbital ordering. The physics behind this ordering is Mottness [34], which leads to a localized character of the electrons and an integer filling per site. As a consequence, as far as local quantities are concerned, the one-body order parameters vanish and the orbital-dependent two-body quantity known as the double occupancy becomes an order parameter in the SOSM state [25]. Thus the presence of Mottness distinguishes the JTM case from the previously proposed nonlocal order parameters [35–39].

In the present study, we focus on spatiotemporally nonlocal single-particle quantities, which are usually more easily measurable than many-body quantities through, e.g., transport measurements, while also being easily computable. Building on the previous DMFT results [25], we characterize the SOSM state based on nonlocal order parameters and also investigate the characteristic transport properties using the Boltzmann equation and through the optical conductivity. Our analysis thus extends the concepts and theoretical arguments related to unconventional orders to a more realistic and practical level that can be directly connected to real materials.
TABLE I. Previously proposed electronic orderings with nonlocal order parameters in correlated systems. All the listed order parameters are zero above the transition temperature, i.e., they are associated with a spontaneous symmetry breaking. The components with $r = 0$ and $t = 0$ correspond to a local quantity in space and time, respectively.

<table>
<thead>
<tr>
<th>Diagonal orders</th>
<th>Examples of order parameters</th>
<th>Off-diagonal-order analog</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pomeranchuk instability [35,40,41]/bond-order wave [36]</td>
<td>$M(k, Q, t = 0) \propto k_x, k_x \cos k_y$</td>
<td>anisotropic pairing</td>
</tr>
<tr>
<td>spin nematic [37,39]</td>
<td>$M(k, Q, t = 0) \propto k_x^2, k_x^2 \cos^2 k_y$</td>
<td>spin-triplet pairing</td>
</tr>
<tr>
<td>diagonal odd-frequency order [25,38]</td>
<td>$M(r = 0, Q, \omega) \propto \sigma \omega$</td>
<td>odd-frequency pairing</td>
</tr>
</tbody>
</table>

lowering is $k$ dependent and therefore nonlocal. A tight-binding analog of this effect has also been proposed and is called the bond-order wave [36]. If the spin-symmetry breaking is considered at the same time, and a $d$-wave like $k$-space structure is assumed, the resulting state is called “spin nematic” [37]. For example, $M(k, Q, t = 0) \propto d(k) \cdot \sigma$ with $d(k) \propto (k_x, k_x, 0, 0)$ for small wave vectors and $Q \neq 0$ a center-of-mass momentum to break the translational symmetry. A $p$-wave structure in $M$ has also been proposed recently [39], with the specific form $M(k, 0, t = 0) \propto k_y \sigma^y$ and $Q = 0$. These order parameters can be classified based on the point-group superconductivity with $p$- and $d$-wave pairings. Pair density waves have also been discussed [44–46], but their properties are associated with the local center-of-mass coordinates and are not directly related to the nonlocality of the order parameters.

Concerning time-dependent order parameters, Balatsky and Abrahams considered time-dependent spin correlations in quantum spin systems and proposed such a quantity as an order parameter for the chiral spin nematic state [42]. Although this order is realized in spin systems and is beyond the scope of this paper, which focuses on electronic orderings characterized by Eq. (3), its electronic analog has also been proposed in a two-channel Kondo lattice with spontaneous channel symmetry breaking. The order parameter is $M(r = 0, Q = 0, \omega)$ together with the spatially nonlocal one $M(\delta k, Q = 0, t = 0)$ where $\delta k$ is the energy dispersion of the bare conduction electrons [38]. This concept is closely related to the odd-frequency pairings with odd-time-dependent pair amplitudes [47–50]. A brief summary of the different nonlocal order parameters is provided in Table I.

In the following, we discuss in detail the nonlocal order parameter in a model for alkali-doped fullerides, where the nonlocal orbital moments inevitably coexist with vanishing local orbital moments due to Mottness. We also demonstrate that two or more nonlocal order parameters can be induced in general.

III. MODEL

Let us consider the orbital symmetry breaking in fulleride superconductors. In the following, we focus on the zero center-of-mass momentum case ($Q = 0$) for ordered states. The noninteracting Hamiltonian is given by

$$\mathcal{H}_0 = \sum_{ij} \sum_{\gamma \gamma'} \sum_{\sigma} t_{\gamma \gamma'}(R_i - R_j)c_{\gamma \sigma}^+(R_i)c_{\gamma' \sigma}(R_j)$$

(4)

$$= \sum_k \sum_{\gamma \gamma'} \sum_{\sigma} \epsilon_{\gamma \gamma'}(k)c_{\gamma \sigma}^+(k)c_{\gamma' \sigma}(k).$$

(5)

According to Ref. [13], the hopping matrix is given by

$$t[r = (\tfrac{a}{2} \tfrac{a}{2} 0)] = \begin{pmatrix} F_1 & F_2 \\ F_2 & F_3 \\ F_3 & F_4 \end{pmatrix},$$

(6)

$$t[r = (a 0 0)] = \begin{pmatrix} F_5 \\ F_6 \\ F_7 \end{pmatrix},$$

(7)

where $r = R_i - R_j$ is a relative space coordinate and $a$ is the lattice constant (see Fig. 1). The other matrices are constructed by symmetry considerations. The parameters for Rb$_2$C$_60$, which are considered here for simplicity, are $F_1 = -1.6, F_2 = -30.6, F_3 = 39.2, F_4 = -15.9, F_5 = -7.5, F_6 = -0.8, and F_7 = 1.5$ in units of meV [13].

