

Toward Reproducible Three-Dimensional Microstructure Analysis of Granular Materials and Complex Suspensions

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Abstract: Focused ion beam nanotomography (FIB-nt) is a novel method for high resolution three-dimensional (3D) imaging. In this investigation we assess the methodological parameters related to image acquisition and data processing that are critical for obtaining reproducible microstructural results from granular materials and from complex suspensions. For this purpose three case studies are performed: (1) The precision of FIB-nt is evaluated by analyzing a reference sample with nanospheres. Due to the implementation of an automated correction procedure, drift phenomena can be removed largely from the FIB data. However, at high magnifications remaining drift components can induce problems for 3D-shape reconstructions. (2) Correct object recognition from densely packed microstructures requires specific algorithms for splitting of agglomerated particles. To establish quantitative criteria for the correct degree of splitting, a parametric study with dry portland cement is performed. It is shown that splitting with a k -value of 0.6 leads to accurate results. (3) Finally, the reproducibility of the entire cryo-FIB analysis is investigated for high pressure frozen cement suspensions. Reproducible analyses can be obtained if the magnification is adapted to the particle size. At low magnifications the small particles and their surface area are underestimated. At high magnifications representativity is questioned because local inhomogeneities can become dominant.

Key words: focused ion beam (FIB), nanotomography, image analysis, particle size distribution, nanoparticles, agglomeration, ordinary portland cement, cryomicroscopy

INTRODUCTION

Microscopic particle analysis of granular materials requires reliable high-resolution imaging techniques and suitable image analysis procedures. Thereby, complex suspensions are among the most difficult granular materials for such investigations. Recently, the high-resolution focused ion beam nanotomography (FIB-nt) technique has been adapted for cryoimaging, and first quantitative results were presented for cement suspensions (Holzer et al., 2007; Zingg et al., 2008). In the present article the methodological requirements for representative particle analysis with (cryo-)FIB-nt are critically evaluated.

Suspensions with high solid volume fractions are widely used in materials processing of colloids, ceramics, cements, and various composites. However, a quantitative microscopic description of the corresponding particle structures is widely missing because of a lack of suitable methods. The microstructural complexity of these systems includes irregular particle shapes (i.e., nonsphericity), polydispersity (i.e., wide particle size distributions), chemically reactive components (i.e., time dependency of the systems), and also phys-

ical instabilities (e.g., the tendency to flocculate). Each of these phenomena, which are difficult to describe quantitatively, may have considerable influence on flow properties and on colloidal stability. On a theoretical level, colloidal stability and associated mechanical properties can be considered as the result of the total interaction forces between particles in suspension, which can be obtained by the summation of forces at the individual particle contacts (Russel et al., 1989). Hence, in addition to a description of the dominant forces at the individual contacts, it is of major interest to characterize quantitatively the particle densities and the number of contacts. For nonreactive systems that undergo neither dissolution precipitation nor agglomeration, the particle structures and the corresponding contact numbers can be modeled indirectly from geometrical considerations based on the parameters from the raw materials (i.e., particle size distributions) and by taking into account the mix parameters such as solid volume fraction and particle size distribution (Suzuki & Oshima, 1983; Flatt & Bowen, 2006). However, for systems that undergo chemical reactions or that tend to form nonuniform spatial arrangements of the particles (i.e., flocculation/agglomeration), the true particle structure can only be determined by microstructure analysis directly from the suspension. Unfortunately, experimental characterization with methods that work well for suspensions with low solid volume fractions is highly

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controversial in suspensions with high solid loadings (see, for example, Goodwin, 2004 for discussion of small angle neutron scattering (SANS), small angle X-ray scattering (SAXS), and laser diffraction in colloidal science). An alternative way of microstructure characterization in suspensions includes cryomicroscopy. The microscopic techniques that are applied in this study are based on a series of methodological developments that have been undertaken over the last four years. The procedure starts with cryo-sample preparation based on high pressure freezing that was adapted from life sciences (Zingg et al., 2008). It further includes a novel high-resolution three-dimensional (3D) microscopy technique using FIB (FIB-nt; Holzer et al., 2004). This technique has been adapted for cryoimaging (Holzer et al., 2007). Furthermore, extensive image analysis techniques have been developed specifically for accurate object recognition, for stereological correction of boundary truncation effects, and for subsequent quantification of the complex granular textures (Münch et al., 2006).

EXPERIMENTAL DETAILS

The entire quantification procedure consists of a series of delicate working steps: (1) cryosample preparation, (2) image acquisition by drift-corrected FIB serial sectioning, (3) 3D reconstruction, (4) binarization, (5) object recognition, and (6) extraction of quantitative parameters. The entire procedure must be considered as an “analytical chain,” and each individual working step represents an equally important segment within this chain. An error in each single working step will propagate into the final results. Unfortunately, it is very difficult to determine the degree of uncertainty of the individual working steps in the final results. Therefore, in this investigation we present three case studies of increasing complexity in order to isolate specific analytical problems from the entire work flow.

In the first case study, the precision of 3D imaging with FIB is investigated. At high resolutions drift phenomena may result in irregular interlayer distances of the FIB serial sectioning. These irregularities in the acquired stack of images will impose distortions to the reconstructed 3D microstructures, which will induce an unknown error in the quantitative analysis of the FIB data. It shall be investigated to what extent the drift can be eliminated with an automated drift correction procedure by analyzing a reference material with monosized nanoparticles.

Correct object recognition is a fundamental prerequisite for statistical particle analysis, which shall be addressed in the second case study. Particles with similar chemical composition tend to have similar grayscale values in the FIB images, and therefore the interface between such objects is difficult to identify. To define the object boundaries between neighboring particles in densely packed microstructures, a splitting algorithm (Münch et al., 2006) has been introduced. Unfor-

tunately, there exist no strict physical laws or criteria for the degree of splitting. Therefore, a parametric investigation is performed to establish empirical criteria for determination of splitting parameters that result in realistic particle structures. For this purpose FIB-nt analysis is performed on a sample of densely packed cement powder, and the FIB results are compared with light scattering experiments.

In the third case study, the entire procedure including cryopreparation, drift corrected FIB serial sectioning, and object recognition by splitting is applied to fresh cement suspensions. The cryoanalyses are performed with three different magnifications to test the overall reproducibility of the methodology at different resolutions. It is the aim of this article to demonstrate the potential of (cryo) FIB-nt for reproducible particle analysis of granular materials and of complex cement suspensions, while paying attention to the limitations and uncertainties.

RESULTS

Case Study 1: Precision of the FIB-nt Serial Sectioning Procedure with Integrated Drift Correction

FIB-nt is a rather novel 3D microscopy technique that was successfully applied in various materials science disciplines during the last few years (Inkson et al., 2001; Holzer et al., 2004, 2006, 2007; Konrad et al., 2006; Münch et al., 2006; Uchic et al., 2006, 2007; Wilson et al., 2006; Holzapfel et al., 2007; McGrouther & Munroe, 2007; Velichko et al., 2007). FIB-nt is based on a serial sectioning procedure with a dual beam FIB-scanning electron microscopy (SEM) machine. Thin material layers are successively removed with the ion beam and simultaneously a set of electron images is acquired from the freshly exposed surfaces. In this way stacks with hundreds of two-dimensional (2D) images can be produced from which the 3D microstructures are then reconstructed. For high resolution FIB-nt the thickness of the removed material layers in *z*-direction should be of a similar magnitude as the pixel resolution in the *x-y*-imaging plane, i.e., in the range between 10 and 50 nm. At such high magnifications it is a major challenge to keep the layer thickness constant during the entire serial sectioning experiment, which typically lasts for 12 to 24 h. During this time interval drift phenomena that are either caused by electromagnetic or by mechanical instabilities can become significant. Drift rates that have been measured in our dual beam FIB (FEI strata DB235) are typically in the range of 300 ± 100 nm/h (5 nm/min). In the serial sectioning procedure, the duration for a single cycle of material erosion and image acquisition is approximately 4 min. Thus, displacements of approximately 20 nm have to be encountered during each cycle of erosion and imaging. This means that for high resolution FIB-nt the drift components are of a similar magnitude as the layer thickness. Consequently, significant

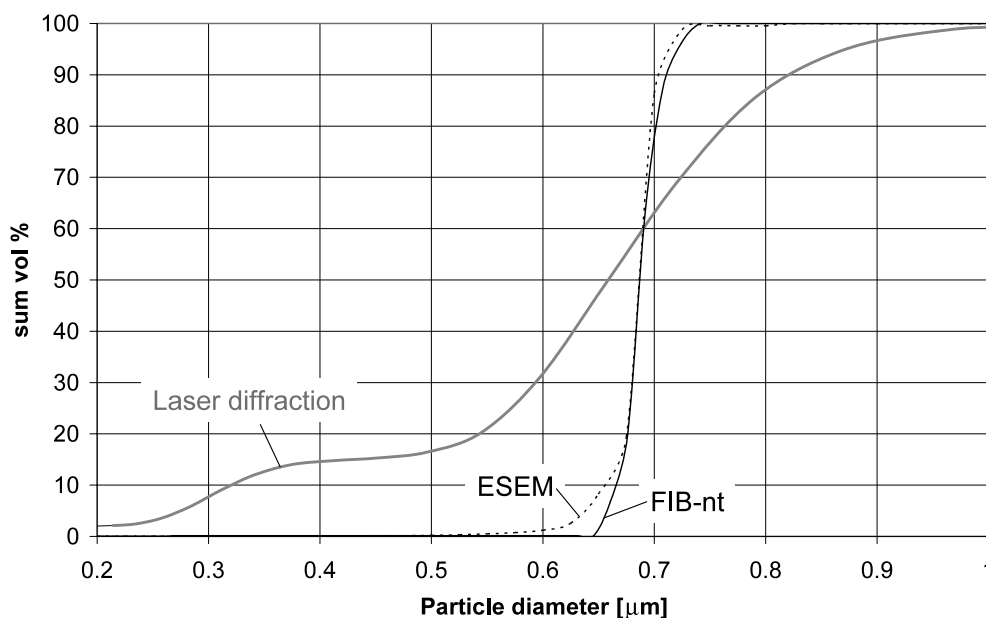


Figure 1. Cumulative particle size distributions (PSD) of monosized SiO₂ spheres. Comparison of three different techniques: FIB-nt, ESEM, and LG.

distortions must be expected in the final 3D reconstructions when drift is not corrected. In this context it is important to note that drift components in x - and y -directions (i.e., parallel to the imaging plane) can be corrected by image correlation during the off-line image processing. In contrast, drift components in z -direction perpendicular to the imaging plane cannot be corrected during off-line image processing. To compensate the z -drift components, an automated on-line correction procedure has been developed that is based on recognition and repositioning of predefined reference marks (Holzer et al., 2004). Nevertheless, precise correction of the small drift displacements of only a few pixels is a challenging task, and the accuracy of the automated correction procedures has not yet been documented. Therefore, in this case study the precision of the drift corrected FIB-nt is tested by analyzing a reference sample of monosized nanospheres.

Materials and Methods

The densely packed reference sample a1 was prepared by slow sedimentation of monosized SiO₂ particles from a dilute suspension in H₂O. Subsequent drying of the sediment layer resulted in solidification of the sample. Further preparation steps included pressure impregnation at 200 bars with epoxy-resin, grinding, polishing, and Pt-coating. The impregnated sample a1 was then analyzed with FIB-nt at a magnification of 35k \times , with an accelerating voltage of 5 kV and with spot size 3.

The specified diameters of the particles are 750 nm \pm 100 nm (www.focenter.com, ÅngströmSphere 750). To validate the specified size range of the reference particles, 100

individual objects were analyzed with an ESEM XL30 FEG. In addition, laser granulometry (LG) was performed using a Beckman Coulter LS 230 instrument with polarization intensity differential scattering. For data processing the Mie theory was applied as an optical model (Jones, 2003). The following refractive indices (RI) were chosen from a database of the manufacturer: RI of real part = 1.56, RI of imaginary part = 0.01, RI of dispersant (isopropanol) = 1.3776.

Results and Discussion

The particle size distributions (PSD) from FIB-nt, LG, and ESEM are plotted in Figure 1. Thereby, nearly all ESEM measurements from 100 individual SiO₂ particles fall within a narrow size range between 650 and 720 nm, which is considered as the range of true particle diameters. The PSD curve from FIB analysis also covers a narrow size range between 650 and 720 nm that fits perfectly with the ESEM measurements. As shown in Figure 2, many particles are truncated at the boundary of the 3D volume from FIB. To circumvent problems related to the boundary truncation effects, the PSD from FIB-nt includes only the nontruncated particles and skips all objects that are truncated. This procedure is justified because the sample a1 has a very narrow PSD. (Note: in case studies 2 and 3, a stereological boundary correction will be applied.) With respect to the image processing from FIB data, it is also important to note that uncertainties related to object recognition are negligible with the chosen reference material. Due to the spherical shape, the correctness of segmentation and object recognition can be controlled by screening through the segmented

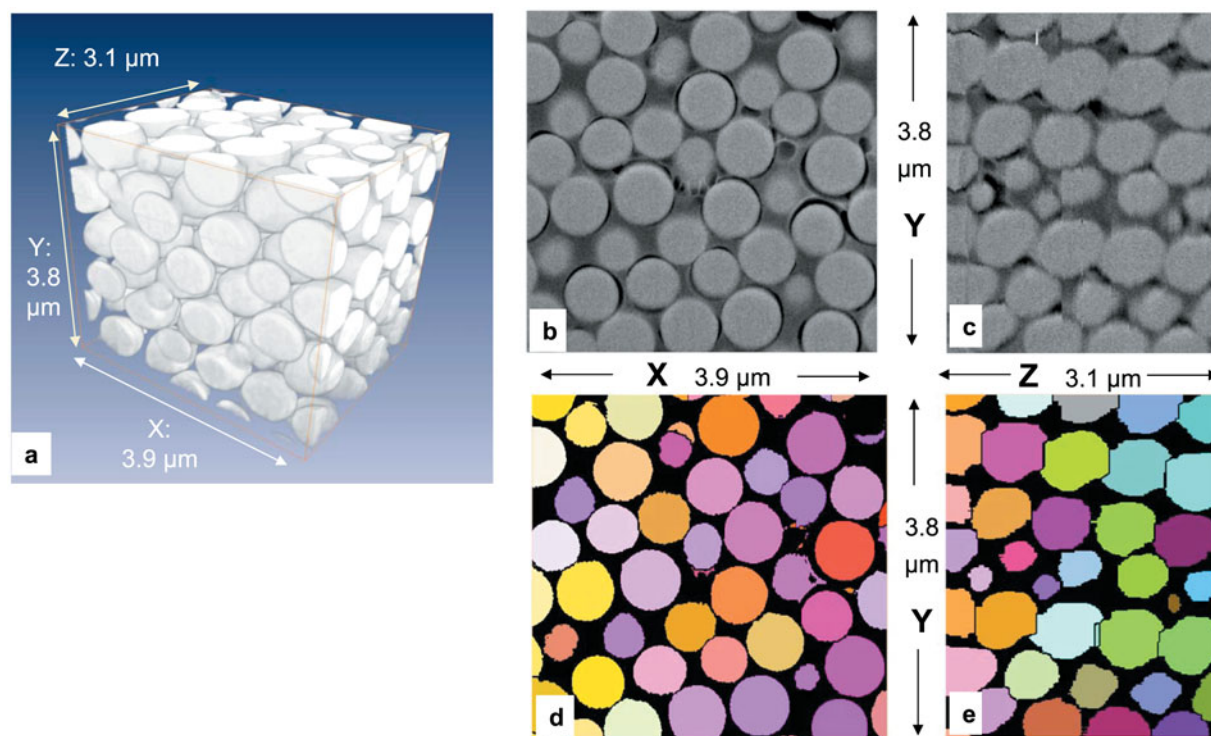


Figure 2. FIB images from reference sample a1, which consists of monosized SiO_2 spheres. **a:** 3D reconstruction from FIB-nt. **b,c:** BSE grayscale data with orthogonal slices in x - y and in y - z orientation. **d,e:** Same orthoslices after object recognition.

images and by checking whether the labeled particles have round shapes (see Fig. 2). Based on this procedure, correct object recognition can be confirmed for nearly all particles. Nevertheless, certain irregularities of the FIB image quality become apparent at a closer look. As documented in Figure 2b,c, the precision (i.e., resolution and noise) of FIB data depends on the orientation of the orthogonal slices. In the original SEM images (x - y planes, Fig. 2b), the particles appear to be perfectly round and sharp. In contrast in the y - z plane (Fig. 2c), the particle boundaries are less sharp due to noisy imperfections. These imperfections are interpreted as drift components in z -direction, which remain even after automated drift correction. These irregularities are then amplified during segmentation, object recognition, and reconstruction of 3D particle shape. As illustrated in Figure 2e, the shapes of the reconstructed particles deviate strongly from perfectly spherical. Nevertheless, the comparison with the PSD from ESEM (Fig. 1) indicates that this effect does not affect the measured particle volumes and the corresponding PSD from FIB-nt. For the present example, it can thus be concluded that only minor image defects remain in the drift corrected data from FIB serial sectioning. Thereby, different microstructural parameters have different sensitivities to these subtle imprecisions. Shape reconstructions of the individual particles are strongly affected by the remnant drift components. It is obvious that

these imprecisions would also propagate into the results of a surface area measurement from the same particles. In contrast for the same FIB analysis, the volumetric particle size measurements and the associated PSD are hardly affected by the image defects.

The results from LG for sample a1 indicate an unrealistically wide size distribution. Although the 50% fractile of 650 nm falls within the range of correct particle diameters, this method clearly overestimates the amount of particles below 600 nm and above 750 nm. Especially the 15 vol% measured for particles smaller than 400 nm must be considered as an analytical error. It appears that LG is not a suitable method for particles in the sub- μm range. A possible explanation is that light scattering is complicated by unusual effects in the case where particle diameters are of the same size (or smaller) as the laser wavelength. The investigation of this phenomenon is beyond the scope of this article. Nevertheless, for sub- μm particles a similar effect is also observed in LG measurements of case study 2.