Now we consider the SOSM state, where one of the three orbitals is metallic and the other two are Mott insulating with localized electrons. To qualitatively discuss the SOSM phase, we introduce the self-energy for the electron Green function and an orbital-dependent chemical potential and write

$$G_{\gamma \gamma'}^{-1}(k, \Omega) = [\Omega + \mu_{\gamma} - \Sigma_{\gamma}(\Omega)]\delta_{\gamma \gamma'} - \epsilon_{\gamma \gamma'}(k),$$

(8)

where $\Omega$ is a complex frequency and we set $\hbar = 1$. The physical quantities on the real frequency axis $\omega$ are derived by the analytic continuation $\Omega \rightarrow \omega + i\eta$ with $\eta = +0$. The local self-energy is justified in the limit of high dimensions as in DMFT [51]. We summarize the symmetry properties in Appendix A. At sufficiently low energies the self-energies for the SOSM state are given by

$$\Sigma_{x,y}(\Omega) = \frac{\tilde{U}^2}{\Omega},$$

(9)

$$\Sigma_{z}(\Omega) = 0,$$

(10)

where $\tilde{U}$ is a constant with the dimension of energy that is related to the orbital-selective Mott gap. The form of the self-energy is derived from the results obtained by DMFT in the three-orbital Hubbard model with an antiferromagnetic Hund’s coupling [25], and this parametrization suffices for the following discussion. In the case without particle-hole symmetry, the self energy can have the form $\Sigma_{x,y}(\Omega) = \tilde{U}^2/(\Omega - \Delta)$. However, $\Delta$, which is smaller than the Mott gap, can be absorbed into a shift of the chemical potential. The quasiparticle renormalization is neglected since it only modifies the bandwidth.

Since the Mott insulating $(x, y)$ orbitals have an even number of electrons, the single-particle spectrum itself cannot distinguish a Mott insulator from a band insulator. However, the origin of the gap is very different in the two cases: The Mott insulator is characterized by a self-energy of the form

$\Sigma_{x,y}(\Omega) = \frac{\tilde{U}^2}{\Omega}$.
which leads to bonding \((x + y)\) and antibonding \((x - y)\) orbitals. In the latter case, the corresponding order parameter is a conventional local orbital moment. The previous numerical calculations clearly demonstrated the frequency dependence of the self-energy [25], consistent with the realization of a Mott insulator.

Let us introduce a convenient expression for the above Green function. By doubling the matrix size, the Green function can be written as

\[
\hat{G}(k, \Omega) = \left[\begin{array}{cc}
\Omega \hat{1} + \hat{\mu} - \hat{\varepsilon}(k) & \hat{\Omega} \\
\hat{\Omega} & \Omega \hat{1}
\end{array}\right]^{-1},
\]

where ‘11’ means that the upper-left \(3 \times 3\) matrix block is extracted from the generalized \(6 \times 6\) matrix. We have defined \(\hat{\mu} = \text{diag}(\mu_x, \mu_y, \mu_z)\) and \(\hat{U} = \text{diag}(U_x, U_y, U_z) = \text{diag}(\hat{U}, \hat{U}, 0)\). This form can be interpreted as a “hybridization” of electrons with the composite particles induced by strong Coulomb interactions [32–55]. Through diagonalization, the Green function can be rewritten as

\[
\hat{G}(k, \Omega) = \hat{V}(k)_{11} [\Omega \hat{1} - \hat{\lambda}(k)]^{-1} [\hat{V}^{-1}(k)]_{11},
\]

where the check symbol \((\check{\quad})\) represents the \(6 \times 6\) matrix. \(\hat{V}(k)_{11}\) is the upper half block of \(\hat{V}(k)\) and is not a square matrix. Note that the matrix sizes of \(\hat{V}(k)_{11}\) and \(\{\hat{V}^{-1}(k)\}_{11}\) are \(3 \times 6\) and \(6 \times 3\), respectively. Thus the energy dispersion for the “quasiparticles” in Mott insulators are described by the eigenenergies \(\lambda_{\alpha}(k)\).

The orbital-dependent potential \(\mu_{ij}\) is determined in such a way that

\[
\sum_{\sigma} (n_{\gamma\sigma}(R_i)) = 1
\]

is satisfied for every site \(i\) and orbital \(\gamma\). Here we have introduced the local number operator \(n_{\gamma\sigma}(R_i) = c_{\gamma\sigma}^\dagger(R_i)c_{\gamma\sigma}(R_i)\). These constraints are due to the fact that a Mott insulator can be realized only for integer filling, so that Eq. (13) reflects the property of Mottness. Since the total number per site is also fixed to three, each orbital including the metallic one must have an average filling of one electron in the orbital selective Mott state considered here.