Case Study 2: Parametric Study for Reliable Object Recognition in Densely Packed Microstructures

The quality of any microscopic particle analysis is directly related to the ability of identifying accurately the individual objects in the image data. In this case study, we are address-

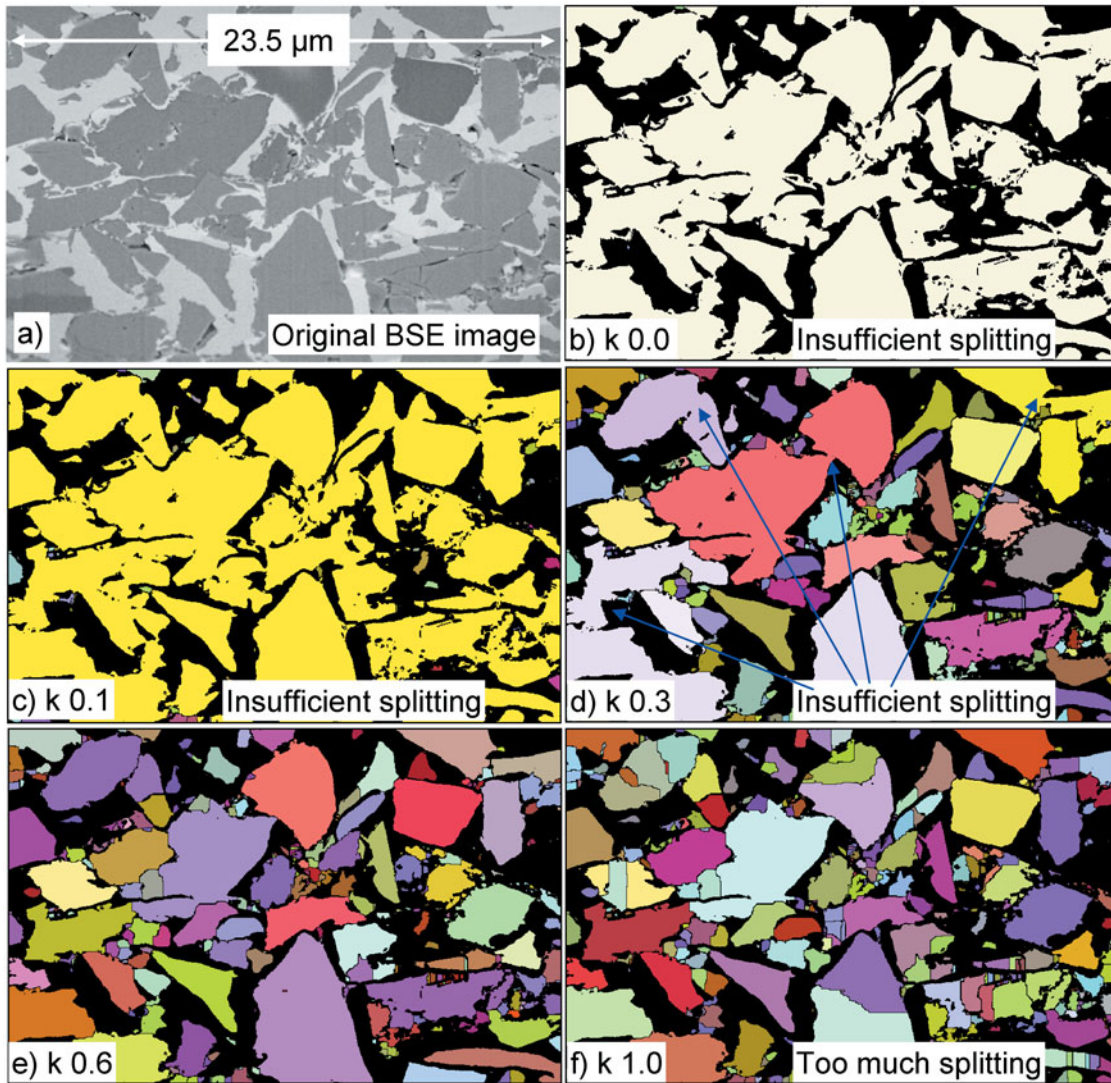


Figure 3. Visualization of particle structures in sample s3 (compacted cement grains) after splitting with different k values. The individual objects are labeled with distinct colors. The 2D images arbitrarily represent slices nr 100 out of the serial sectioning stack from FIB-nt.

ing the issue of reliable object recognition in densely packed microstructures. In the electron images from FIB-nt, the neighboring particles usually exhibit a poor contrast between each other (Figs. 3a, 4a), and therefore an accurate identification of individual grains is difficult. For this purpose an algorithm has been developed recently for object recognition in densely packed microstructures (Münch et al., 2006). With this algorithm the primary particles are isolated from multiparticle features in the binarized data (after thresholding, see Fig. 3b) based on 3D geometrical criteria. The objects are separated from each other by successive particle erosion whereby splitting occurs at the concave necks. Whether or not an object with a given concavity is effectively split into two objects depends on the parameter k ($k \in \{0 \dots 1\}$), which stands for the ratio between the neck

diameter and the particle width. In previous studies the k factor was chosen based on visual inspection of the resulting particle structure for which a reasonable result is obtained. However, so far no quantitative criteria have been established for the correct determination of the k factor. For this purpose we are performing a parametric study on splitting and object recognition. Thereby a set of 3D particle structures is produced from a single FIB-nt volume by systematic variation of the k values. The resulting PSDs are then evaluated and compared with results from LG.

Materials and Methods

An intermediate cement grain size fraction (s3) was separated from an ordinary portland cement (OPC CEM I

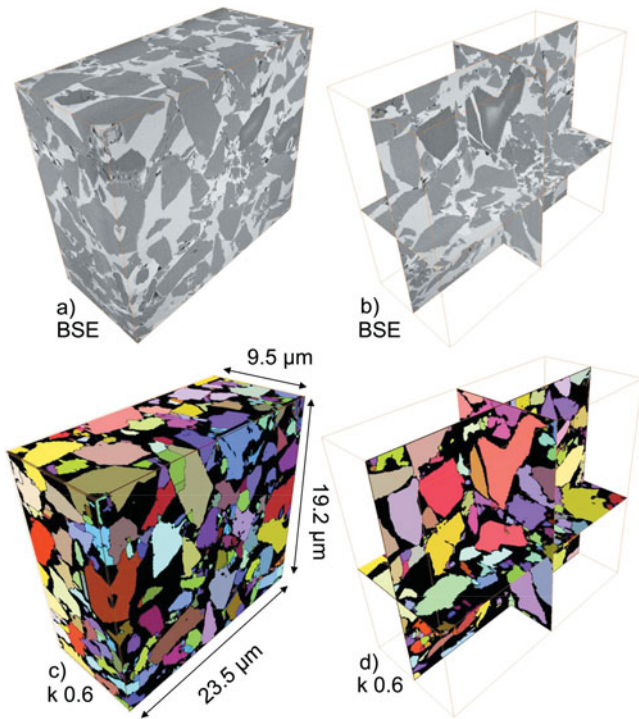


Figure 4. 3D reconstruction of particle structures in sample s3 from FIB-nt. Comparison of (a,b) original BSE images with color labeled objects after splitting with (c,d) a k value of 0.6. Note that the pores are impregnated with woods metal.

32.5R) by air classification with an Alpine MRZ2, using lower and upper rotation velocities of 10,000 and 15,000 min^{-1} , respectively. The size distribution of the cement grain size fraction was determined by LG using a Beckman Coulter LS 230. The data from laser granulometry were processed according to the Mie theory with the following parameters: RI real part = 1.56, RI imaginary part = 0.01, RI dispersant (isopropanol) = 1.3776. The resulting volume fractiles from LG are as follows: 10% = 0.58 μm , 50% = 4.76 μm , 90% = 8.79 μm .

For FIB analysis a sample with dense particle packing was prepared by compacting the air fractionated cement grain size fraction (s3) at a moderate pressure in a small cylinder (5 mm diameter, 10 mm height). Subsequently the compacted sample was impregnated with wood's metal (Bi 50%, Pb 25%, Sn 12.5%, Cd 12.5%) at 70°C and with pressures up to 3 kbars. The final preparation steps then included grinding, polishing, and C-coating. FIB-nt was then applied at a magnification of 10k \times (with 5 kV and spot size 3). Details of the FIB analysis are given in Table 1. After image alignment and segmentation into a mask with two phases (solid and pore), the splitting algorithms are applied to this mask repeatedly by increasing the k values from 0 to 1 in incremental steps of 0.1. In this way 11 data volumes with different particle structures are obtained (Fig. 3). The image data are then analyzed for three different

CS nr.	Sample Name and Sample Description	Magnification k \times	Voxel Dimensions				Voxel Matrix (ROI)				Dimensions of Data Volume			
			X (nm)	Y (nm)	Z (nm)	\emptyset Size (nm^3)	X (μm)	Y (μm)	Z (μm)	Total (10^6)	X (μm)	Y (μm)	Z (μm)	Total (μm^3)
1	a1 Monosized spheres 680 nm	35	8.48	10.76	18	11.8	461	355	171	27.99	3.91	3.82	3.08	46.0
2	s3 Grain size fraction of OPC, dense packing	10	29.69	37.67	60	40.6	793	509	159	64.18	23.5	19.2	9.5	4'307
3	c1 Suspension of s3: w/z 0.5, 24 min	15	19.79	25.12	60	31.0	653	526	145	49.80	129	13.2	8.7	1'486
3	c2 Suspension of s3: w/z 0.5, 15 min	10	29.69	37.67	60	40.6	687	373	91	23.32	20.4	14.1	5.5	1'565
3	c3 Suspension of s3: w/z 0.5,	6.5	45.67	57.96	90	62.0	768	392	101	30.41	35.1	22.7	9.1	7'244

Note: CS = case study, OPC = ordinary portland cement, w/c = water to cement ratio (g/g), ROI = (cropped) region of interest.

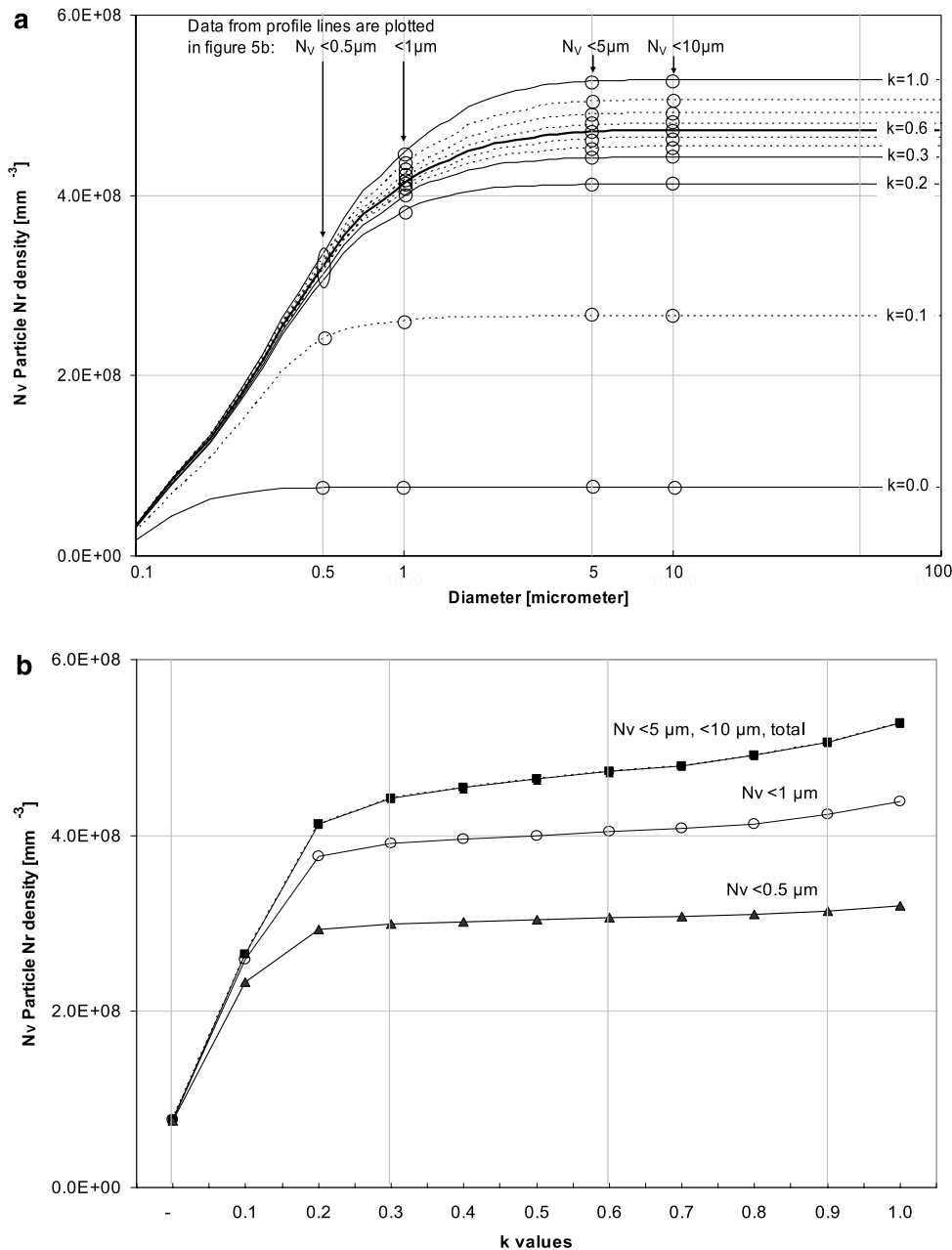


Figure 5. Quantification based on 3D FIB imaging: particle number densities (PSD N_V) of sample s3 after splitting with different k values between 0 and 1. **a:** Shows the cumulative particle size distributions. **b:** Illustrates the cumulated particle numbers up to a maximum particle size (0.5, 1, and 5 μm) as a function of increasing k values. The curves in **b** correspond to vertical profile lines in **a**.

microstructural parameters: particle numbers (N_V), volume fractions (V_V), and surface area fractions (S_V). For each of these microstructural parameters, size-dependent curves are extracted that are termed PSD N_V , PSD V_V , and PSD S_V , respectively (Figs. 5–7). For this purpose each particle is attributed to a specific size class according to its volume equivalent radius. The three different curves are then obtained by either counting the number of particles in each

size class (N_V) or by measuring the corresponding volume fractions (V_V) or the surface areas (S_V).

Results and Discussion

Qualitative Observations

Figure 3 qualitatively illustrates the effects of splitting with different k values. Each object is characterized with a

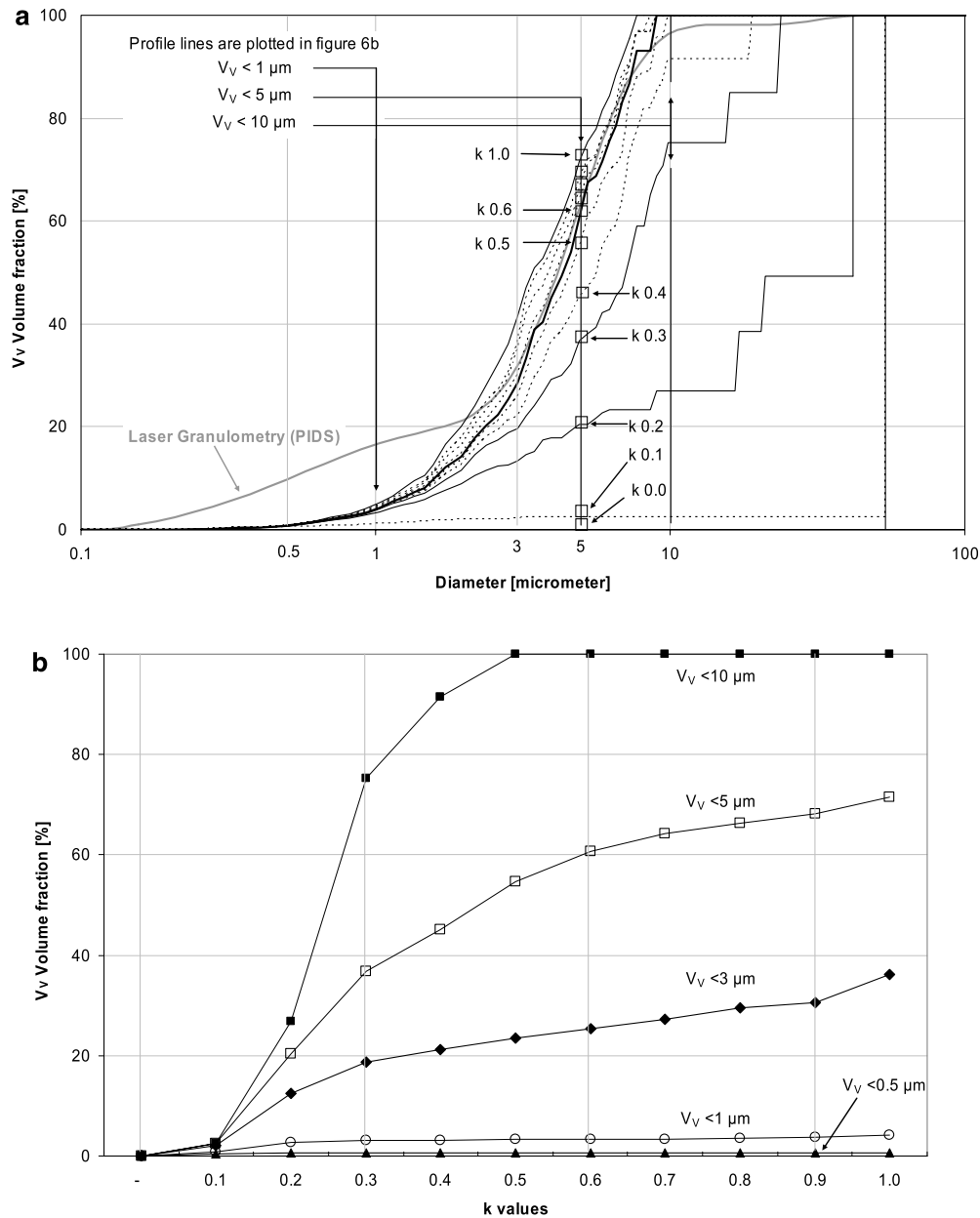


Figure 6. Quantification based on 3D FIB imaging: volume fractions (PSD V_V) of sample s3 for k values between 0 and 1. **a:** Shows the cumulative particle size distributions. PSD from LG is shown in gray. **b:** Illustrates the influence of increasing k values on the cumulated volume fractions up to a maximum particle size (0.5, 1, 3, 5, and 10 μm).

specific color label. Before splitting all particles are interconnected with each other and therefore they form a single agglomerated object (Fig. 3b). When increasing the k value, the agglomerated particles are separated from each other successively. However, splitting is incomplete up to a k value of 0.3. Neighboring particles that are qualified intuitively as separate objects in the original grayscale image (Fig. 3a) are still forming interconnected agglomerates in the particle structure that was split with $k = 0.3$ (Fig. 3d). On the other hand, exaggerated fragmentation of distinct grains can be observed locally at k values above 0.8 (Fig. 3f). Based on the

visual inspection of the splitting results, realistic particle structures are expected for k values between 0.3 and 0.8 (Fig. 3e). Such a realistic 3D particle structure ($k = 0.6$) is also visualized in Figure 4.