Let us add a comment on the constraint for a related orbital symmetry broken state. Recently, the existence of another interesting spontaneously orbital-selective state with two metallic unpaired orbitals has been revealed at higher temperatures [56]. Here only one orbital is in a paired state and this pair can hop from site to site with the hopping energy \(\hat{t}^2/\Delta E\), where \(\hat{t}\) is a renormalized hopping and \(\Delta E\) is the energy needed to break the pair. We call this state the spontaneous orbital-selective itinerant doublon (SOSID) state, to emphasize its physical nature, which is different from the SOSM state. In this case the Mottness constraint is not active since all the electrons are delocalized, and a local order parameter (conventional orbital moment) can generally appear. Thus, from the perspective of nonlocality of the order parameter, the SOSID state is qualitatively different from the SOSM state realized at low temperatures. We finally note that, if the system has particle-hole symmetry, the local one-body order parameter is zero even for the SOSID state.

### IV. Analysis

#### A. Order parameters

Since the orbital symmetry is clearly broken by the orbital-dependent field \(\Sigma_{\gamma}(\Omega)\), we consider the corresponding orbital moment

\[
M(r) = \frac{1}{N} \sum_{ijyy'\sigma} \delta_{ij}^{\gamma} \langle c_{y'\sigma}^\dagger(R_i)c_{y\sigma}(R_j) \rangle \delta(r - R_i + R_j),
\]

where the Gell-Mann matrix \(\lambda^8\) describes the symmetry breaking in orbital space [57]. With these quantities, the local orbital moment is obtained by taking the wave vector summation as \(M(r = 0) = N^{-1} \sum M(k)\). Its value is, however, zero due to the Mottness constraint represented by Eq. (13). Thus, we need to consider the nonlocal order parameter, which distinguishes the present system from the previously discussed conventional ones. The simplest nonlocal quantity that describes the orbital symmetry breaking is \(M(k = 0)\).

The \(k = 0\) and \(r = 0\) components have the same symmetry with respect to \(k\)-space rotations, and hence these two quantities can be simultaneously nonzero, as far as symmetry is concerned. However, due to the Mottness constraint, the spatially local \((r = 0)\) component becomes zero in the SOSM case, while the \(k = 0\) component can be nonzero. This Mottness constraint is distinct from the symmetries which are usually considered to impose constraints on physical quantities.

We can also consider the following nonlocal order parameter in imaginary time or the frequency domain:

\[
M(t) = \sum_{iyy'\sigma} \delta_{ij}^{\gamma} \langle T c_{y'\sigma}^\dagger(R_i) c_{y\sigma}(R_i, t) \rangle,
\]

\[
M(\Omega = i\omega_n) = \int_0^\beta d\tau M(-i\tau) e^{i\omega_n\tau},
\]

which is now chosen as spatially local. \(\beta = 1/k_B T\) is the inverse temperature and \(\omega_n = (2n + 1)\pi/\beta\) is the fermionic Matsubara frequency. The real-frequency representation can be obtained by analytic continuation \(\Omega \rightarrow \omega + i\eta\). In a similar manner to the discussion above, the \(t = 0\) component (local in time) vanishes due to Mottness, while the frequency dependent component \(M(\Omega)\) can be finite. The simplest order parameter is the \(\Omega = 0\) component. In this case, the even-frequency orbital moment is mixed with the dominant odd-frequency moment, which is discussed in more detail in Sec. IV B.

The short-time behavior can be characterized in another way. As pointed out in Ref. [25], the short-time \(t\) behavior is dominated by the composite order parameters. Namely, the \(t\)-linear coefficient is given by \(\langle [c_{ij\gamma\sigma}, \mathcal{H}]c_{ij\gamma\sigma}\rangle\), which
includes two-body quantities originating from the commutation relation between the fermion operator and the interaction term. Thus time- or frequency-dependent order parameters provide an alternative view of composite order parameters.

Let us comment on the particle-hole asymmetry in the electronic band structure. In a previous study [25], we have used a particle-hole symmetric density of states (DOS) with a semicircular shape to mimic the electron conduction band. In this case, the moment \( M(k = 0) \) at the \( \Gamma \) point also vanishes, in addition to \( M(r = 0) (= 0) \). This is a peculiar feature of the particle-hole symmetric DOS, which is generally absent in real materials. The same observation applies to the frequency dependent case: The value at \( \omega = 0 \) also vanishes in the particle-hole symmetric model. We have checked that in the more realistic model considered here, there is no constraint which enforces \( M(k = 0) \) and \( M(\omega = 0) \) (see Sec. IV B).

Let us add a further comment on the \( k \)-dependent order parameters: The finite orbital moment at the \( \Gamma \) point discussed above may be regarded as a monopole in terms of the multipolar expansion in \( k \) space around the \( \Gamma \) point [43]. This however vanishes for the particle-hole symmetric case, and in such a situation higher-order multipoles such as quadrupoles in \( k \) space must be considered to characterize the ordered state.

Another subtle issue is that there exist two or more order parameters at the same time. Thus the question arises: Which of these is the primary order parameter? The comparison of the magnitude is difficult since there is an ambiguity in the normalization process. Instead, we have to look at the structure of the potential that induces the spontaneous symmetry breaking, namely the anomalous self energy. In the present case, the self-energy is local and frequency dependent (as in DMFT), and the primary order parameter should be regarded as the odd-frequency diagonal order parameter given in Eq. (18). We note that this argument may change depending on the structure of the self-energy: If the anomalous self-energy is \( k \) dependent, the primary order parameter would be a spatially nonlocal order parameter.