Particle Number Density (N_V)

Figure 5a shows a set of cumulative size distribution curves related to the particle number density (PSD N_V). All size distribution curves are dominated by numerous small particles in the size range below 1 μm . As a result of the

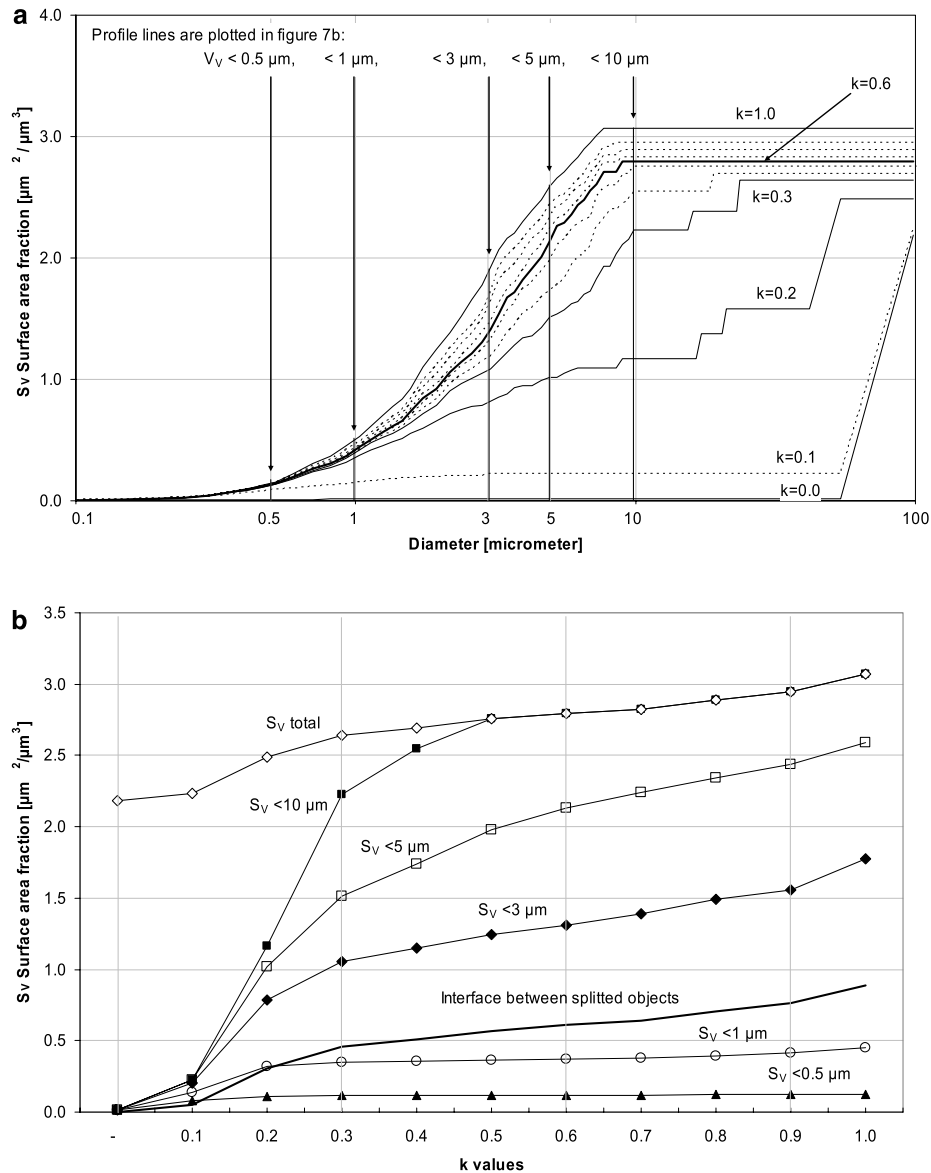


Figure 7. Quantification based on 3D FIB imaging: surface area fractions (PSD S_V) of sample s3. **a:** Shows the cumulative particle size distributions. **b:** Surface area fractions cumulated up to a maximum particle size (0.5, 1, 3, 5, 10 μm and total surface). The bold curve represents the surface area that is formed at the interface between the splitted particles.

splitting with increasing k values from 0 to 1, the total particle numbers are increased by a factor of 7. This increase is particularly strong at the beginning of the splitting process, i.e., for low k values up to 0.3. As summarized in Table 2, the total particle number densities are changing from $77 \times 10^6 \text{ mm}^{-3}$ at $k = 0.0$ to $454 \times 10^6 \text{ mm}^{-3}$ at $k = 0.4$. At higher k values the resulting particle numbers are less sensitive to further incremental changes of the k value, and the particle number densities only increase to $491 \times 10^6 \text{ mm}^{-3}$ at $k = 0.8$. The sensitivity of splitting with different k values is better visualized in Figure 5b. Each curve in Figure 5b represents the cumulative particle num-

bers up to a specific particle diameter (< 0.5 , < 1 , < 5 , and $< 10 \mu\text{m}$), which corresponds to vertical profile lines in Figure 5a. At k values above 0.3, all curves in Figure 5b reach a plateau. This indicates that for all size classes the splitting is most effective at k values below 0.3. Above this value the particle numbers do not change significantly in any of the size classes.

Volume Fractions (V_V)

The size distribution curves related to volumetric particle size (PSD V_V) are shown in Figure 6a. In contrast to the

Table 2. Summary of Microstructural Data from Case Studies 2 and 3

Sample Name Hydration Time	Magnification Voxel Size	Volume of Cube $\times 10^{-6}$ (mm^3)	Solid Volume Fraction ($\mu\text{m}^3/\mu\text{m}^3$)	<i>k</i> -Value for Splitting	Particle Nr Weighted Count	Particle Nr Density (mm^{-3})	Surface Area (μm^2)	Surface Area Fraction ($\mu\text{m}^2/\mu\text{m}^3$)	Interface Area Fraction ($\mu\text{m}^2/\mu\text{m}^3$)	Interface Increase of Surface Area
s3 0 min maximum packing pressed powder	10k \times 41 nm	4.25	0.654	k 0.0: \rightarrow	326	76.7×10^6	9,291	2.185		
				k 0.4: \rightarrow	1,931	454.2×10^6	11,456	2.695	0.510	23.3%
				k 0.6: \rightarrow	2,010	472.8×10^6	11,883	2.795	0.610	27.9%
				k 0.8: \rightarrow	208	491.3×10^6	1,228	2.888	0.703	32.2%
c1 24 min suspension	15k \times 31 nm	1.48	0.496	k 0.0: \rightarrow	433	291.5×10^6	2,551	1.717		
				k 0.4: \rightarrow	868	584.3×10^6	2,819	1.897	0.180	10.5%
				k 0.6: \rightarrow	943	635.0×10^6	2,974	2.002	0.285	16.6%
				k 0.8: \rightarrow	1021	687.0×10^6	3,221	2.170	0.453	26.4%
c2 15 min suspension	10k \times 41 nm	1.41	0.399	k 0.0: \rightarrow	654	464.8×10^6	2,180	1.550		
				k 0.4: \rightarrow	1,022	726.6×10^6	2,300	1.635	0.085	5.5%
				k 0.6: \rightarrow	1,040	739.0×10^6	2,356	1.675	0.125	8.1%
				k 0.8: \rightarrow	1,092	776.4×10^6	2,482	1.760	0.210	13.6%
c3 18 min suspension	6.5k \times 62 nm	7.24	0.42	k 0.0: \rightarrow	140	19.3×10^6	7,967	1.100		
				k 0.4: \rightarrow	493	68.1×10^6	8,440	1.165	0.065	5.9%
				k 0.6: \rightarrow	537	74.1×10^6	8,639	1.192	0.092	8.4%
				k 0.8: \rightarrow	572	78.9×10^6	8,896	1.228	0.128	11.6%

Note: For all suspensions (c1–c3) the water/cement ratio is 0.5 (g/g). This ratio corresponds to a theoretical solid volume fraction of $0.394 \mu\text{m}^3/\mu\text{m}^3$.

particle numbers (N_V), which are dominated by sub- μm particles (Fig. 5), the volume fractions (V_V) are dominated by the larger objects with diameters above 1 μm . The PSDs for low k values (0.0 and 0.1) are characterized by step-like shapes. Each of these steps represents an agglomerated domain with unrealistically large particle diameters between 10 to 70 μm (compare Fig. 3). At $k = 0.5$ all agglomerates that initially have been larger than 10 μm are now split into smaller constituents (i.e., the steps disappear). At higher k values between 0.5 and 1.0, the differences between the PSD curves become very small. The influence of changing k values on the particle volume fractions is also illustrated in Figure 6b. In each curve the particle volume is cumulated up to a maximum particle size (<0.5 , <1 , <3 , <5 , and <10 μm). For the sub- μm range, the cumulative volume fractions are always less than 5%. Thus, in contrast to the particle numbers (N_V , Fig. 5b), the volume fractions (V_V , Fig. 6b) of the small size classes are hardly increasing due to the splitting process.

To validate the results from particle splitting, the volumetric PSDs (V_V) from FIB-nt are compared with LG in Figure 6a. In the size range above 2 μm , LG shows very similar results as obtained with FIB-nt for k values between 0.5 and 0.8. However, for small particles the curve from LG apparently indicates that 17% of the particle volume should be attributed to the sub- μm range. In contrast, with FIB-nt only relatively small volume fractions between 2 and 4% are measured for the sub- μm range. To assess these differences, a visual inspection of the cement powder was performed with ESEM, which shows that the amount of sub- μm particles is clearly less than 5 vol%. The FIB data and the ESEM observations thus indicate that LG is systematically overestimating the volume of particles in the sub- μm range. A similar phenomenon was already observed in case study 1, which indicates that LG is not a suitable method for the analysis of particles smaller than 1 μm . Nevertheless, above 1 μm the results from both methods are compatible. Thereby, splitting with k values between 0.5 and 0.8 leads to very similar PSD curves for FIB-nt as for LG.