### B. Single-particle excitation spectra

Here we consider some dynamical quantities relevant for fulleride superconductors. We define the single-particle spectrum as

\[
A_\gamma(k, \omega) = -\frac{1}{\pi} \text{Im} \, G_{\gamma,\gamma}(k, \omega + i\eta),
\]

\[
A_\gamma(\omega) = \langle A_\gamma(k, \omega) \rangle_k,
\]

where \( \langle \cdots \rangle_k \) denotes the average over \( k \) space, which results in a spatially local quantity. We also define the quantity

\[
\mathcal{M}(k, \omega) = \sum_\gamma \lambda_\gamma^8 A_\gamma(k, \omega),
\]

which gives us information on the spectral decomposition of the orbital moment.

We first show in Fig. 2(a) the band structure of the fulleride superconductor \( \text{Rb}_2\text{C}_{60} \) without any interaction effects, which has been obtained by density functional theory calculations [13]. Panels (b1)–(b3) plot the corresponding orbital-resolved spectra \( A_{\gamma,\gamma,z}(k, \omega) \). Since the spectral weight is not unique, it is represented as a color map with an appropriate broadening factor (\( \eta = 8 \) meV is taken to visualize the spectra). The orbital moment spectrum \( \mathcal{M}(k, \omega) \) is shown in Fig. 2(c).

Although the orbital ordered moment is zero for the disordered phase considered here, \( \mathcal{M}(k, \omega) \) can be nonzero for low-symmetry \( k \) points other than, e.g., the \( \Gamma \) and \( L \) points. In the following, we will compare these noninteracting results to those in the SOSM state.

Figure 2(d) shows the electronic dispersion relations in the SOSM state. The number of bands increases due to the splitting (for two orbitals) into upper and lower Hubbard bands. Since it is not easy to understand the nature of this state from the total spectral function, we also plot orbital-resolved spectra \( A_{\gamma,0,z}(k, \omega) \) in Figs. 2(e1)–2(e3). The orbitals \( x \) and \( y \) exhibit a Mott gap, while the orbital \( z \) is metallic. Its Fermi surface is basically consistent with that of a system with only \( z \) orbitals, whose noninteracting dispersion is plotted in Fig. 2(e4). The orbital moment spectrum is shown in Fig. 2(f). One sees that the insulating and metallic orbitals give contributions of opposite sign. The finite values at \( \Gamma \) and along the cut from \( \Gamma \) to \( L \), which is enclosed with a green dotted line in Figs. 2(c) and 2(f), clearly illustrate the orbital symmetry breaking.

Let us now discuss the spatially local but frequency dependent quantities. The DOS for each orbital is plotted in Fig. 3, where we again compare the normal metal and SOSM states. It follows from the comparison of panels (a) and (b) that the spectral weight is strongly reduced at low energy for the Mott insulating orbitals \( x, y \), while it increases for the \( z \) orbital. In Fig. 3(c), we push the Hubbard bands away by taking \( U \to \infty \). This leaves only the metallic \( z \) orbital, whose local spectral function qualitatively reproduces the low-energy result in Fig. 3(b). A noteworthy feature is that the particle-hole asymmetry seen in the normal metal is strongly modified in the SOSM state, which features an almost particle-hole symmetric DOS for the \( z \) orbital near the Fermi level. Furthermore, a van-Hove singularity-like structure, as obtained, e.g., in a square lattice, emerges near the Fermi level. We will return to this point in Sec. IV C.

Even though the value of the DOS at the Fermi level given by \( \sum_\gamma A_\gamma(\omega = 0) \) in the SOSM state remains comparable to that of the normal state, the system is effectively reduced to a single-orbital model. In this situation the multiorbital nature is lost, which is unfavorable for negative-Hund’s coupling superconductivity, where the multiorbital nature is essential for intraorbital pairing. This is consistent with the reduction of the superconducting transition temperature in the JTM region [23].

We next discuss the spatially local but frequency-dependent orbital moment defined in Eq. (18). The result on the real frequency axis is obtained by the analytic continuation \( M(\Omega = \omega + i\eta) \). Figure 4(a) shows the real and imaginary parts of \( M(\omega + i\eta) \). The integration of \( \text{Im} \, M(\omega + i\eta) \) multiplied with the Fermi distribution function is proportional to the local order parameter. The data shown in the figure are consistent with a vanishing local order parameter. We note that, for the real part, the integral does not become zero, but this cannot be represented by a time-local quantity.
FIG. 2. (a)–(c) Single particle spectra for the fulleride Rb$_3$C$_60$ without orbital ordering. (a) bare band dispersion and (b1)–(b3) orbital-resolved spectra $A_{\gamma}(k, \omega)$. The spectrally decomposed orbital moment $M(k, \omega)$ is plotted in (c). (d)–(f) are plots similar to (a)–(c), but for the SOSM state. The parameter $\tilde{U}$ is chosen as $\tilde{U} = 0.1$ eV and the broadening factor as $\eta = 8$ meV. The path in momentum space connects the points $\Gamma (0, 0, 0) \rightarrow X (0, 1, 0) \rightarrow U (\frac{1}{2}, 1, \frac{1}{2}) \rightarrow \Gamma (1, 1, 0), \Gamma (0, 0, 0) \rightarrow L (\frac{1}{2}, \frac{1}{2}, 0) \rightarrow K (\frac{3}{4}, \frac{3}{4}, 0) \rightarrow W (1, 1, 0) \rightarrow X (1, 0, 0)$ where the coordinates for the symmetric points in $k$ space are shown with the unit $\pi/a$.

It is notable that the real part of $M(\omega + i\eta)$ is a nearly odd function with respect to $\omega$. In contrast, for conventional orders, $\text{Re} M(\omega + i\eta)$ has an even-function shape, since the symmetry-breaking anomalous self-energy has a dominant constant contribution in the frequency domain. The result in Fig. 4 thus provides support for the interpretation of the SOSM state as an “odd-frequency orbital order.” Whereas we focus on the real frequency axis in the main text, the odd-frequency nature can also be seen in the imaginary frequency domain, which is discussed in Appendix B.

C. Anisotropic transport properties

1. Boltzmann transport

We now consider the transport properties of the SOSM state. We employ the Boltzmann theory [58] and calculate the electronic current $j$ and heat current $j_Q$, which can be expressed as

\[ j = \sigma E, \]

\[ j_Q = \kappa (\nabla T)/T. \]

The transport coefficient tensors $\sigma$ (electrical conductivity) and $\kappa$ (thermal conductivity) are given by

\[ \sigma = -e^2 \tau \sum_{\alpha \sigma} \left\langle v_{k\alpha} v_{k\alpha} \frac{\partial f(\varepsilon_{k\alpha})}{\partial \varepsilon_{k\alpha}} \right\rangle_k, \]

\[ \kappa = -\tau \sum_{\alpha \sigma} \left\langle v_{k\alpha} v_{k\alpha} (\varepsilon_{k\alpha} - \mu)^2 \frac{\partial f(\varepsilon_{k\alpha})}{\partial \varepsilon_{k\alpha}} \right\rangle_k, \]

where $f(\varepsilon) = 1/(e^{\varepsilon/k_B T} + 1)$ is the Fermi distribution function. The velocity is defined as $v_{k\alpha} = \partial \varepsilon_{k\alpha}/\partial k$, and the
The units are given by $\sigma_0 = \epsilon_r^2 1 \text{ meV}$ and $\kappa_0 = \frac{\pi \hbar^2}{m} \times (1 \text{ meV})^3$ where we have explicitly written $\hbar$. It is notable that in the SOSM case, the $y$ and $z$ components are much larger than the $x$ component. This implies the emergence of two-dimensional transport through the spontaneous orbital symmetry breaking in fullerides.

The above observation, emergent two-dimensionality, is consistent with the DOS plotted in Fig. 3. Namely, the DOS has a similar shape as that of a square lattice with a van-Hove singularity near the Fermi level. This can be qualitatively understood by the large tight-binding parameter $F_3$ for the $z$ orbital, which forms a nearly square lattice within the $yz$ plane. The slight shift of the van Hove singularity from zero seen in Figs. 3(b) and 3(c) is due to the effect of small next-nearest-neighbor hoppings.

We note that the $\epsilon_{\text{so}}$ molecular orbitals are sometimes interpreted as analogs of atomic $p$ orbitals, which in the case of the orbital-selective metal might incorrectly suggest a one-dimensional character, since the atomic $p$ orbitals are directed along a certain direction. The two-dimensionality of the SOSM state in the fullerene-based materials, demonstrated above, is a characteristic behavior originating from the complex shape of the molecular orbitals.

2. Optical conductivity

The characteristic features of the frequency-dependent orbital-symmetry breaking field can be seen in the optical conductivity. We introduce the current density operator

$$ j = \frac{e}{V} \sum_{k \nu' \gamma' \sigma} v_{\nu' \gamma'}(k) c_{\nu \gamma}^\dagger e^{i k_{\nu' \gamma'}}, $$

where the velocity is defined by $v_{\nu \gamma'}(k) = \partial \epsilon_{\nu \gamma'}(k)/\partial k$ and $V$ is the volume. The dynamical current correlation function with imaginary time/frequency is given by

$$ K_{\mu \nu}(i \nu_m) = V \int_0^\beta d \tau \langle j_{\mu}(\tau) j_{\nu} \rangle e^{i \nu_m \tau}, $$

where $\nu_m = 2 \pi m/\beta$ is a bosonic Matsubara frequency. We assume that vertex corrections can be neglected, which is justified for the local vertex functions as in DMFT [59]. The analytic continuation $i \nu_m \rightarrow \omega + i \eta$ is performed, and the final expression reads

$$ K_{\mu \nu}(\omega) = \frac{2 e^2}{V} \sum_k \int \frac{d \omega'}{2 \pi i} (f(\omega') \text{Tr} \left[ \hat{v}^\mu(k) \hat{G}^A(k, \omega' - \omega) \hat{v}^\nu(k) \hat{G}^A(k, \omega') \right] - f(\omega') \text{Tr} \left[ \hat{v}^\mu(k) \hat{G}^B(k, \omega') \hat{v}^\nu(k) \hat{G}^B(k, \omega + \omega') \right]) $n$