Surface Area (S_V)

The third microstructural parameter of interest is the surface area that can be quantified based on a triangulation of the particle boundaries. The effects of splitting on surface area fractions (S_V) and the corresponding size distributions (PSD S_V) are shown in Figure 7. Before splitting the total surface area is $2.19 \mu\text{m}^2/\mu\text{m}^3$. During the splitting process new interfaces are formed between the neighboring particles that lead to an increase of the total surface area up to $3.07 \mu\text{m}^2/\mu\text{m}^3$. Overall, the size distributions related to the surface area (Fig. 7a, PSD S_V) show a similar pattern as the volumetric size distributions (Fig. 6a, PSD V_V), which are both very sensitive to a change of k values at the beginning of the splitting, i.e., at low k values. All curves in Figure 7b exhibit a plateau for k values between 0.5 and 0.7. As

summarized in Table 2, the surface area fractions only slightly increase from $1.89 \mu\text{m}^2/\mu\text{m}^3$ at $k = 0.4$ to $2.02 \mu\text{m}^2/\mu\text{m}^3$ at $k = 0.6$ and further to $2.17 \mu\text{m}^2/\mu\text{m}^3$ at $k = 0.8$. Thus, in this range of k values also the surface areas are not sensitive to changes of the k values.

In conclusion, the visual inspection of the splitted microstructures confirms that a k value of 0.6 (± 0.2) produces realistic particle structures (Figs. 3e, 4c,d). The parametric study with increasing degrees of splitting indicates that all microstructural parameters (N_V , V_V , S_V) are insensitive to changes of the k values in the range between 0.5 to 0.7. Thus, splitting with a k value of 0.6 leads to stable and reproducible results of realistic particle structures. The reliability of a splitting with $k = 0.6$ is also supported by LG that gives nearly identical PSDs for the size range above 1 μm . Thus, for the following cryoinvestigations in case study 3, a k value of 0.6 is used for object recognition.

Case Study 3: Reproducibility Test for Cryo-FIB-nt and Influence of Magnification

Reproducibility is a major challenge for cryoinvestigations of complex suspensions. Thereby fractionation between the solid and liquid phases during sample preparation can be a major source of error that has a strong effect on volume fractions (V_V) and related microstructural parameters. Additional problems during cryosample preparation are related to freezing artifacts, which can destroy the entire particle structure. To maintain the true particle structure, the growth of ice crystals during freezing has to be suppressed. For this purpose the solution can be vitrified with high pressure freezing (Bachmann & Mayer, 1987; Moor, 1987; Monaghan et al., 1998). Because it is beyond the scope of this article to discuss the details of high pressure freezing and of cryoimaging, we are referring to previous publications about cryo-FIB applications on cement suspensions (Holzer et al., 2007; Zingg et al., 2008). With the present case study, we intend to evaluate the methodological reproducibility of particle analysis based on cryo-FIB-nt. Thereby we also try to evaluate to what degree the resulting PSDs are influenced by changing magnifications.

Materials and Methods

To set up a suitable reproducibility test, the portland cement system was simplified by removing the most reactive particles in the sub- μm range. Thereby, we used the same intermediate grain size fraction (s3) of a portland cement as in the previous case study. With this grain size fraction, three identical suspensions (c1, c2, and c3) were produced with a water-to-cement ratio of 0.5 (g/g). This ratio corresponds to a theoretical solid volume fraction (V_V) of 0.394 in the initial cement-water mixture, which should be identical with the measured values from the cryosamples if the solid-liquid ratios are neither changed by chemical reactions nor by fractionation during sample preparation. The

suspensions were solidified with high pressure freezing after approximately 20 min (c1: 24 min, c2: 15 min, c3: 18 min). For the subsequent image acquisition with cryo-FIB-nt, the following working steps have been performed: freeze fracturing, cryotransfer to the FIB, *in situ* deposition of a metal-organic layer and metalization of this layer with the ion beam, cube preparation, and drift corrected serial sectioning. The electron images were acquired with an accelerating voltage of 3 kV and with spot size 4. The suspensions were analyzed at different magnifications (s1: 15k \times , s2: 10k \times and s3: 6.5k \times) in order to check the influence of different resolutions on the resulting PSDs. The 3D particle structures obtained after stack alignment and background leveling are visualized in Figure 8. Subsequent data processing included binarization, object recognition by splitting, compensation of boundary effects with the “specific correction method” as described in Münch et al. (2006). In analogy to the previous case study, three different size distribution curves are extracted from the FIB data that are related to volume fractions (PSD V_V , Fig. 9), to particle number densities (PSD N_V , Fig. 10), and to surface area (PSD S_V , Fig. 11). According to the findings in case study 2, object recognition was performed with a splitting value of $k = 0.6$.

Results and Discussion

Volume Fractions (V_V)

To identify potential solid-liquid fractionation effects during the cryosample preparation, we start the quantitative analysis with a comparison of the theoretical and the measured solid volume fractions (V_V). As illustrated in Figure 9a, the total solid volume fractions of samples c2 and c3 are 0.399 and 0.42, which is nearly identical with the theoretical solid volume fraction of 0.394. Samples c2 and c3 are thus not affected by fractionation. In contrast for sample c1, the solid volume fraction of 0.496 clearly indicates an enrichment of solid particles relative to the liquid phase. Apart from the differences in the total solid volume fractions, the shapes of the curves in Figure 9a are very similar to each other. These similarities indicate that reproducibility of PSD measurements is good (apart from fractionation). The results are even better when the PSDs are normalized to the total solid volume (= 100%), as shown in Figure 9b. Due to the normalization procedure, the fractionation effect is eliminated from sample c1. Nevertheless, the PSD curve (V_V) of the fractionated sample c1 shows an irregular, step-like shape for the largest particle size classes. Sample c1 was acquired with the highest magnification (15k \times), and thus the analysis is based on the smallest data volume ($13 \times 13 \times 9 \mu\text{m}$). It appears that for such a small data volume the local enrichment of a few large particles can cause irregularities in the measured PSD. Thus, in sample c1 the observed increase in the solid-liquid ratio (Fig. 9a) and the slight irregularities in the PSD (Fig. 9b) can be attributed to problems of representativity at high magnifications. Fig-

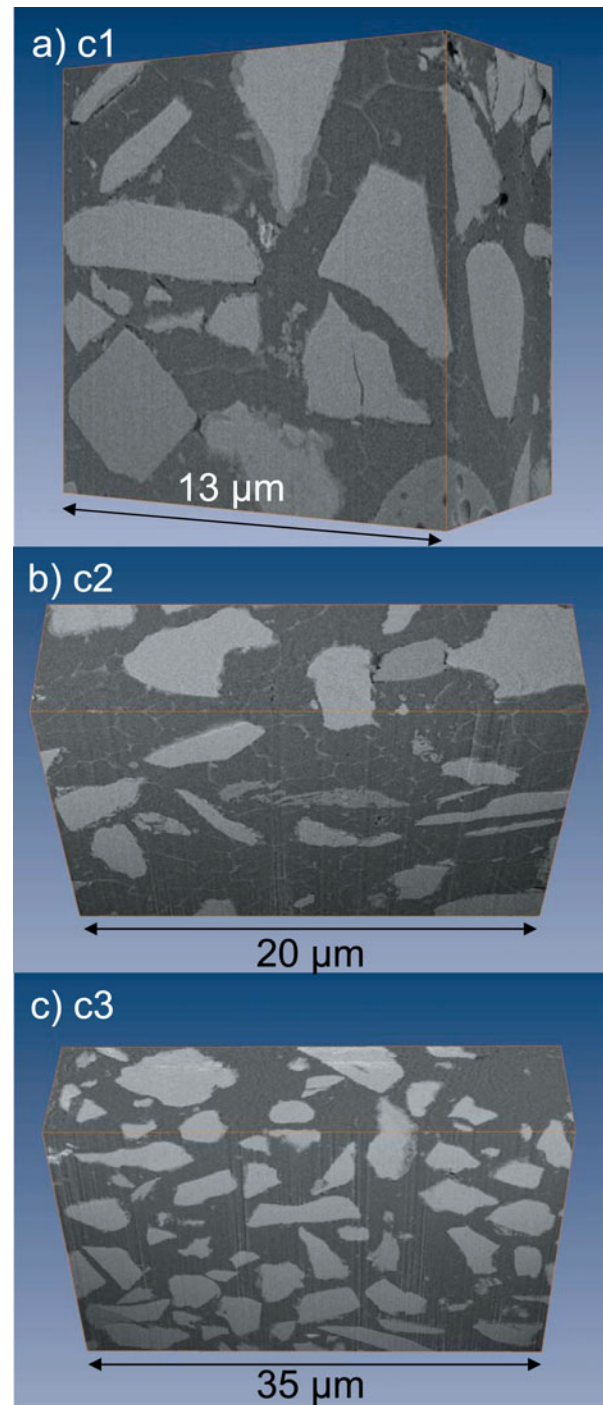


Figure 8. Cryo-FIB-nt analyses from high pressure frozen cement suspensions. **a:** Sample c1, 24 min hydration, 15k \times magnification. **b:** Sample c2, 15 min hydration, 10k \times magnification. **c:** Sample c3, 18 min hydration, 6.5k \times magnification.

ure 9b also shows that the PSD of the nonhydrated grain size fraction (sample s3 from case study 2) is slightly shifted to smaller sizes compared to the hydrated suspensions (c1–c3). A possible reason for this shift might be particle cracking during sample preparation in a compressive cylinder.