$$ - [f(\omega' + \omega) - f(\omega')] \text{Tr} \left[ \hat{v}^\mu(k) \hat{G}^A(k, \omega') \hat{v}^\nu(k) \hat{G}^B(k, \omega' + \omega) \right], $$

where $\hat{G}^A(k, \omega) = \hat{G}(k, \omega - i \eta)$ and $\hat{G}^B(k, \omega) = \hat{G}(k, \omega + i \eta)$ are the advanced and retarded Green functions on the real frequency axis, respectively. In practical calculations, it is convenient to use the diagonalized form given
Indeed, recent experiments show an enhancement of JTM state, which is interpreted here as the SOSM state. Two-dimensionality should be a characteristic property of the experimental results on fulleride compounds. The emergent phase.

in Eq. (12). The complex conductivity is then calculated as

\[ \sigma_{\mu\nu}(\omega) = \frac{K_{\mu\nu}(\omega)}{i\omega}. \] (31)

Thus the frequency-dependent transport properties can be studied through \( \sigma_{\mu\nu}(\omega) \).

The numerical results for the real part of the optical conductivity \( \text{Re} \sigma(\omega) \) are shown in Fig. 5. We have introduced the single-particle impurity scattering rate \( \Gamma \), which is included by the replacement \( \Omega \rightarrow \Omega + i\Gamma \) in Eq. (8), and have taken the zero-temperature limit. The anisotropic conductivity discussed above can be seen in Fig. 5(a). In the low-frequency regime, the anisotropy is substantial, while it is not pronounced at high frequencies. This behavior is more clearly visualized by looking at the ratio of conductivities shown in Fig. 5(b). We note that the two dimensionality appears only in the low-frequency regime. This behavior results from the frequency-dependent orbital-symmetry breaking field.

For comparison, we also show results obtained with a frequency-independent self-energy. Here, we simply replace \( \Sigma(\omega) \rightarrow U^2/\omega \rightarrow U \), corresponding to a static orbital-dependent mean field (we have chosen \( U_{xy} = \tilde{U} \neq 0 \) and \( U_z = 0 \)). The corresponding optical conductivity is shown in Fig. 5(c), and the ratio characterizing the anisotropy in Fig. 5(d). In strong contrast with the SOSM state the anisotropy is not enhanced at low frequencies. Hence the frequency dependence of the symmetry-breaking fields is clearly reflected in the optical conductivity.

V. DISCUSSION

A. Relevance to real materials

We first discuss possible implications for the interpretation of experimental results on fulleride compounds. The emergent two-dimensionality should be a characteristic property of the JTM state, which is interpreted here as the SOSM state. Indeed, recent experiments show an enhancement of \( H_{c2} \) in the superconducting state below the JTM regime [24]. If the external magnetic field is applied along the emergent two-dimensional plane, the orbital motion by the Lorentz force is not effective, which results in higher \( H_{c2} \) values. The use of polycrystals in the experiments implies that the above situation inevitably occurs, so that our finding accounts for the enhancement of \( H_{c2} \) in the JTM region.

Even single crystals should exhibit a multidomain nature due to entropic effects, so that an enhancement of \( H_{c2} \) can still be expected. To align the domains in the SOSM state, it is necessary to apply a uniaxial stress in the single crystal or to produce a small enough sample with length scale below the domain size. In this case, a characteristic magnetic field angle dependence should be observed reflecting the emergent two-dimensionality.

We also note that in real materials, the appearance of the JTM is observed as a crossover, while the theory predicts a transition with spontaneous symmetry breaking. One possibility is that the order parameter of the SOSM state is an unconventional one and the entropy release might be small, resulting in a small anomaly at the transition point. Another possibility is that with multidomains in polycrystals, a uniaxial pressure is effectively applied and turns the transition into a crossover. We should also consider the possibility of a short-range ordered state, in which the SOSM characteristics can only be observed over a certain finite time or length scale. In principle, this can be theoretically investigated by including spatial correlations. Since numerical simulations based on DMFT and its extensions are limited to small-size clusters, spatial correlations are difficult to incorporate. Therefore, a Ginzburg-Landau (GL) type analysis would be a better choice. A dynamically extended GL analysis would also be interesting for an effective description of the nonequilibrium dynamics including time-dependent changes in collective excitations and the switching of the order parameters [28]. A study of the physics in the presence of disorder and inhomogeneity would provide important information for a more direct connection with experiments.
FIG. 6. One-dimensional schematic illustrations for (left) orbital ordering (SOSM) in the half-filled three-orbital Hubbard model with antiferromagnetic Hund’s coupling and (right) channel ordering in the half-filled two-channel Kondo lattice. The bottom panel shows the single-particle excitation energy having both metallic and insulating bands.

B. Analogy to multichannel Kondo lattices

In this paper we have discussed the effect of the frequency-dependent orbital symmetry breaking field on the electronic structure of fullerides, where the originally equivalent orbitals split into metallic and insulating ones spontaneously. Here we point out that these behaviors are analogous to the ordered state of the multichannel Kondo lattice [38,60,61]. In the two-channel Kondo lattice, the local interaction part of the Hamiltonian is given by [62]

$$\mathcal{H}_{\text{int}} = \frac{J}{2} \sum_{\alpha=1,2} \sum_{\sigma,\sigma'} S \cdot c_{\alpha \sigma} \sigma \cdot c_{\alpha \sigma'},$$

(32)

where $\alpha = 1, 2$ is the channel (orbital) and $\sigma = \uparrow, \downarrow$ is the spin. The localized spin-1/2 operator is written as $S$. The Hamiltonian has a SU(2) symmetry in the channel space, which is spontaneously broken to result in a channel-inequivalent state at low temperatures, where the $\alpha = 1$ subsystem forms Kondo singlets with the localized spins, while the $\alpha = 2$ subsystem is effectively decoupled from the localized spins [38]. Hence, the $\alpha = 1$ subsystem plus localized spins becomes a Kondo insulator, while the $\alpha = 2$ subsystem behaves as nearly free electrons. The above picture is summarized in Fig. 6. It is known that the Kondo insulator can be intuitively interpreted as a hybridization of the electron with a composite fermion involving the localized spin [61,63]. Namely, the Kondo interaction for $\alpha = 1$ can be written as

$$\mathcal{H}_{\text{int}} \simeq \frac{J}{4} \sum_\sigma (c_{1 \sigma} \sigma F_\sigma + F_{\sigma} c_{1 \sigma}),$$

(33)

where $F_{\sigma} = \sum_\sigma S \cdot c_{\sigma \sigma} \sigma \cdot c_{1 \sigma}$. Indeed, the Heisenberg equation of motion in the strong-coupling limit becomes $\partial_t c_{1 \sigma} = [c_{1 \sigma}, \mathcal{H}_{\text{int}}] = \frac{i}{2} F_{\sigma}$, which is consistent with the one-body hybridization picture. We note that the interaction parameter $J$ should be replaced by an effectively renormalized one once the hybridization picture is applied.

In the present paper, on the other hand, we have considered electrons in a three-orbital Hubbard model, which spontaneously split into one metallic orbital plus two Mott-insulating orbitals. This insulating behavior can also be interpreted in terms of the hybridization picture [see also Eq. (11)], which is best visualized in the ordinary single-orbital Hubbard model. Here, the interaction part can be rewritten as

$$U n_{\uparrow} n_{\downarrow} = \frac{U}{4} \sum_\sigma (c_{\sigma} \sigma n_{\sigma} + n_{\sigma} c_{\sigma}),$$

(34)

where $n_{\sigma} = c_{\sigma} \sigma c_{\sigma}$ and $n_{\sigma} = n_{\sigma} c_{\sigma}$. This effectively explains the presence of the upper and lower Mott-Hubbard band within the Hubbard-I approximation [64] ($c_{\sigma} - 2 n_{\sigma}$ instead of $n_{\sigma}$ is more rigorous in developing the hybridization picture [53–55]). Although a more detailed analysis involving the multiorbital interaction should be considered for our Mott insulator, the basic idea of the hybridization of the original fermion with the composite ones should be the same.

In this way, the ordered states of the multichannel Kondo lattice and the multiorbital Hubbard model share the same features: the metallic and insulating parts coexist (see Fig. 6). Note that the ordered states in both models have no entropy at zero temperature and can be a ground state (i.e., the insulating parts form spin singlets). The above analogy suggests a unified concept which may be applied to strongly correlated systems with multiple degrees of freedom. In order to establish the connection, as the first step, it would be interesting to compare the effective impurity Kondo problems for the two models in the context of DMFT, which is left as a future study.

VI. SUMMARY

We have discussed unconventional order parameters which are nonlocal in space or time and are relevant for the description of the Jahn-Teller metal in fulleride superconductors. The Jahn-Teller metal can be interpreted as a spontaneous orbital-selective Mott state, which is inevitably characterized by a nonlocal order parameter. In particular, we have emphasized the role of Mottness in constraining the nature of this ordered state.

We have further explored the single-particle spectra and transport properties, which show the emergence of two-dimensionality in the orbital symmetry broken state. This is consistent with the observation of a high upper critical field in the Jahn-Teller metal regime. The characteristic dynamics is also found in the optical conductivity, where we have revealed the frequency-selective anisotropic transport originating from the frequency-dependent orbital-symmetry breaking fields. We have also pointed out the similarity between the multichannel Kondo lattice and the multiorbital Hubbard model with antiferromagnetic Hund’s coupling. These insights should be useful for the further exploration of strongly correlated electron phenomena in fulleride-based superconductors and for the construction of more general concepts for ordering phenomena in condensed matter physics.