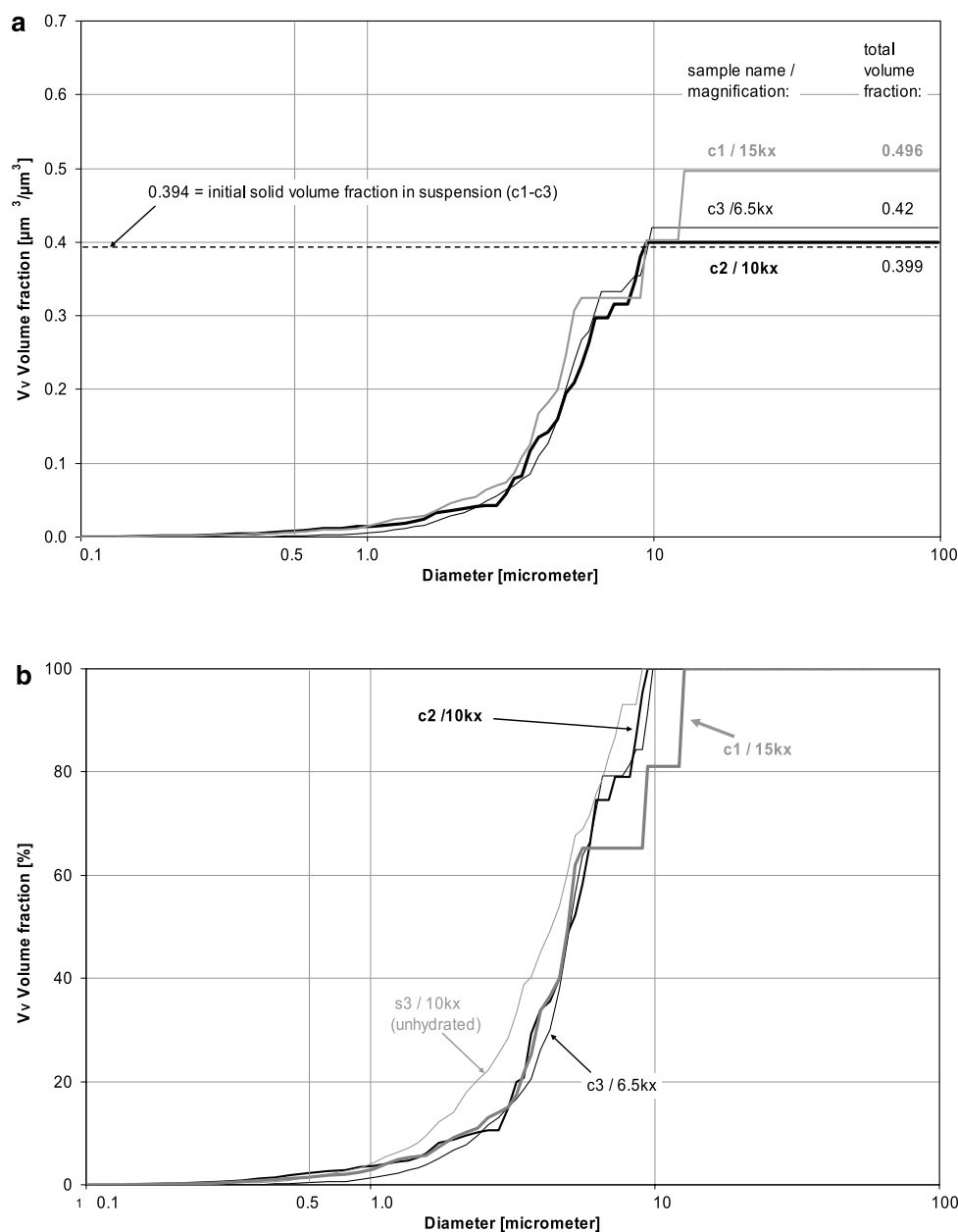


Figure 9. PSD results from cryo-FIB-nt. **a:** Shows the cumulative PSDs related to the solid volume fractions (V_V). **b:** The PSDs are normalized to the total solid volume.

Particle Numbers (N_V)

The size distribution curves related to particle numbers (PSD N_V) are illustrated in Figure 10a. The total particle densities of samples c1 and c2 are similar to each other (739×10^6 and 635×10^6 particles/ mm^3) whereas in sample c3 the particle density is 10 times lower (74×10^6 particles/ mm^3). In Figure 10b the potential differences caused by solid-liquid fractionation in sample c1 are eliminated by normalization to the total particle numbers (= 100%). Thereby, the PSDs of samples c1 and c2 become nearly

identical. The differences between c1 and c2 in Figure 10a are thus attributed to the fractionation in c1. A characteristic feature of these two PSDs is the high amount of small particles. More than 90% of all objects in samples c1 and c2 have diameters smaller than 500 nm. In contrast in sample c3 the amount of small particles with diameters <500 nm is only 45%. A possible reason for these diverging results may be different voxel resolutions. The volume of a voxel in sample c3 (60^3 nm^3) is eight times larger as in c1 (30^3 nm^3). For example, a particle with a diameter of 200 nm will be represented by 155 voxels in sample c1 (magnitude

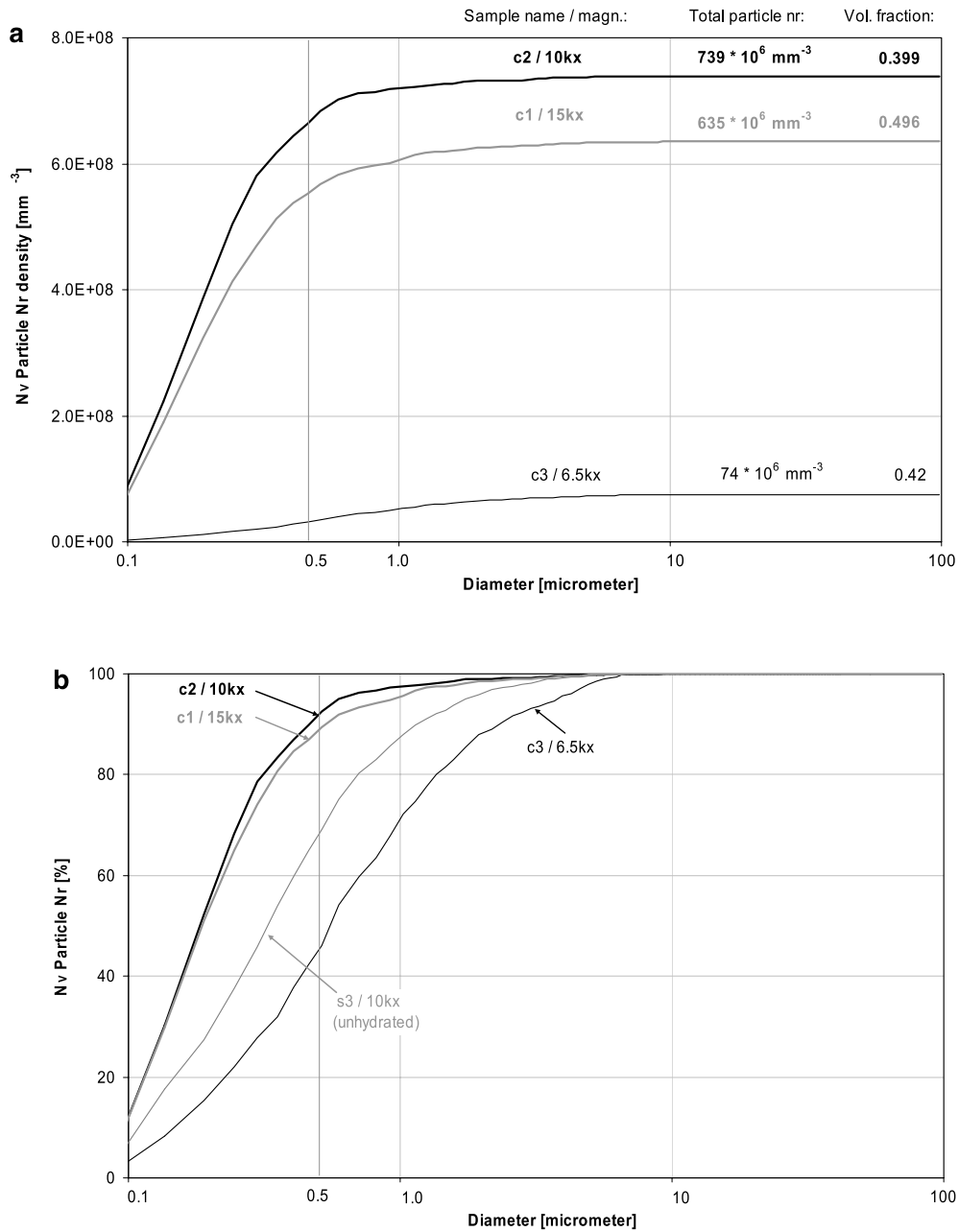


Figure 10. PSD results from cryo-FIB-nt. **a:** Shows the cumulative PSDs related to the particle numbers (i.e., particle density N_V). **b:** The PSDs are normalized to the total number of particles.

of 15k \times) whereas the same particle is represented only by 20 voxels in sample c3 (magnitude of 6.5k \times). During data processing objects as small as 20 voxels can easily fall below the threshold level or, in densely packed regions, these particles can be indistinguishably included into larger agglomerates from which they cannot be resolved during the subsequent splitting process. Thus, it is suggested that the numerous small hydration products that are certainly also present in the suspension of sample c3 cannot be detected due to the limited magnification and resolution. The large

numbers of small particles measured with higher magnifications for samples c1 and c2 are attributed to the formation of new precipitates during the early hydration. It is important to note that this large number of particles (90%) only represents 2–3% of the total solid volume (see Figs. 8,9). Figure 10b also shows the particle numbers of the unhydrated sample s3 from case study 2. Compared to the suspensions c1 and c2, this sample contains only minor amounts of small particles (<500 nm) because no hydration reactions took place in the dry cement powder.