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APPENDIX A: EFFECTIVE IMPURITY ACTION FOR CUBIC FULLERIDES

Here we explain the symmetry of the fulleride superconductors in terms of DMFT, where the self-energy is dynamical but spatially local. This local self-energy is determined by solving the effective impurity problem with the action

\[ S_{\text{eff}} = \int_0^\beta d\tau d\tau' \sum_{\gamma=a,b,z} \sum_{\sigma=\uparrow,\downarrow} c_\gamma^\dagger(\tau) \Delta(\tau - \tau') c_\gamma(\tau') \]

\[ + \int_0^\beta d\tau \left[ \sum_{\gamma} c_\gamma^\dagger(\tau) \partial_\tau c_\gamma(\tau) + \mathcal{H}_\text{loc}(\tau) \right]. \]  

(A1)

The first term represents the hybridization with the self-consistently determined effective bath, and the second term is the impurity part which includes the local electronic degrees of freedom only. Because of the cubic symmetry, the noninteracting part has a high symmetry: all six components \( \{\gamma, \sigma\} = (x, \uparrow), (x, \downarrow), (y, \uparrow), (y, \downarrow), (z, \uparrow), (z, \downarrow) \) are equivalent, i.e., SU(6) symmetric. On the other hand, the interaction term in \( \mathcal{H}_\text{loc} \) is of the standard Slater-Kanamori type with antiferromagnetic Hund’s coupling. Its symmetry is SU(2)×SO(3) in spin-orbital space [25], which is identical to the symmetry of the whole impurity system. We note that the above continuous symmetry is characteristic for the DMFT approach with effective local action and should be regarded as an approximation when it is applied to real materials.

In the orbital-ordered state as in the SOSM state, the continuous SO(3) symmetry is spontaneously broken. The type of the orbital order can be classified by using the eight 3×3 Gell-Mann matrices \( \lambda_{1-8} \). For a time-reversal symmetric state, we have five choices described by the matrices \( \lambda_{1,3,4,6,8} \) whose explicit forms are given in the Supplemental Material of Ref. [25] \( \lambda_{2,5,7} \) represent orbital magnetism and break the time-reversal symmetry. Due to the original SO(3) symmetry, we only have to consider the two-dimensional plane of \( \lambda_8 \) and \( \lambda_3 \), which are diagonal matrices in orbital space. The other order parameters \( \lambda_{1,4,6,8} \) can be shown to be equivalent to the ones located in the \( \lambda_3, \lambda_3 \) plane. This can be checked in the same way as showing that \( s_z \) and \( s_y \) are equivalent to \( s_z \) due to the SU(2) symmetry in spin space.

We denote the order parameter corresponding to the Gell-Mann matrix \( \lambda_8 \) by \( T_8 \), which can be any single-particle quantity, e.g., a local Green function or self-energy. Within the \( T_8 \) plane, there are the following three independent components: \( \langle T_8, T_3 \rangle = (r(0, 1), r(1, 0), r(−1, 0) \) where \( r > 0 \) is a scaling constant. We note that \( (0, 1) \) and \( (0, −1) \) are equivalent, but \( (1, 0) \) and \( (−1, 0) \) are not (i.e., cannot be connected by symmetry). Using the cubic symmetry, we can write down the Landau free energy in the \( T_8 \) plane as [25]

\[ F = F_0 + a(T_8^2 + T_3^2) + bT_8(T_8^2 - 3T_3^2) + c(T_8^2 + T_3^2)^2, \]

where the sign change of the coefficient \( a \) signals the phase transition and \( c > 0 \) to make the order parameters finite. The third-order term with \( b \) is characteristic for the present case, which makes the solution \( T_8, T_3 \) = (r(0, 1) unstable. Hence we have only the following two candidates for the most stable state, depending on the sign of \( b \): \( T_8, T_3 \) = (r(1, 0) for \( b < 0 \) and \( T_8, T_3 \) = (r(−1, 0) for \( b > 0 \). Indeed, our self-energy in Eqs. (9) and (10) can be written by using \( \lambda_8 \) and the identity matrix, both of which are diagonal. Note that the above discussion is based only on the symmetry and is valid for cubic systems with three degenerate (molecular) orbitals as far as only the orbital degrees of freedom are concerned. We also note that the symmetry is discrete once we focus on the \( T_8 \) plane although the original Hamiltonian has a continuous symmetry in orbital space.

In the main text we denote the \((1,0)\) state as the SOSM state and the \((-1,0)\) state as the SOSID state. As discussed in Sec. III and Ref. [56], the SOSM state is more stable at low temperatures because of the smaller entropy and the energy gain from the pair hopping.

APPENDIX B: IMAGINARY FREQUENCY REPRESENTATION OF THE ORBITAL MOMENT

The odd-frequency nature of the order parameter in the SOSM state can be even more clearly seen in the imaginary (Matsubara) frequency domain. Figure 7 shows the real and imaginary parts of \( M(\Omega = i\omega_n) \), which is the imaginary-frequency version of Fig. 4. The imaginary part, which is an odd function of \( \omega_n \), dominates the real part.


FIG. 7. Spatially-local and frequency-dependent orbital ordered moment on the imaginary axis.
The ordering can be confirmed in two ways: the divergence of the
susceptibility at the transition temperature (\( T_c \)) and the presence of a nonzero order parameter below \( T_c \).


A rotation-symmetry broken state, as in orbital ordering, is called a “nematic” state.


S. Hayami, M. Yatsushiro, Y. Yanagi, and H. Kusunose, Phys.


A. Georges, G. Kotliar, W. Krauth, and M. J. Rozenberg, Rev.


Although another Gell-Mann matrix \( \lambda^3 = \text{diag}(1, -1, 0) \) also describes the orbital symmetry breaking, \( \lambda^8 \) is shown to be energetically more stable at low temperatures [25,56].