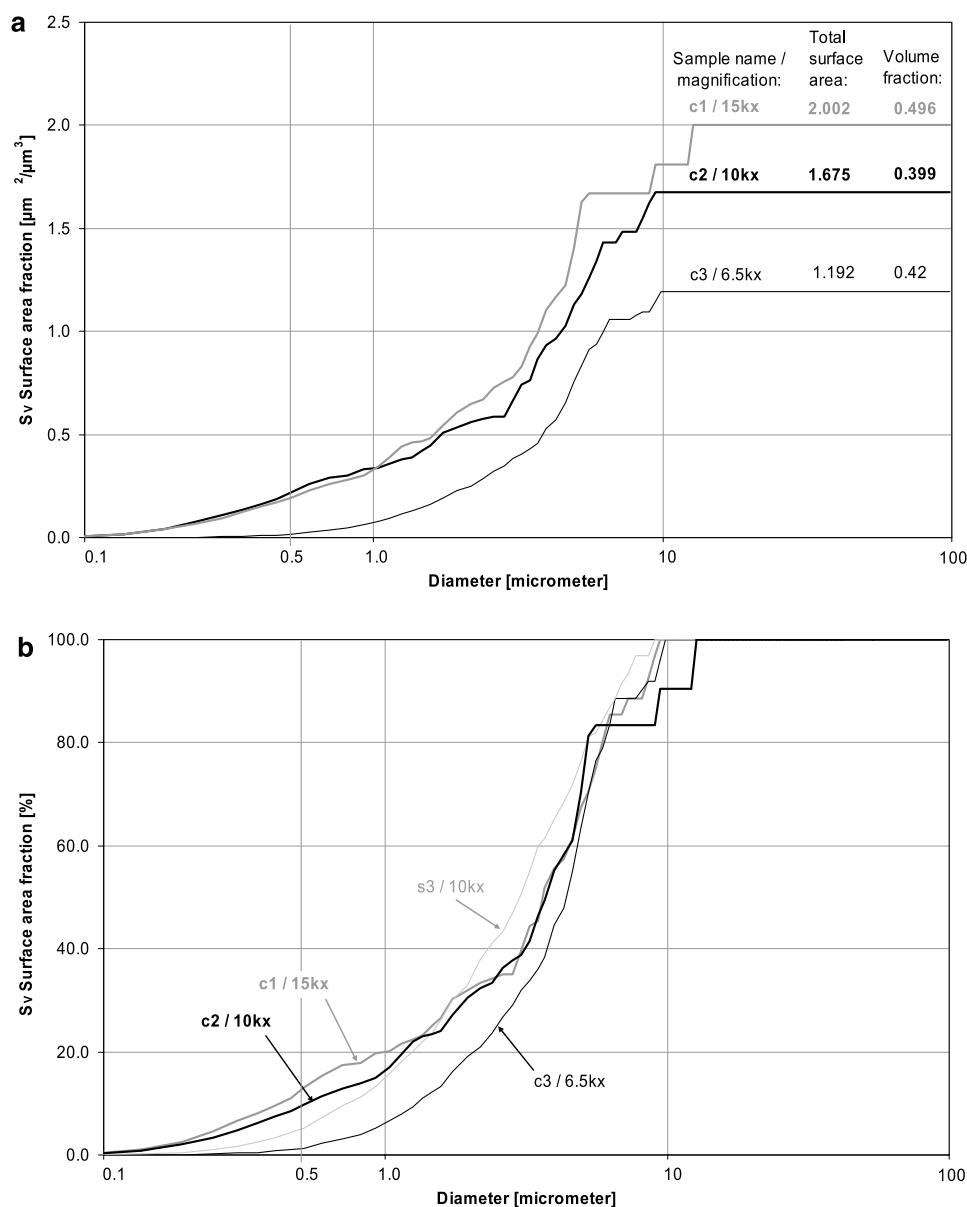


Figure 11. PSD results from cryo-FIB-nt. **a:** Shows the cumulative PSDs related to the surface area fractions (S_V). **b:** The PSDs are normalized to the total surface area.

Surface Area (S_V)

Size distribution curves related to the surface area (PSD S_V) are shown in Figure 11a. Sample c1, which was characterized by an increased solid volume due to fractionation, also exhibits the highest surface area ($2.0 \mu\text{m}^2/\mu\text{m}^3$). In sample c3 ($1.192 \mu\text{m}^2/\mu\text{m}^3$), the surface area is lower than in c2 ($1.675 \mu\text{m}^2/\mu\text{m}^3$) although both samples have nearly the same total solid volume fractions. In sample c3 the contribution of small particles (sub- μm range) to the total surface area is relatively small. Thus, similar as for the particle numbers (N_V), it is also suggested for the surface area fractions (S_V) that the limited resolution of sample c3 leads to an

underestimation of the contribution from particles smaller than 500 nm. In contrast, the PSD curves from samples c1 and c2 are very similar to each other also for the particle size classes in the sub- μm range. These similarities become even clearer in Figure 11b, where the PSDs are normalized to the total surface area (= 100%) in order to remove fractionation effects in c1. Thereby in samples c1 and c2, the particles smaller than 500 nm (i.e., 90% of the particles) contribute 10–15% of the total surface area. In contrast, in sample c3 the corresponding surface area fraction is only 1.3%. Obviously, for sample c3 the resolution is not high enough to detect the fine-grained hydration products and hence the corresponding surface area is underestimated.

Overall, the results in case study 3 confirm a good reproducibility of our particle analysis procedure with cryo-FIB-nt. Nevertheless, the resolution has to be optimized according to the particle size. If the magnification is chosen too high, then the analysis will not be representative (sample c1). This may lead to apparent fractionations of the solid-liquid ratio caused by local heterogeneities of the microstructure. On the other hand, if the magnification is chosen too low, this may lead to an underestimation of the small particles (in PSD N_V) and of the corresponding surface areas (in PSD S_V).

DISCUSSION

Reliable particle analysis from complex granular microstructures requires careful evaluation of potential sources of error. For particle analysis with cryo-FIB-nt, the main uncertainties are related to solid-liquid fractionation during sample preparation of suspensions, to drift artifacts during image acquisition and to problems of object recognition during data processing:

Cryosample Preparation

The transfer of small droplets of a suspension into the sample carriers is one of the main potential sources of error in the entire work flow. Thereby fractionation of the solid to liquid ratio can be caused due to the high surface tension of water. Such a fractionation will affect not only the primitive microstructural parameters such as volumetric PSD (V_V) and particle number densities (N_V), but also higher order topological parameters such as contact numbers and coordination numbers. Determination of these latter parameters is one of the long-term targets of 3D particle analysis in suspensions, because these parameters can be linked directly with physical models of colloidal stability and with macroscopic flow properties (as discussed in the Introduction). Hence, fractionation and also other freezing artifacts during sample preparation must be avoided. Unfortunately, potential effects of fractionation on the overall reproducibility can only be identified by repetitive analyses. In case study 3, cryo-FIB analyses of a cement suspension were performed three times. Overall a good reproducibility of the results can be confirmed. The fractionation in sample c1 can be attributed to a local enrichment of large particles that represents a short range heterogeneity at length scales between 10 to 100 μm . Such a local enrichment is considered to represent a true microstructural phenomenon that is formed during particle agglomeration in the suspension. The apparent fractionation in sample c1 is thus not attributed to artifacts of sample preparation but rather to problems of representativity caused by a small volume of the FIB analysis at high magnifications. Nevertheless, in future cryo-investigations the suppression of fractionation effects will need special attention to prove reproducibility.

Image Acquisition

Serial sectioning with FIB-nt is always accompanied by drift phenomena that become more serious at increasing magnification. For this purpose automated drift correction procedures are implemented in the FIB-nt serial sectioning. Nevertheless, in case study 1 it is shown that some irregular drift components in z -direction can remain in the image data even after drift correction. This is the case for FIB-nt analyses at high magnification with voxel resolutions <20 nm. The irregularities caused by remnant drift components have strong effects on shape reconstruction of individual particles and corresponding surface triangulation. During quantification these phenomena have negligible effects on volumetric particle size distributions (PSD V_V), but they are strongly affecting surface area measurements (S_V).

Data Processing

Reliability of any PSD measurement is directly linked to the accuracy of object recognition. This issue was evaluated in case study 2. Specific splitting algorithms (Münch et al., 2006) open new possibilities to identify the individual particles even from densely packed microstructures. In case study 3, quantitative criteria are presented which document that the splitting with a k -value of 0.6 leads to reproducible and reliable particle recognition in FIB data with cement particles. In this context it has to be emphasized that the splitting algorithm works well for microstructures where the particle contacts are characterized by concave shapes. However, the splitting may fail when small particles are attached on the surface of larger particles and thereby forming “flat” contact geometries that are not concave. Hence, the contribution of small particles to the measured PSDs of particle numbers (N_V) and of surface area (S_V) is to some degree uncertain because the identification of these particles is difficult. Thereby, sufficient resolution plays a major role. For example, in sample c3 (case study 3), the particle numbers of size classes with diameters <500 nm and associated surface area fractions (S_V) are strongly underestimated due to the insufficient resolution at a magnification of $6.5k\times$. In contrast the results for volumetric particle size and the corresponding PSD (V_V) are less sensitive to resolution and magnification.

CONCLUSIONS

In spite of all the analytical problems discussed in this article, it can be concluded that the methodological cryo-FIB procedure, which was built up over the last few years, enables a reproducible microstructural characterization of complex cement suspensions, if the analyses are performed carefully and if the size distribution is not too wide. The reproducible quantification of volume fractions, particle

numbers, and surface areas is considered as a basis for future investigations of higher order topological parameters such as number of contacts and related interfacial areas. The reliable quantification of these parameters opens new possibilities to study the relationship between microscopic particle structures and macroscopic rheological properties of complex suspensions and to investigate the effects of agglomeration, dispersion, and hydration on a microstructural level.

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